# A New Numerical Simulation Method for Horizontal Well in Tight Sandstone Reservoirs

Jianchun Xu<sup>1</sup>, Ruizhong Jiang<sup>2</sup>, Jianbin Fu<sup>\*3</sup>, Yu Jiang<sup>4</sup>

<sup>1,2,3</sup> China university of Petroleum (East China), <sup>4</sup>University of Wyoming

No. 66, Changjiang West Road, Huangdao District, Qingdao, China, 266580

<sup>1</sup>illeyupc@gmail. com; <sup>2</sup>jrzhong@126.com, <sup>3</sup>shicun0521@163.com; <sup>4</sup>Yujiang@163.com;

Corresponding Author: \*E-mail:shicun0521@163.com (Jianbin Fu)

# Abstract

With further progress of oilfields' development all over the world, more and more tight sandstone reservoirs are being put in production. The existence of low velocity non-Darcy flow has been proven, so in this paper the three-dimensional three-phase horizontal well numerical simulator was developed with considering the non-Darcy flow. The comprehensive comparison and analysis of the simulation results of Darcy flow and non-Darcy flow were provided including liquid production rate, oil production rate, water cut, reservoir pressure, oil saturation distribution and pressure distribution. With considering the non-Darcy flow, the fluid flow in reservoir consumes more driving energy, the water flooding efficiency was reduced.

# Key words

Horizontal Well; Tight Sandstone Reservoir; Non-Darcy Flow; Numerical Simulation

# Introduction

Over the past decade, the development of unconventional oil and gas has become a hot issue, with more and more low permeability reservoirs opening to exploration. The low permeability tight sandstone is defined as the permeability of the matrix is  $0.1 \times 10^{-3} \sim 10 \times 10^{-3} \mu m^2$ . The flow mechanism of fluid in the low permeability porous media follows nonlinear flow, and it is significantly different from that of conventional low permeability and high permeability porous media<sup>[1-5]</sup>.

The flow curve is a combination of a straight line and a concave curve and the nonlinear flow exists in low permeability porous media. Pseudo threshold pressure gradient and minimum threshold pressure gradient exist<sup>[6-8]</sup>. Darcy flow model ignores the concave curve segment and the seepage curve is a straight line which goes through the origin. Quasi-linear is also a straight line which goes through pseudo threshold pressure gradient spot in X-axis. Non-linear model reflects the real seepage characteristic in low permeability tight sandstone cores<sup>[9-16]</sup>. For the tight gas reservoir, the nonlinear flow was also observed in a water-bearing reservoir. In this paper, the nonlinear flow reservoir mathematical model is established based on the characteristics of the fluid flow in low permeability porous media, and the well-grid equation is deduced<sup>[17-20]</sup>.

At present, Yang et al.<sup>[20-21]</sup> has already established non-Darcy flow numerical simulator for vertical well, but their model has no the actual physical meaning. Jiang et al.<sup>[22]</sup> also has established the low velocity non-Darcy flow model with actual physical meaning. However, They both didn't consider the horizontal seepage characteristics. Horizontal well as an important technique has been widely used in recent years in tight sandstone reservoir. Fluid flow does not follow Darcy's law in tight sandstone reservoir, so the application of traditional commercial software in the oilfield development will result in great error, therefore, the development of suitable new software for tight sandstone reservoir is necessary. On the other hand, although water flooding is a mature secondary recovery method for conventional reservoirs, it has been applied in tight sandstone reservoirs in a large commercial scale. Therefore, horizontal well numerical simulation technology is necessary to be established to guide the tight sandstone reservoir development.

Journal of Petroleum Science Research, Vol. 4 No. 2-July 2015 2168-5517/15/02 039-08, © 2015 DEStech Publications, Inc. doi: 10.12783/jpsr.2015.0402.01 This paper was organized as following: Firstly, the three-dimensional three-phase horizontal well numerical simulator was developed with considering the non-Darcy flow. Secondly, the comprehensive comparison and analysis of the simulation results of Darcy flow with Eclipse were provided. Finally, the development characteristics of horizontal well was given by comparing the Darcy model, non-Darcy model and strong non-Darcy model.

#### Numerical Simulation Model for Horizontal Model

#### Assumption

(1) there are at most three components in reservoir and the oil and water phase obey non-Darcy's model; (2) the flow is isothermal;(3) the gas can achieve phase equilibrium instantaneously;(4) gravity effect and capillary force are considered;

#### Mathematical Model

In tight reservoir the throat in porous media is nano/micro scale, the permeability is changing with the pressure gradient. Some scholars has put forward some classical models to describe this phenomenon. In order to describe the non-Darcy flow curve of tight sandstone reservoir, the low velocity non-Darcy flow model by Jiang et al. was used<sup>[22]</sup>.

#### 1) Motion Equation

In matrix, the permeability is low, so the fluids obey non-Darcy model, and the motion equations are followed:

$$v_o = -\frac{KK_{ro}}{\mu_o} (1 - \frac{\xi_{1o}}{\nabla p_o - \xi_{2o}}) \nabla p_o \tag{1}$$

$$v_w = -\frac{KK_{rw}}{\mu_w} (1 - \frac{\xi_{1w}}{\nabla p_w - \xi_{2w}}) \nabla p_w$$
<sup>(2)</sup>

$$V_g = -\frac{KK_{rg}}{\mu_g} \nabla p_g \tag{3}$$

#### 2) The Continuity Equation

Oil component:

$$-\nabla \cdot \left(\rho_o v_o\right) + q_o = \frac{\partial \left(\phi \rho_o S_o\right)}{\partial t} \tag{4}$$

Water component:

$$-\nabla \cdot \left(\rho_{w} v_{w}\right) + q_{w} = \frac{\partial \left(\phi \rho_{w} S_{w}\right)}{\partial t}$$
(5)

Gas component:

$$-\nabla \cdot \left(\rho_{od} v_o + \rho_{wd} v_w + \rho_g v_g\right) + q_g$$
  
=  $\frac{\partial}{\partial t} \left[ \phi \left( \rho_{od} S_o + \rho_{wd} S_w + \rho_g S_g \right) \right]$  (6)

#### 3) Numerical Simulation Model

By put the motion equations into continuity equation, the complete numerical simulation model was derived which is composed of flow equation, boundary conditions and initial condition.

Oil component:

$$\nabla \cdot \left( \frac{KK_{ro}}{B_{o}\mu_{o}} (1 - \frac{\xi_{1o}}{\nabla P_{o} - \xi_{2o}}) [\nabla (p_{o} - \rho_{o}gD)] \right) + q_{ov} = \frac{\partial}{\partial t} \left( \frac{\phi S_{o}}{B_{o}} \right)$$
(7)

Water component:

$$\nabla \cdot \left( \frac{KK_{rw}}{B_{w}\mu_{w}} (1 - \frac{\xi_{1w}}{\nabla p_{w} - \xi_{2w}}) [\nabla (p_{w} - \rho_{w}gD)] \right) + q_{wv} = \frac{\partial}{\partial t} \left( \frac{\phi S_{w}}{B_{w}} \right)$$
(8)

Gas component:

$$\nabla \cdot \left[ \frac{R_{so}KK_{ro}}{B_{o}\mu_{o}} (1 - \frac{\xi_{1o}}{\nabla p_{o} - \xi_{2o}}) \nabla \left( p_{o} - \rho_{o} gD \right) \right] + \nabla \cdot \left[ \frac{R_{sw}KK_{rw}}{B_{w}\mu_{w}} (1 - \frac{\xi_{1w}}{\nabla p_{w} - \xi_{2w}}) \nabla \left( p_{w} - \rho_{w} gD \right) \right] + \nabla \cdot \left[ \frac{KK_{rg}}{B_{g}\mu_{g}} \nabla \left( p_{g} - \rho_{g} gD \right) \right] + q_{gv}$$

$$= \frac{\partial}{\partial t} \left[ \phi \left( \frac{S_{g}}{B_{g}} + \frac{R_{so}S_{o}}{B_{o}} + \frac{R_{sw}S_{w}}{B_{w}} \right) \right]$$
(9)

# 4) Auxiliary Equation

Saturation equation:

$$S_o + S_w + S_g = 1 \tag{10}$$

Capillary pressure equation:

$$p_{cow} = p_o - p_w \quad p_{cog} = p_g - p_o \tag{11}$$

Initial condition:

$$p_{w}|_{i=0} = p_{wi}(x, y, z)$$

$$S_{w}|_{i=0} = S_{wi}(x, y, z)$$

$$S_{o}|_{i=0} = S_{oi}(x, y, z)$$
(12)

Outer boundary:

Closed boundary

$$\frac{\partial (p_o - \rho_o gD)}{\partial n} \Big|_{\Gamma} = 0$$

$$\frac{\partial (p_w - \rho_w gD)}{\partial n} \Big|_{\Gamma} = 0$$

$$\frac{\partial (p_g - \rho_g gD)}{\partial n} \Big|_{\Gamma} = 0$$
(13)

Constant pressure boundary

$$p_{o}|_{\Gamma} = const$$

$$p_{w}|_{\Gamma} = const$$

$$p_{g}|_{\Gamma} = const$$
(14)

Inner boundary condition:

The terms  $q_{wwell}, q_{owell}, q_{gwell}$ , represent water, oil and gas mass production or injection rates at a well. In this study, Peaceman's well model was used. The following equation assumes the horizontal well along the *x* direction.

$$q_{wwell} = \rho_{w} \frac{KK_{rw}}{\mu_{w}} \frac{2\pi d_{x}}{\ln(r_{e} / r_{w}) + s} (P_{wf} - P_{b})$$

$$q_{owell} = \rho_{o} \frac{KK_{ro}}{\mu_{o}} \frac{2\pi d_{x}}{\ln(r_{e} / r_{w}) + s} (P_{of} - P_{b})$$

$$q_{gwell} = \rho_{g} \frac{KK_{rg}}{\mu_{g}} \frac{2\pi d_{x}}{\ln(r_{e} / r_{w}) + s} (P_{gf} - P_{b}) + q_{wwell} (R_{sw}) + q_{owell} (R_{so})$$
(15)

Where  $P_b$  is the bottom-hole pressure and  $r_e$  is the effective radius and can be expressed as:

$$r_{e} = \begin{cases} 0.2\Delta y & \Delta y = \Delta z, Ky = Kz \\ 0.28 \frac{\left[ (K_{y} / K_{z})^{1/2} \Delta z^{2} + (K_{z} / K_{y})^{1/2} \Delta y^{2} \right]^{0.5}}{(K_{y} / K_{z})^{1/4} + (K_{z} / K_{y})^{1/4}} \end{cases}$$
(16)

Where  $\xi_1,\xi_2$  are non-Darcy flow coefficients; v is velocity, m/s;  $\nabla p$  is pressure gradient, Pa/m; K is permeability, m<sup>2</sup>; K<sub>r</sub> is relative permeability; t is time, s; q is mass production rate, m<sup>3</sup>/s; q is density, kg/m<sup>3</sup>;  $\mu$  is fluid viscosity, Pa·s; S is saturation, dimensionless;  $\phi$  is porosity, %; B is volume coefficient, m<sup>3</sup>/m<sup>3</sup>; Pc is capillary pressure, Pa; q<sub>v</sub> is volume production rate, kg/s; x,y,z is cartesian coordinate; P is pressure, Pa;  $\Gamma$  is boundary domain; o,w,g is oil, water, gas.

#### Solution

The fully implicit method is adopted to solve these discrete equations, in which the unknowns are solved simultaneously. The non-Darcy coefficients are also updated iteration but iteration. Then the non-Darcy flow numerical simulation program of three-dimension and three-phase in tight sandstone reservoir was compiled.

#### Illustrative Examples and Results

The commercial simulator Eclipse is very famous software in petroleum industry but can't consider the non-Darcy flow. In this model, fluid flow obeys Darcy flow by setting  $\xi_1=0$ ,  $\xi_2=0$ . As shown in Figs. 2-4, the results from our model are in good agreement with Eclipse. The parameters used in the simulation are shown in Table 1.

TABLE I PARAMETERS USED IN THE SIMULATIONS
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Parameters	Value	Parameters	Value	
Reservoir size	600 m*400 m*18 m	Water density	1000 kg/m <sup>3</sup>	
Grid member	60*40*9 Oil density		800 kg/m <sup>3</sup>	
Top depth	3000 m	Oil viscosity	1 mPa·s	
Oil water contact depth	3100 m	Water viscosity	0.3 mPa·s	
porosity	0.2	Rock compressibility	$4 \times 10^{-4} \text{ M Pa}^{-1}$	
Horizontal permeability	$10 \times 10^{-3} \ \mu m^2$	Water compressibility	$4 \times 10^{-4} \text{ M Pa}^{-1}$	
Vertical permeability	Vertical permeability $10 \times 10^{-3} \ \mu m^2$		$4 \times 10^{-4} \text{ M Pa}^{-1}$	
Initial pressure	31 MPa	Perforating	Top layers	
Bubble point pressure	10 MPa	Well control	Pressure keep as a constant	



#### Development characteristics of horizontal well

To analyze the effect of non-Darcy flow, three examples were simulated: example one is Darcy's model by setting  $\xi_1=0$ ,  $\xi_2=0$ ; example two is non-Darcy flow model by setting  $\xi_1=0.025$ ,  $\xi_2=-0.025$ ; example three is also a non-Darcy flow model and the non-Darcy degree is much higher by setting  $\xi_1=0.1$ ,  $\xi_2=-0.1$ . The geological model is shown in Fig. 1 and the parameters used in the simulation are shown in Table 1.

Figs 5,6 give the oil production rate and water cut under different flow models. It can be seen that compared with the Darcy's model, non-Darcy model has a lower oil production rate and water cut. If the non-Darcy degree is higher, the oil production rate is much lower and descends more rapidly, the water cut is much lower and breakthrough time become more behind. Figs 7-9 give the water injection rate, liquid production rate and reservoir pressure under different flow models. It can be seen that the water intake capability of injection well and liquid production capability of production well of Darcy model are higher than that of non-Darcy model, and the reservoir pressure is lower than that of non-Darcy model. As the non-Darcy degree becomes higher, the reservoir pressure become higher, the water intake capability and liquid production capability for tight sandstone reservoir become more difficult. Fig. 10 shows the recovery of three different models. The Darcy model has higher recovery than the non-Darcy model. As the non-Darcy degree become lower, and the water flooding efficiency. Above all, fluid flow in reservoir consumes more driving energy and the water flooding efficiency becomes lower. Darcy flow model overstates the reservoir flow capability, the non-Darcy flow need to be considered in tight sandstone reservoir which can estimate the reservoir flow resistance reasonably.

Fig. 11 gives the comparison of oil saturation distribution of different examples. It can be seen that the oil saturation of strong non-Darcy flow model is the highest, and the oil saturation of Darcy flow model is the lowest one when keeps the same injection-production pressure difference. Fig. 12 shows the comparison of pressure distribution under three different models. As can be see, the reservoir pressure for non-Darcy flow is higher than Darcy model. So the non-Darcy flow is not beneficial to oil production. It makes the flow speed decrease and cause more energy consumption in reservoir.



FIG. 5 COMPARISON CURVES OF OIL PRODUCTION RATE



FIG. 7 COMPARISON CURVES OF WATER INJECTION RATE



FIG. 9 COMPARISON CURVES OF RESERVOIR PRESSURE



(a) DARCY MODEL



(b) NON-DARCY MODEL





 $\begin{array}{c} 60\\ 50\\ 40\\ 30\\ 20\\ 10\\ 0\\ \end{array} \\ 0 \\ 1000 \\ 1000 \\ 2000\\ 1000 \\ 2000\\ 1000 \\ 2000\\ 1000 \\ 2000\\ 1000 \\ 4000 \\ 1000 \\ 4000 \\ 1000$ 

FIG. 6 COMPARISON CURVES OF WATER CUT





40 **ξ1=0,ξ2=0** 35 **ξ1=0.025,ξ2=-0.02** 30 £1=0.1.82=-0.1 Recovery,% 25 20 15 10 5 0 0 1000 2000 3000 4000 Times,days

FIG. 10 COMPARISON CURVES OF RECOVERY



(c) STRONG NON-DARCY MODEL OW MODELS IN 12 YEARS



(c) STRONG NON-DARCY MODEL

FIG. 12 RESERVOIR PRESSURE DISTRIBUTION OF DIFFERENT FLOW MODELS IN 12 YEAR

#### Conclusion

The horizontal well simulator was developed for tight sandstone reservoir considering the non-Darcy flow. If the flow is Darcy flow, the results from our model are in good agreement with Eclipse. With considering the non-Darcy flow, the fluid flow in reservoir consumes more driving energy, the water flooding efficiency was reduced.

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**Ruizhong Jiang** is a professor at China University of Petroleum (East China), the membership of SPE. His research interests include Non-Darcy flow numerical simulation for low-permeability reservoirs and oil and gas seepage mechanics.



**Jianchun Xu** born in 1987, male, Shandong life extension, Doctoral candidate, the membership of SPE. Study in China University of Petroleum (East China) from the year of 2013. He engaged in oil and gas field development technology and reservoir engineering research.



**Jianbin Fu** is a postgraduate student at China University of Petroleum (East China), the membership of SPE. His research interests include petroleum reservoir engineering and oil and gas seepage mechanics.

# **Optimization of Infill OilWell Locations**

# Field-Scale Application

Watheq Al-Mudhafar\*1&Mohammed Al-Jawad2

<sup>1</sup>Department of Petroleum Engineering, Louisiana State University, Baton Rouge, LA 70803, USA

<sup>2</sup>Petroleum Technology Department, University of Technology, Baghdad, Iraq

\*walmudhafer313@yahoo.com; +1.225.715.2578

# Abstract

Determining the optimal locations of infill wells is a crucial decision to be made during a field development plan. It concerns implementing accurate reservoir modelling in order to precisely evaluate the reservoir behavior and predict its future performance. The reservoir modelling-optimization approaches were adopted on the main pay-Upper Sandstone formation of South Rumaila Oil Field, located in Iraq. First of all, comparing outcomes of different parameters with their measured values through history matching process attained the validity of the reservoir flow model. After that, two methods of optimization were adopted to find out the optimal number and locations of infill oil wells. The first method is manual optimization via spreadsheet and the second one is automatic optimization throughAdaptive Genetic Algorithm (GA). Both methods were done according to the aspects of net present value (economic evaluation) as objective function in the wells selection optimization procedures. GA depends on the principle of artificial intelligence concept of Darwin's theory of Natural Selection. The genetic program was coupled with the reservoir flow model to re-evaluate the chosen wells at each iteration until obtain the optimal choice. The genetic algorithm program gave results similar to the results that were obtained by manual method with much less computation time. Three different future predictions of oil production and NPV cases were studied to determine the optimal future scenario with respect to whether considering water injection or not in the available water injectors. The first one without water injection, the second and third with 7500 surface bbls/day and 15000 surface bbls/day water injection per well, respectively. According to the relationship between net present value and future production time, the abandonment time was estimated to be at the end of the 8th prediction year for all above cases. The optimal future scenario was with water injection of

15000 surface bbls/day; however, the current capabilities of surface injection facilities cannot handle this rate. Therefore, the optimal future prediction is to continue with water injection of 7500 surface bbls/day/well. The optimal number of infill wells for this case was three wells even though drilling more wells have led to increase the cumulative oil production. The incremental percent of NPV based on the optimized infill well location scenario are improved by 3.4% higher than the base case on no-infill wells.

# Keywords

Developed Reservoir; Optimal Future Performance; Optimal Infill Well Locations; Adaptive Genetic Algorithm; Economic Analysis; Optimal Number of Infill Wells

# Introduction

The main objective of the integrated reservoir studies is to figure out the optimal future performance in order to improve oil recovery, precisely with considering the economical evaluation. Determination of optimal number and locations of infill wells is one of the most important scenarios that help improve the cumulative oil production and Net Present Value (NPV). The Solution of this kind of problems encompasses two main entities: The field production system and the geological reservoir. Each of these entities presents a wide set of decision variables and the choice of their values given different constrains is an optimization problem.

In the current research, the determination of optimal infill oil well locations is a complex task because it is affected by many factors such as reservoir and fluid properties in addition to the interference between the wells. Sometimes, drilling new wells may affect the production of other wells. Also the optimal additional well locations depend on well and surface equipment specifications, as well as the economic parameters. Therefore, the aim is to find a development strategy that maximizes the revenue after running the reservoir model to the abandonment time of production.

The reservoir flow simulation is a conventional way to evaluate most of the factors that might affect the future

Journal of Petroleum Science Research, Vol. 4 No. 2-July 2015 2168-5517/15/02 047-19, © 2015 DEStech Publications, Inc. doi: 10.12783/jpsr.2015.0402.02 reservoir performance considering the optimal new well locations. The numerical simulator has been used as an evolution function to test different future performance scenarios and different infill well number and locations. The presented optimization techniques have been applied for determining the optimal infill well locations based on net present value (NPV) as objective function. Net present value is a function of economic variables representing costs and revenues. The revenue is directly proportional to the cumulative oil production, which is predicted by reservoir model. The costs include capital (CAPEX) and operational (OPEX) expenditures. Manual optimizations via spreadsheet and automatic procedure through genetic algorithm technique have been adopted in the current study through a field-scale application on a sector in South Rumaila Oil Field.

#### **Description of South Rumaila Oil Field**

The Rumaila oil field is located in south of Iraq, about 50 km west of Basrah and about 30 km to the west of the Zubair field (Al-Ansari 1993). The field is associated with large gentle anticline fold of submeridional trend. The dimensions of South Rumaila Oil Field are about 38 Km long and 12 Km wide. The upper sandstone member of the Zubair formation is the main pay zone of South Rumaila Oil Field. The Zubair formation in south of Iraq (Rumaila, West Qurna and Zubair Fields) belongs to the depositional cycle of Lower Barremian to Aptain of Lower Cretaceous age (Al-Ansari, 1993). The formation is generally composed of sandstone and shale. The ratio of sand in the formation decreases significantly northeastwhile this ratio increases southwest of the field. The Zubair formation has been divided into five members on the basis of sand to shale ratio and these have been named from top to bottom the following: Upper shale member, Upper sandstone member (main pay), Middle shale member, Lower sand member, and Lower shale member.

The main pay is the Upper sandstone member and it comprises three dominated sandstone units, separated by two shale units. The shale units act as good barriers impeding vertical migration of the reservoir fluids except in certain areas where they disappear.

The South Rumaila Field is divided into four production sectors. From north to south, the sectors are Qurainat, Shamia, Rumaila, and Janubia. The sector studied most closely is the Rumaila sector, along with small parts from the Shamia and Janubia sectors. The choice of this sector was made specifically because it is the largest sector in which the production and injection operations are carried out as shown in Fig. 1.



FIG. 1 WELL LOCATIONS FOR THE SECTOR UNDER STUDY IN SOUTH RUMAILA OIL FIELD (AL-MUDHAFER, 2013)

The black oil reservoir simulator has been adopted for reservoir evaluation and predicting the future field performance.

The constructed grid in the current model includes the reservoir and parts of infinite active edge-water aquifer along the eastern and western flanks. The 3Dreservoir model is performed over 15 x 11 x 5 grids in the I, J, and K directions, respectively. The reservoir in the vertical direction is divided into five vertical layers (Al-Mudhafer et al., 2010). The 15 x 11 x 5 grid models contained 825 cells. Of these, (584) cells or (70.8%) are active. The gridblocks areal dimensions are uniform and equal to (1000m x 866m). The orthogonal corner geometry has been considered for grid construction in this model. According to the cumulative probability function of horizontal permeability distribution, the reservoir has very heterogeneous rocks, since the heterogeneity index (Dykstra-Parsons Coefficient) is around 60%. The vertical permeability within each layer was assumed to be one tenth of the horizontal permeability at each grid blocks (Al-Ansari, 1993).

The reservoir properties such as porosity, permeability, and layers' thickness have been obtained from previous studies on this field (Al-Mudhafer et al., 2010). The physical and thermodynamic properties of the reservoir's fluids have been obtained based on previous analyses of large number of oil samples taken from large number of wells through more than fifty years (Al-Mudhafer et al., 2010). The reservoir oil is undersaturated since the initial and current reservoir pressure is greater than the unique bubble point pressure that exists throughout the reservoir. The bubble point pressure is 2660 psi while initial reservoir pressure of 5186 psi measured at 3154.7m datum. The current average reservoir pressure is 4200 psi and the original oil-water contact (OWC) is 3269m. Although the reservoir is highly heterogeneous, only two rock types have been adopted for capillary pressure and relative permeability curves since most of the permeability values among the spatial distribution have been assigned to these types' ranges. The coarse sand is located within 400-800md and the medium coarse sand is ranged from 100-400md.

The simulation time for the current study is 60 years from 1954 to present. During that period, 40 production wells were opened to flow in the simulated domain. The production of some layers was ceased because of the higher water cut values, especially from the lower layers. For more than two decades, depletion and water drive have been the only production mechanisms. After that a pressure maintenance was carried out by drilling 20 water injection wells at the east flank, just to maintenance the pressure from the west flank because the aquifer's strength from the west flank is about 20 times its strength from the east one (Kabir, 2007). The northern and southern boundaries are assumed to be a no-flow. This assumption may be considered realistic since the direction of flow at each of the five layers was towards the reservoir crest and parallel to the northern and southern edges. Furthermore, the northern and southern streamlines at the east and west are under the infinite acting water drive aquifer.

To achieve a matching acceptable history, many runs have been carried out in order to get a minimum error between the calculated and observed values. This process encompasses matching of average reservoir pressure jointly with overall reservoir saturation. The saturation matching has been done based on the available water cut and initial time of breakthrough for some wells in different time.

The best matches obtained involve the following steps of modifications: -

- 1. Assuming the average reservoir pressure equals to 5186 psia at datum level of 10350 ft. BSL or 3154 m, which has been obtained from previous field literature.
- 2. Modifying the following properties of the reservoir and aquifer:
  - a) Multiply the transmissibility of the 1<sup>st</sup> layer at the eastern flank by 1.3.
  - b) Multiply the transmissibility of the 2<sup>nd</sup>& 3<sup>rd</sup>layers at the eastern flank by 1.5.
  - c) Multiply the transmissibility of the 4th& 5th layers at the eastern flank by 2.0.
  - d) Multiply the aquifer size by 3.0.
- 3. Because of the lack of well status for nine wells, the production from these wells are assumed first to be

from all five layers and then some modifications in completion of these wells have been made by plugging the bottom layers that assumed to be completely flooded by water.

The calculated average reservoir pressure values are compared with the measured average reservoir pressure. The best match of average reservoir pressure is given in Fig. 2.

The reasonable match of saturation indicates correct fluid movements through the reservoir. The initial breakthrough is the time when the value of water cut approaches 10%. The comparisons, with respect to the water cut and the breakthrough times, have been done according to Mohammed's, Hamdullah's, and Franlab studies (Al-Mudhafer et al., 2010). These calibrations, present in Table 1 show the water cut matching for 10 wells and in Table 2, which has the breakthrough time matching for five wells.



FIG. 2 CALCULATED AND MEASURED AVERAGE RESERVOIR PRESSURE MATCHING

Well	Date	Water Cut (%) Franlab Study, 2010	Water Cut (%) Hamadallah's Study, 1999	Water Cut (%) Current Model
1	10/98	0.0	0.0	0.0
2	1/98	0.3	0.1	0.5
3	4/98	0.0	0.08	0.0
4	9/98	0.0	0.0	0.0
5	9/98	4.4	1.0	3.1
6	6/2001	10.9	-	10.6
7	3/2004	0.5	-	0.0
8	7/2003	0.05	-	0.0
9	3/2004	6.0	-	5.0
10	1/2003	0.18	-	0.0

TABLE 1 WATER CUT MATCHING

TABLE 2TIME OF WATER BRE	AKTHROUGH
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TIME OF INITIAL BREAKTHROUGH								
WELL	MEASURED	CURRENT MODEL	MOHAMMED'S STUDY					
11	19/4/72	31/11/69	1/10/72					
12	1/3/88	31/1/88	1/11/88					
13	21/9/61	31/12/62	21/5/61					
14	3/5/88	31/7/89	4/2/89					
15	9/6/2001	30/11/2000	-					

#### **Optimization Procedure**

In petroleum engineering, the objective function is commonly the cumulative oil production or the net present value over a certain future prediction period (Güyagüler, 2000). The decision variables in a given problem may have significant influence on oil production history and the potential profit; therefore, they need to be optimized. Based on the problem or some prior practical analysis, the range limit (levels) of the decision variables should be determined in order to justify the optimization space for these variables. After the objective function and all the decision variables are determined, the optimization problem can be formulated as a maximization or minimization problem subjected to certain constraints (Phillips, 1976). All the above terms were considered in this research for the optimization of infill well locations.

#### **Objective Function**

In this work, the objective function is the net present value given the flow response. The net present value is one of the applications of cash flow model (Mian, 1992). The cash flow (future income) analysis provides the value of the objective function for a specific combination of the decision parameter values considered for the optimization task. So, each set of decision parameters,  $p = (p_1, p_2, ..., p_n)$  is associated with a cash flow value C, or

$$C = f\left(p\right) \tag{1}$$

The current study incorporates revenues such as oil and gas sales, as well as expenses such as operation, water handling and injection costs in addition to the capital expenditures of drilling and completion costs (Badru, 2003).

#### **Decision Variables**

The decision variables in the current problem are the (i, j) coordinates. They represent the number of the wells to be drilled and the number of wells. The dimensions of the problem depend on the number of wells. Since each well has two variables to be optimized that are i and j, the dimension of the problem is equal to power the number of wells, i.e. when the number of optimized wells is 10; the number of iterations is 1024 (2<sup>10</sup>=1024).

#### Constraints

The constraints for the current study are the well constraints such as water cut (WC) =0.45, gas-oil ratio (GOR) = 800 SCF/STM, and bottom hole pressure (BHP) =2700 psia. The other constraints are the locations of the old wells; the locations in east and west flank from the aquifer; and no more than one well placed in each grid.

#### **Net Present Value formulation**

The net future value is defined as the revenues from produced oil and gas sales, after subtracting the costs of capital expenditures (CAPEX), operational expenditures (OPEX) in addition to costs of disposing produced water and cost of injecting water (Ozdogan, 2004; Nwaozo, 2006). The result is the net cash flow: -

Net Cash Flow  $(t) = Q_o(t) \times \text{Oil Price} + Q_g(t) \times \text{Gas Price} - Q_w(t) \times \text{WH Cost} - Q_{\text{inj}}(t) \times \text{WI Cost} - \text{OPEX-CAPEX}$  (2)

Where: -

Oil price: (\$ per STB). Gas price: (\$ per MSCF). Water handling cost: (\$ per bbl.). Water Injection Cost: (\$ per bbl.).

Eq. (2) represents the net cash flow after a given period, which is Net Future Value (NFV).

The prices of oil and gas and the cost of water injection are assumed to be constant over the project life (Nwaozo, 2006). The oil and gas prices were assumed to be \$60 per STB oil and \$3 per MSCF gas, respectively. This level of oil price is adequate for the light Iraqi oil for long-tem production periods since 2003, except the high rate in previous two years. We considered this low oil price level in order to capture the high certain net present value estimation.

OPEX: - the operational expenditures include Staff Costs, Daily Energy Requirements, Transportation tariffs, Work over operations, Maintenance, and Facility upgrades(Johnston, 2003). OPEX was assumed to be 1.5\$ per barrel in this study.

CAPEX: - the capital expenditures include the following items (Johnston, 2003): -

- 1) Initial Investments regarding the drilling, completion, cementing, perforation, acidizing services, and rig movement operations between well locations.
- 2) Materials, which include:
  - a) Tangible such as well head, casing & casing accessories, pipelines, cement & cement add and completion materials and equipments.
  - b) Non-Tangible such as bit, mud material, perforating material charges and accessories, acid material.

Eq. (2) must be discounted into net present value (NPV) by using the mathematical relationship between future value, present value, and interest rate as shown by the following equation (Ozdogan, 2004):

$$i = \frac{FV - PV}{PV} \tag{3}$$

FV=PV (1+i) at 1st year or

$$PV = \frac{FV}{\left(1+i\right)^t} \tag{4}$$

Eq. (4) can be written in the following form:

$$NPV = \sum_{t} \frac{NCF(t)}{\left(1+i\right)^{t}}$$
(5)

Where:

NPV: net present value. NCF: net cash flow. FV: future income value. PV: present income value. t: the future time that at which NPV calculated. i: the interest rate.

The interest rate (i) is "the interest paid or received on the original principal regardless of the number of time periods that have passed or the amount of interest that has been paid or accrued in the past" (Bittencourt, 1997).

#### **Manual Optimization**

The main aim from oil field development is to increase net present value (NPV). One of the methods for increasing NPV is drilling additional oil production wells in the field. So this aim highly depends on the number of wells that would be drilled and the well locations (Beckner, 1995). The proposed infill well locations can be specified according to the contour maps of permeability and oil saturation at the last simulation timestep. These contour maps are the best indicator for determining the best and worst locations to drill infill wells (Badru, 2003). These maps were obtained by using a criterion that characterizes each gridblock in a quantitative manner for the suitability of the well (Güyagüler, 2002).

$$TARGET\_WELL\_LOCATION = f\left(S_o\left(x, y\right), k\left(x, y\right)\right)$$
(6)

The high values of the last function on the map indicate the probable promising locations. The low values indicate locations where an infill is not recommended. After determining the possible infill well locations, optimization technique should be adopted to determine the optimal number and locations of infill wells (Quenes, 1994). The main steps of manual optimization are:

- 1. Run the reservoir model to a specific future period with proposition one new oil well that has higher permeability and oil saturation.
- 2. Calculate the net present value based on cumulative oil, gas, and water production.
- 3. Run the simulator while assuming two new oil wells that have higher permeability and oil saturation and calculate the net present value (NPV).
- 4. Continuing with proposition new wells according to this aspect in additive way until they reach 20 wells in the locations that represent the region that may have promising oil, according to the mentioned contour maps of permeability and oil saturation.
- 5. Plot the relationship between the numbers of proposed wells in additive way versus the Net Present Value, and then the optimal number of wells has the highestNPV.

#### **Optimum Abandonment Time**

Before determining the optimal number and locations of wells, the optimum abandonment time for the field with infill drilling should be determined. The abandonment year is selected by finding the year that maximizes the net present value (Beecroft, 1999).

# An Introduction to Genetic Algorithm

Genetic Algorithms (GA) offer an efficient search technique and can be used as powerful optimization tools as introduced by John Holland in 1975 (Goldberg, 1989). The population encompasses potential solutions generated randomly (represented by chromosomes) to a problem, which compete with each other in order to achieve increasingly better results by applying a set of operators: Selection, Crossover (Recombination), and Mutation. These operators mimic the genetic reproduction in biological sense similar to Darwin's theory of Natural Selection (Goldberg, 1989).

There are several applications of genetic algorithms in petroleum and natural gas industry, mainly as optimization tools. The first application in the literature goes back to one of Holland's students named David Goldberg. He applied a genetic algorithm to find the optimum design for gas transmission lines (Mohaghegh, 2001). Also, Genetic algorithms have been used in reservoir characterization (Romero, et al., 2000; Mohaghegh, et al., 1994; Romero, et al., 2000) and the stimulation candidate selection in tight gas sands (Mohaghegh, et al., 2000). In addition, GA was adopted into the distribution of gas-lift injection (Martinez, et al., 1994), Petrophysics (Fang, et al., 1992), and well test analysis (Yin, et al., 1998; Guyaguler and Horne, 2001). Furthermore, it was used in hydraulic fracturing design (Mohaghegh, et al., 1996; Mohaghegh, et al., 1999), determining the Value ofReservoir Data (Palke and Horne, 1997)& modelling (Sen, et al., 1992), and Nonconventional Well Deployment (Artus, et al., 2004). GA has been utilized in many other petroleum problems (Mohaghegh, et al., 1998; Fichter, 2000, Bittencourt, 1994; Guerreiro, et al., 1997;Al-Mudhafer, 2013).

# **Basic Terminology**

Genetic Algorithms use a specific terminology with some terms derived from genetics as found in the biological sciences. Below is a list of the definitions of some terms in the GA vocabulary (Austin, 1990): -

*Population*: Set of individuals representing possible solutions in the optimization problem.

Generation: Iteration level within the optimization.

Fitness: Objective function.

*Fittest*: Solution with highest objective function within a generation.

Chromosome: Encoded string representing an individual solution.

Allele: Building block (bits) of chromosomes and also referred to as genes.

Parents: A couple of individuals (solutions) selected for reproduction.

Children (offspring): Resulting individuals after the reproduction.

In the current study, each well represents one gene in the chromosome. The chromosome consists of eight genes (most probable target well locations according to the contour maps and manual optimization outcome), i.e. each chromosome represents the total number of wells to be optimized. The initial population would be a collection of non-limited number of the chromosomes. Actually, the number of chromosomes in the initial population is nearly equal or more than the number of optimized wells(Jefferys, 1993).

# **Encoding methods**

The decision variables in the current study are the number and locations of proposed wells. The true representation of well locations according to their dimensions is called phenotype. These wells and their number should be converted to genetic terms (genotype) by binary encoding. The types of encoding are binary, integer, and real valued etc. (Goldberg, 1989). The genetic algorithm starts with creating initial population of chromosomes. The length of chromosome is equal to the number of proposed wells. Each chromosome consists of eight genes (proposed wells). If the well is selected, the encoding will be 1, if not it will be 0. The selection of genes takes place randomly (Schmidt and Stiden, 1997; Jefferys, 1993).

# **Population and Initialization**

A population consists of a number of individuals each representing a solution for a given problem. This number

called population size. A designer of the GAs chooses it. Every chromosome consists of genes that are often referred to as the genotype while the decoding creates phenotype based on a genotype. Initialization of a population of individuals is generally a simple operation where if a heuristic is available for producing good solution; however, the initial population are randomly generated (Goldberg, 1989;Grant, 1995).

#### **Evaluation**

Having decoded the chromosome representation into the decision variable domain, it is possible to assess the performance, or fitness, of individual members of a population. This is done through an objective function that characterizes an individual's performance in the problem domain. In the natural world, this would be an individual's ability to survive in its present environment. Thus, the objective function establishes the basis for selection of pairs of individuals that will be mated together during reproduction (Da. Ruan, 1997; Austin, 1990).

#### Selection

Selection is a genetic operator that chooses a chromosome from the current generation's population for inclusion in the next generation's population. There are two types of the most common selection schemes:

1. *Roulette Wheel Selection*: a typical selection method. The probability to be a winner is proportional to the area assigned to an individual on the roulette wheel. From this analogy, the roulette wheel selection gives the selection probability to individuals in proportion their fitness values.

$$P_{selection}\left(i\right) = \frac{F_{i}\left(x\right)}{\sum_{i=1}^{all} F_{i}\left(x\right)}$$

Where:

Fi: fitness of individuals. Pselection: selection probability.

2. *Tournament Selection*: a number of individuals are randomly selected and their fitness values compared, the one with higher fitness selected as a parent, if their fitness values are equal one of them is randomly chosen. This method has been adopted in the current GA model.

#### **Crossover / Recombination**

Crossover combines (mates) two chromosomes (parents) with probability (Pc) to produce a new chromosome (offspring). The idea behind crossover is that the new chromosome may be better than both of the parents if it takes the best characteristics from each of them. There are several popular combination methods (Goldberg, 1989;Austin, 1993; Grant, 1995; Mitchell, 1996; Schmidt and Stiden, 1997; Jefferys, 1993): -

- 1. *One Point crossover* (1*x*): this type chooses one crossover site at a random position within parent and exchange genes after the crossover site.
- 2. *Two Point Crossover* (2*x*): chooses two-crossover sites at a random position within parent and exchange genes between these two crossover sites.
- 3. *Uniform Crossover (UX):* randomly shuffles the genes of the chromosomes to create two offspring. This type was adopted in the current program.

#### **Mutation**

Mutation is a random change of one or more genes(Da. Ruan, 1997). This can result in entirely new gene values being added to the gene pool. Every chromosome is simply scanned gene by gene and with a mutation rate (Pm) a gene is changed / swapped, i.e. 0 to 1 and 1 to 0. The probability for a mutation is usually kept small, such that we can expect one muted gene per chromosome.

#### **Replacement Policy**

The Replacement operator removes few relatively poor individuals from populations and replaces it with offspring that have higher fitness (Goldberg, 1989;Jefferys, 1993). There are many replacement operators such as:

- 1. Holland method: The offsprings are replaced with poor individuals in the population without comparing the fitness of them.
- 2. Tournament method: Two (or three) individuals are randomly chosen from the population; the worse of them is compared with the offspring and if it is the worst, it is then replaced. This type is used in the present program.

#### **Stopping criteria**

There are many different ways to determine when to stop running the GA and return the best solution. One method is to stop after a given number of generations and this criterion has been adopted in the current program. The maximum number of generations currently is one hundred (Maxgen=100). Another technique is to stop after the GA has converged; that is, all individuals in the population are identical. The GA can also be halted if the solution quality of the population does not improve within a specified number of generations.



FIG. 3FLOW CHART OF ADAPTIVE GENETIC ALGORITHM

#### Adaptive GA Program

Adaptive genetic algorithm has been adopted in the current study. Adaptive genetic algorithm is one of genetic algorithm types. It is called adaptive because it generates new populations at each iteration and it changes the values of crossover and mutation probabilities at each iteration as well. The genetic computer program searches about the optimal solution by breeding population at each iteration and changing with the values of probability of crossover and mutation without keeping the optimal. The genetic program is coupled with the reservoir flow simulation program for evaluation the objective function. The genetic algorithm takes two parents from population randomly and produces two children (offspring) by applying the genetic operators of crossover, mutation, and replacement on the two parents. After that, the program sorts the population from best to worst. The resulted best chromosome represents the optimal wells at this iteration. Then, the GA program re-inputs the optimized wells in the reservoir model for real evaluation and to repeat the genetic operators. Re-evaluation of the optimized wells is necessary to have real NPV because the best optimized wells don't have true NPV values if they are less than the original chromosome size. A Pascal-based genetic code has been written for the optimization process. The genetic algorithm flow chart is shown in Fig. 3.

#### Manual Optimization Results

In manual optimization, the contour maps, shown in Figs 4-13, have been considered as indicators to determine the search space of the solution. The contour maps depict the spatial distribution of permeability and oil saturation at the last simulation time step (initial time step of the prediction period). The search space represents the maximum number of drillable production wells in the sector (target wells). We assumed that up to 20 wells could be drilled in the sector in grids that do not contain wells in manual optimization. The area of these 20 target locations is mainly close to the reservoir crest and far away from the east-west aquifer sides.





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FIG. 9 OIL SATURATION CONTOUR MAP FOR 1<sup>ST</sup> LAYER

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#### **Proposed Future Production Schemes**

To accomplish the objective of this study, the future reservoir behaviorunder different production scenarios has been evaluated. Therefore, 15 production-injection schemes with respect to water injection rates and number of infill wells have been assumed to mainly determine whether to have future water injection scenario in the infill well optimization or not. The main procedure starts by running the reservoir simulator with proposing 0, 5, 10, 15, & 20 infill production wells in the following three cases:

- Case1. Run the simulator with no water injection.
- Case2. Run the simulator with 7500 surface bbls/day water injection per each injection well.

Case3. Run the simulator with 15000 surface bbls/day water injection per each injection well.

The field sector consists of 60 wells, from which 40 oil producers and the rest are water injection wells. The reservoir simulator is used to predict the future reservoir performance with the existing and proposed production wells. The NPV is calculated given the field cumulative oil production to the end of the prediction period. The oil producers operate at constraints of 45% water cut as maximum limit, minimum BHP of 2700 psia and maximum GOR of 800 SCF/STB. According to the long age of the field, which approaches 60 years, the simulator has been set to predict the future performance for only 10 years. However, the abandonment time has been estimated depending on Net Present value.

#### **Calculations of Abandonment Time of Production**

The abandonment time of production has been determined by plotting the net present value versus production time. The net present value (NPV) has been calculated depending on cumulative oil production at the end of each

future production year. Only Six future production scenarios have been adopted to estimate the abandonment production time. The first case is without water injection. The second and third cases are with water injection of 7500 surface bbls/day and 15000 surface bbls/day, respectively. Each case has been applied without and with 20 infill wells. The 20-infill wells are assumed as the maximum number of wells that can be drilled in the sector according to the mentioned contour maps. The results of these cases are shown in Figures (14-19) and they indicate that the abandonment time is the end of the 8<sup>th</sup> prediction year.



FIG. 14 OPTIMUM ABANDONMENT TIME WITHOUT WATER INJECTION AND WITHOUT INFILL WELLS



FIG. 16 OPTIMUM ABANDONMENT TIME WITH 15000 STB/ DAY WATER INJECTION AND WITHOUT INFILL WELLS



FIG. 18 OPTIMUM ABANDONMENT TIME WITH 7500 STB/DAY WATER INJECTION & 20 INFILL WELLS



FIG. 15 OPTIMUM ABANDONMENT TIME WITH 7500 STB/ DAY WATER INJECTION AND WITHOUT INFILL WELLS



FIG. 17 OPTIMUM ABANDONMENT TIME WITHOUT WATER INJECTION & 20 INFILL WELLS



FIG. 19 OPTIMUM ABANDONMENT TIME WITH 15000 STB/DAY WATER INJECTION & 20 INFILL WELLS

The NPV in the periods after the abandonment time in figures (14-19)decreases, due to the reduction of the daily oil production that is caused by closing most of the wells because of the high water cut. So, the end of 8th year could be considered the abandonment time, which is compatible with the long age of the field. Figures (14-19) also indicate that the optimal production scenario is the case in which water injection is continuing with 15000 surface bbls/day. However, these figurespropose non-infill wells and twenty infill wells. The results of the 15 cases have been shown in Table 3. From this table, one can conclude that continuing with water injection is better than without water injection. This fact is true even if we choose continuous injection rates not only three cases (Al-Mudhafer and Al-Khazraji, 2014). This fact is compatible with Figures (14-19) at the end of 13th year with respect to NPV.

#### **Prediction of Optimal Number & Locations of Wells**

Table 3 shows that the case2 & case3 of water injection are better than case1, which is without water injection. Therefore, it is clear that having continuing water injection for the future production is better than no injection to increase the oil production as well as the net present value. The two cases were investigated in more details for optimizations of infill oil wells.

In case 3, all the future reservoir evaluation scenarios consist of injecting 15,000 surface bbls of water a day per injector. 20 simulation runs have been made according to the number of suggested producers from 1 to 20 new wells in additive way. The locations of these wells are chosen with the aid of the contour maps presented in Figures (4-13). The NPV calculation results of the suggested producers are shown in Fig. 20 that indicate that the optimal number of wells when running the simulator to the end of 8th year (abandonment time) is 19 wells, as shown in Figure 20. However, that result may not be applicable because it was suggested that drilling the suggested wells should be completed in the year prior to the 1st year. In addition, the current surface facilities related to the water injection operations cannot handle this amount of water in addition to the limited amounts of waters in the recent years in the mentioned area.

Therefore, the choice of three wells is more reasonable since its NPV does not differ very much from that of 19 wells as shown in Fig. 20. The difference in NPV between the two cases is 0.054377% only.

In the other hand, case2 suggests injection 7500 surface bbls of water eachday per well. Similar to case 3, the locations of the additive 20 suggested oil wells were proposed according to the contour maps of permeability and oil saturation.

TABLE 3 NPV RESULTS FOR DIFFERENT FUTURE PREDICTION RUNS AT THE END OF 8TH YEAI
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Cases	# Infill wells	Well Injection Rate (surface bbls/day)	Cum. Oil Production (MMSTB)	RF (%)	NPV, MMM \$
	0	0	4172.089	59.974	15.20525
	5	0	4178.827	60.071	15.3279
1	10	0	4178.235	60.062	15.30102
	15	0	4179.956	60.087	15.32594
	20	0	4181.181	60.105	15.34154
	0	7500	4262.067	61.268	16.82088
	5	7500	4271.293	61.400	16.99321
2	10	7500	4270.649	61.391	16.96579
	15	7500	4272.533	61.418	16.99403
	20	7500	4273.380	61.430	17.00113
	0	15000	4336.217	62.333	18.11828
	5	15000	4349.621	62.526	18.37543
3	10	15000	4350.236	62.535	18.37405
	15	15000	4351.874	62.559	18.39648
	20	15000	4352 292	62 565	18,39488



FIG. 20 OPTIMAL NUMBER OF WELLS WITH 15000 SURFACE BBL/DAY AT THE END OF 8TH YEAR



FIG. 21 OPTIMAL NUMBER OF WELLS WITH 7500 SURFACE BBL/DAY AT THE END OF 8TH YEAR

The optimal number of wells is only three wells, as they achieve the highest NPV among all other proposed number of new producers. In addition, this outcome comes in compatible with the current surface capability of injection facilities with respect to the water resources and the required pressure. Fig. 21 depicts that the optimal numbers of infill wells as three wells considering the abandonment time to the end of 8th year with water injection of 7500 surface bbls/day in each injection well.

From Fig. 21, one can notice that the optimal number of infill wells reaches the maximum when considering the cumulative oil production as objective function. However, increasing the number of suggested producers leads to increase the drilling costs, and decrease the net present value.

The final optimal number and locations of wells between the two cases of different water injection rates is three since the NPV is more than any other option. These three wells are the same optimal wells in the same locations that obtained from case3 and they are located at the western side of the reservoir crest, far away from the aquifer boundaries.

#### **Automatic Optimization Results**

In the GA code, it wasconsidered only eight wells for optimization based on the manual optimization results and the contour maps that guided to justify the search space and decrease the maximum number of simulation runs. The future prediction reservoir scenario that is considered for the optimization process in Genetic Algorithm is case2: 7500 surface water injection rates in bbls each day per well.

The GA optimization searchprocedure concludes that the optimal number of wells is three and their locations are similar to manual optimization at the western part of the reservoir crest as shown in Fig. 23. The minimum distance between the optimized and original producers is not more than 866m in J-direction and 1000m in I direction, according to the grid dimensions in the current reservoir model.

The GA program is specified to search about the optimal solution from eight wells as initial population that have the highest values of permeability and oil saturation. This outcome has been obtained much faster than through a manual method. The genetic algorithm parameters at the optimal solution are population size (Popsize) = 11, crossover probability (Pc) = 0.6, mutation probability (Pm) = 0.4, and maximum generation (Maxgen) = 58.

Fig. 22 shows the change of the net present value with iterations given the number of optimized wells at each generation. The locations of optimal well locations on the grid map are (6, 7), (7, 6), and (6, 5) as shown in Fig. 23.



#### Conclusions

Determining the optimal infill well locations has been done through different optimization methods: Manual and Automatic. The manual procedure has been done through the spreadsheet; however, the automatic procedure has been done through Pascal-based code of Adaptive Genetic Algorithm that is sequentially coupled with the

reservoir flow simulation. The genetic algorithm was used to accelerate the search about the optimal solution. Use of the net present value as objective function in the current optimization procedure is found to be better than using the cumulative oil production because the net present value depends on the economic analysis for determining the optimal future reservoir scenario. The optimal number of infill production wells when the water injection rate is 7500 surface bbls/day/injector is three in spite of the higher cumulative oil production upon drilling more than three wells. All the optimized wells are located at the crest of the reservoir. The optimized wells are far away from the east and west flanks because the water advance from the edge infinite active aquifer has semi-completely invaded these two regions. The incremental percent of NPV based on the optimized infill well location scenario are improved by 3.4% higher than the base case on no-infill wells.

#### Nomenclatures

GOR	Gas-oil ratio, SCF/STB
Bo	Oil formation volume factor, bbl/STB
BHFP	Bottom hole flowing pressure, psia
С	cost, US \$
CAPEX	Capital expenditure, US \$
FV	Future value, US \$
Ι	No. of grid blocks in x-directions
J	No. of grid blocks in y-directions
Κ	No. of grid blocks in z-directions
NPV	Net present value, US \$
OPEX	Operational expenditure, US \$
Р	Pressure, psia
PV	Present value, US \$
WC	Water cut, %
$\Delta z$	Layer thickness, m
Κ	Permeability, md
So	Oil Saturation, %
SCF	Standard Cubic Feet
STB	Stock Tank Barrel
bbls	barrels
OWC	Oil-Water Contact
Maxgen	Maximum number of generations

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**Watheq J. Al-Mudhafar**is a PhD candidate at Craft & Hawkins department of Petroleum Engineering at Louisiana State University and A&M College. He has obtained his B.Sc. and M.Sc. in petroleum engineering from the University of Baghdad, Baghdad, Iraq. His research interest focus on Geostatistical reservoir characterization, reservoir simulation, optimization, and Enhanced Oil Recovery.

He has three years experience as field engineer in workover, completion, acidizing, and well logging & three years as senior reservoir engineer in South Oil Company, Basrah, Iraq.

Mr. Al-Mudhafar has Fulbright Science & Technology International Award. He has published more than 30 papers related his research disciples in the last four years.

**Mohammed Al-Jawad** is a professor of petroleum engineering at the University of Technology, Baghdad, Iraq. He is the Liaison of the Ministry of Higher Education in the Ministry of Oil, Iraq. He has B.Sc., M.Sc., and PhD in petroleum engineering from University of Baghdad, Iraq.

He has more than 28 years experience in education and has published more than 20 papers. His research interests focus on numerical reservoir simulation, optimization, and PVT modeling.

Dr. Al-Jawad has supervised more than 30 PhD dissertations and M.Sc. theses during his academic life in the University of Baghdad and the University of Technology.

# The Use of Regression and Classification Algorithms for Layer Productivity Prediction in Naturally Fractured Reservoirs

Shiyun Mi<sup>1</sup>, Guangren Shi<sup>\*2</sup>

Research Institute of Petroleum Exploration and Development, PetroChina, P. O. Box 910, Beijing100083, China <sup>1</sup>symi@petrochina.com.cn; <sup>\*2</sup>grs@petrochina.com.cn

# Abstract

Three regression algorithms and three classification algorithms have been applied to forecast naturally fractured layer productivity. The three regression algorithms are the regression of support vector machine (R-SVM), the back-propagation neural network (BPNN), and the multiple regression analysis (MRA), while the three classification algorithms are the classification of support vector machine (C-SVM), the naïve Bayesian (NBAY), and the Bayesian successive discrimination (BAYSD). In general, when all these six algorithms are used to solve a real-world problem, they often produce different solution accuracies. When an algorithm is applied to a real-world problem, its solution accuracy is expressed with the total mean absolute relative residual for all samples, R(%). In this paper, three criteria have been proposed: 1) nonlinearity degree of a studied problem based on R(%) of MRA (weak if R(%)<10, moderate if  $10\le R(\%)\le30$ , and strong if R(%)>30; 2) solution accuracy of a given algorithm application based on its R(%) (high if R(%)<10, moderate if  $10 \le R(\%) \le 30$ , and low if R(%)>30); and 3) results availability of a given algorithm application (applicable if R(%) < 10, and inapplicable if  $R(\%) \ge 10$ ). A case study of naturally fractured layer productivity at an oilfield in Sichuan Province of China has been used to validate the proposed approach. This case study consists of two problems: regression and classification. For the regression problem, R-SVM, BPNN and MRA are inapplicable because their R(%) values are 4620, 44 and 5980, respectively. For the classification problem, however, C-SVM, NBAY and BAYSD are all applicable since their *R*(%) values are 0, 5.9 and 9.1, respectively. From the case study, it is concluded that: a) the three proposed criteria, and the rules of conversion from real number to integer number are practical; b) R(%) of MRA is used to measure the nonlinearity degree of a studied problem, and thus MRA should be used as a first choice; c) for classification problems, the preferable algorithm is C-SVM, NBAY, or BAYSD if the problems nonlinearity is weak or moderate, and BAYSD can also serve as a promising dimension-reduction tool; d) for regression problems, the preferable algorithm is BPNN, but MRA can serve as a promising dimension-reduction tool only when the problems are linear; e) if BPNN is inapplicable for a regression problem, it is proposed to change the problem from regression to classification by reasonable conversion rules, then apply C-SVM, NBAY, or BAYSD; and f) comparing with C-SVM, BAYSD is conditionally better.

#### Keywords

Problem Nonlinearity; Solution Accuracy; Results Availability; Conversion Rules; Dimensionality Reduction; Naturally Fractured Layer Productivity; Sichuan Province in China

# Introduction

Three regression algorithms and three classification algorithms have been applied to forecast naturally fractured layer productivity. The three regression algorithms are the regression of support vector machine (*R*-SVM), the back-propagation neural network (BPNN), and the multiple regression analysis (MRA), while the three classification algorithms are the classification of support vector machine (*C*-SVM), the naïve Bayesian (NBAY), and the Bayesian successive discrimination (BAYSD). In general, when all these six algorithms are used to solve a real-world problem, they often produce different solution accuracies. When an algorithm is applied to a real-world problem, its solution accuracy is expressed with the total mean absolute relative residual for all samples, *R*(%). In this paper, three criteria have been proposed: a) nonlinearity degree of a studied problem based on *R*(%) of MRA (weak if *R*(%)<10, moderate if  $10 \le R(\%) \le 30$ , and strong if *R*(%)>30); b) solution accuracy of a given algorithm application (applicable if *R*(%)<10, and inapplicable if *R*(%)>10). A case study of naturally fractured layer productivity at an oilfield in Sichuan Province of China has been used to validate the proposed approach.

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# Methodology

The methodology consists of the following three major parts: definitions commonly used by regression and classification algorithms; six algorithms; dimensionality reduction.

#### Definitions Commonly Used by Regression and Classification Algorithms

The aforementioned regression and classification algorithms share the data of samples. The essential difference between the two types of algorithms is that the output of regression algorithms is real-type value and in general differs from the real number given in the corresponding learning sample, whereas the output of classification algorithms is integer-type value and must be one of the integers defined in the learning samples. In the view of dataology, the integer-type value is called as discrete attribute, while the real-type value is called as continuous attribute.

The six algorithms (*R*-SVM, BPNN, MRA, *C*-SVM, NBAY, BAYSD) use the same known parameters, and also share the same unknown that is predicted. The only difference between them is the approach and calculation results.

Assume that there are *n* learning samples, each associated with *m*+1 numbers ( $x_1, x_2, ..., x_m, y^*$ ) and a set of observed values ( $x_{i1}, x_{i2}, ..., x_{im}, y_i^*$ ), with *i*=1, 2, ..., *n* for these numbers. In principle, *n*>*m*, but in actual practice *n*>>*m*. The *n* samples associated with *m*+1 numbers are defined as *n* vectors:

$$\mathbf{x} = (x_{i1}, x_{i2}, \dots, x_{im}, y_i^*) \quad (i=1, 2, \dots, n)$$
(1)

where *n* is the number of learning samples; *m* is the number of independent variables in samples;  $x_i$  is the *i*<sup>th</sup> learning sample vector;  $x_{ij}$  is the value of the *j*<sup>th</sup> independent variable in the *i*<sup>th</sup> learning sample, *j*=1, 2, ..., *m*; and  $y_i^*$  is the observed value of the *i*<sup>th</sup> learning sample.

Equation 1 is the expression of learning samples.

Let  $x_0$  be the general form of a vector of ( $x_{i1}, x_{i2}, ..., x_{im}$ ). The principles of BPNN, MRA, NBAY and BAYSD are the same, i.e., try to construct an expression,  $y=y(x_0)$ , such that Eq. (2) is minimized. Certainly, these four different algorithms use different approaches and obtain calculation results in differing accuracies.

$$\sum_{i=1}^{n} \left[ y(\mathbf{x}_{0i}) - y_{i}^{*} \right]^{2}$$
(2)

where  $y=y(x_{0i})$  is the calculation result of the dependent variable in the *i*<sup>th</sup> learning sample; and the other symbols have been defined in Eq. (1).

However, the principles of *R*-SVM and *C*-SVM algorithms are to try to construct an expression,  $y=y(x_0)$ , such that to maximize the margin based on support vector points so as to obtain the optimal separating line.

This  $y=y(x_0)$  is called the fitting formula obtained in the learning process. The fitting formulas of different algorithms are different. In this paper, *y* is defined as a single variable.

The flowchart is as follows: the 1<sup>st</sup> step is the learning process, using *n* learning samples to obtain a fitting formula; the 2<sup>nd</sup> step is the learning validation, substituting *n* learning samples ( $x_{i1}, x_{i2}, ..., x_{im}$ ) into the fitting formula to get prediction values ( $y_1, y_2, ..., y_n$ ), respectively, so as to verify the fitness of an algorithm; and the 3<sup>rd</sup> step is the prediction process, substituting *k* prediction samples expressed with Eq. (3) into the fitting formula to get prediction values ( $y_{n+1}, y_{n+2}, ..., y_{n+k}$ ), respectively.

$$\mathbf{x}_{i=}(x_{i1}, x_{i2}, \dots, x_{im}) \quad (i=n+1, n+2, \dots, n+k)$$
(3)

where *k* is the number of prediction samples;  $x_i$  is the *i*<sup>th</sup> prediction sample vector; and the other symbols have been defined in Eq. (1).

Equation 3 is the expression of prediction samples.

In the six algorithms, only MRA is a linear algorithm whereas the other five are nonlinear algorithms, this is due to the fact that MRA constructs a linear function whereas the other five construct nonlinear functions, respectively.

To express the calculation accuracies of the prediction variable *y* for learning and prediction samples when the six algorithms are used, the following four types of residuals are defined.

The absolute relative residual for each sample,  $R(\%)_i$  (*i*=1, 2, ..., *n*, *n*+1, *n*+2, ..., *n*+*k*), is defined as

$$R(\%)_{i} = \left| (y_{i} - y_{i}^{*}) / y_{i}^{*} \right| \times 100$$
(4)

where  $y_i$  is the calculation result of the dependent variable in the *i*<sup>th</sup> sample; and the other symbols have been defined in Eqs. (1) and (3).  $R(\%)_i$  is the fitting residual to express the fitness for a sample in learning or prediction process.

It is noted that zero must not be taken as a value of  $y_i^*$  to avoid floating-point overflow. Therefore, for regression algorithm, delete the sample if its  $y_i^*=0$ ; and for classification algorithm, positive integer is taken as values of  $y_i^*$ .

The mean absolute relative residual for all learning samples, R1(%), is defined as

$$R_{1}(\%) = \sum_{i=1}^{n} R(\%)_{i} / n$$
(5)

where all symbols have been defined in Eqs. (1) and (4).  $R_1(\%)$  is the fitting residual to express the fitness of learning process.

The mean absolute relative residual for all prediction samples, R<sub>2</sub>(%), is defined as

$$R_{2}(\%) = \sum_{i=n+1}^{k} R(\%)_{i} / k$$
(6)

where all symbols have been defined in Eqs. (3) and (4).  $R_2(\%)$  is the fitting residual to express the fitness of prediction process.

The total mean absolute relative residual for all samples, R(%), is defined as

$$R(\%) = \sum_{i=1}^{n+k} R(\%)_i / (n+k)$$
(7)

where all symbols have been defined in Eqs. (1), (3) and (4). If there are no prediction samples, k=0, then  $R(\%)=R_1(\%)$ .

R(%) is the fitting residual to express the fitness of learning and prediction processes.

When the six algorithms (*R*-SVM, BPNN, MRA, *C*-SVM, NBAY, BAYSD) are used to solve a real-world problem, they often produce different solution accuracies. Toward this issue, the following three criteria have been proposed (Table 1).

TABLE 1 CRITERION 1 (NONLINEARITY DEGREE OF A STUDIED PROBLEM), CRITERION 2 (SOLUTION ACCURACY OF A GIVEN ALGORITHM APPLICATION), AND CRITERION 3 (RESULTS AVAILABILITY OF A GIVEN ALGORITHM APPLICATION)

Range of <i>R</i> (%)	Criterion 1 (nonlinearity degree of a studied problem) based on <i>R</i> (%) of MRA	Criterion 2 (solution accuracy of a given algorithm application) based on its $R(\%)$	Criterion 3 (Results availability of a given algorithm application)		
R(%)<10	Weak	High	Applicable		
10≤ <i>R</i> (%)≤30	Moderate	Moderate	Inapplicable		
R(%)>30	Strong	Low	Inapplicable		

Criterion 1: Nonlinearity Degree of a Studied Problem

Since MRA is a linear algorithm, its R(%) for a studied problem expresses the nonlinearity degree of y=y(x) to be solved, i.e. the nonlinearity degree of the studied problem. This nonlinearity degree can be divided into three classes: weak, moderate, and strong (Table 1).

#### Criterion 2: Solution Accuracy of a Given Algorithm Application

Whether linear algorithm (MRA) or nonlinear algorithms (*R*-SVM, BPNN, *C*-SVM, NBAY, BAYSD), the R(%) value of a given application expresses the accuracy of y=y(x) obtained by each algorithm, i.e. solution accuracy of each

algorithm for solving the application. This solution accuracy can be divided into three classes: high, moderate, and low (Table 1).

#### Criterion 3: Results Availability of a Given Algorithm Application

In general, this results availability is divided into two classes: applicable if R(%)<5, and inapplicable if R(%)≥5. In this paper, however, this results availability is divided into two classes: applicable if R(%)<10, and inapplicable if R(%)≥10 (Table 1). This is because the subsurface geoscience is different from the other fields, with miscellaneous data types, huge quantity, different measuring precision, and lots of uncertainties to data processing results (Shi, 2013).

#### Six Algorithms

Through the learning process, each method constructs its own function y=y(x). The methods of the six methods (*R*-SVM, BPNN, MRA, *C*-SVM, NBAY, BAYSD) are described below. It is noted that y=y(x) created by BPNN is an implicit expression, i.e. which cannot be expressed as a usual mathematical formula; whereas that of the other five methods are explicit expressions, i.e. which are expressed as a usual mathematical formula.

The following will discuss the six methods. Because support vector machine (SVM) has both classification (*C*-SVM) and regression (*R*-SVM) methods, SVM is generally introduced ahead. Since the 1990's, SVM has been gradually applied in natural and social sciences, especially widely in this century. SVM is an approach utilizing machine-learning based on statistical learning theory. It is essentially performed by converting a real-world problem (the original space) into a new higher dimensional feature space using the kernel function, and then constructing a linear discriminate function in the new space to replace the nonlinear discriminate function. Theoretically, SVM can obtain the global optimal solution and avoid converging to a local optimal solution as can possibly occur in BPNN, though this problem in BPNN is rare if BPNN is properly coded (Shi, 2013). The SVM procedure includes two principal methods: 1) *C*-SVM, such as the binary classification (Chang and Lin, 2001); and 2) *R*-SVM, such as the binary classification (Chang and Lin, 2001); and 2) *R*-SVM, such as the *&*-regression (Shi, 2013; Chang and Lin, 2011; Shi et al., 2014), and the *w*-binary classification for *R*-SVM are employed. Moreover, it is better to take RBF (radial basis function) as a kernel function than to take the linear, polynomial and sigmoid functions under strong nonlinear conditions (Shi, 2013; Chang and Lin, 2011), and thus the kernel function used in *C*-SVM and *R*-SVM is the RBF.

#### 1) R-SVM

A technique of *R*-SVM,  $\varepsilon$ -regression (Shi, 2013; Chang and Lin, 2011), has been employed. The formula created by this technique is an expression with respect to a vector x, which is so-called a nonlinear function  $y=R-SVM(x_1, x_2, ..., x_m)$ :

$$y = \sum_{i=1}^{n} \left[ (\alpha_i^* - \alpha_i) \exp(-\gamma \| \mathbf{x} - \mathbf{x}_i \|^2) \right] + b$$
(8)

where  $\boldsymbol{\alpha}$  and  $\boldsymbol{\alpha}^*$  are the vector of Lagrange multipliers,  $\boldsymbol{\alpha}=(\alpha_1, \alpha_2, ..., \alpha_n)$  and  $\boldsymbol{\alpha}^*=(\alpha_1^*, \alpha_2^*, ..., \alpha_n^*)$ ,  $0 \le \alpha_i \le C$  and  $0 \le \alpha_i^* \le C$  where *C* is the penalty factor, and the constraint  $\sum_{i=1}^n (\alpha_i^* - \alpha_i) = 0$ ;  $\exp(-\gamma || \mathbf{x} - \mathbf{x}_i ||^2)$  is a RBF kernel function;  $\gamma$  is the regularization parameter,  $\gamma > 0$ ; and *b* is the offset of the separating hyperplane, which can be calculated using the free vectors  $\mathbf{x}_i$ . These free  $\mathbf{x}_i$  are those vectors corresponding to  $\alpha_i > 0$  and  $\alpha_i^* > 0$ , on which the final *R*-SVM model depends.

 $\alpha_i$ ,  $\alpha_i^*$ , *C*, and  $\gamma$  can be solved using the dual quadratic optimization:

$$\max_{\boldsymbol{a},\boldsymbol{a}^{*}} \left\{ \sum_{i=1}^{n} (\alpha_{i}^{*} - \alpha_{i}) y_{i} - \varepsilon \sum_{i=1}^{n} (\alpha_{i} + \alpha_{i}^{*}) \right\}$$

$$-\frac{1}{2} \sum_{i,j=1}^{n} \left[ (\alpha_{i} - \alpha_{i}^{*}) (\alpha_{j} - \alpha_{j}^{*}) \exp(-\gamma ||\mathbf{x}_{i} - \mathbf{x}_{j}||^{2}) \right]$$
(9)

where  $\varepsilon$  ( $\varepsilon$ >0) is determined by user.

It is noted that in the case study below the formulas corresponding to Eq. (8) are not concretely written out due to their large size.

# 2) BPNN

The BPNN procedure has been widely applied since the 1980's (Shi, 2013; Darabi et al., 2010; Labani et al., 2010), and the application of BPNN is still predominant. The formula created by BPNN is an expression with respect to *m* parameters ( $x_1, x_2, ..., x_m$ ) (Shi, 2013):

$$y=BPNN(x_1, x_2, ..., x_m)$$
 (10)

where *BPNN* is a nonlinear function, which cannot be expressed as a usual mathematical formula and so it is an implicit expression. BPNN consists of one input layer, one or more hidden layers, and one output layer. In the case study below, only one hidden layer is employed. There is no theory yet to determine how many hidden layers are needed for any given case, but in the case of output layer with only one node, it is enough to define one hidden layer. Moreover, it is also difficult to determine how many nodes a hidden layer should have. For solving local minima problem, it is suggested to use the large  $N_{hidden}=2(N_{input}+N_{output})-1$  estimate where  $N_{hidden}$  is the number of hidden nodes,  $N_{input}$  is the number of input nodes and  $N_{output}$  is the number of output nodes. The values of the network learning rate for the output layer and the hidden layer are within (0, 1), and in practice they can be the same.

The term back-propagation refers to the way (Shi, 2013; <u>Güler</u> and <u>Übeyli</u>, 2003), the error computed at the output side is propagated backward from the output layer, to the hidden layer, and finally to the input layer. Each iteration of BPNN constitutes two sweeps: forward to calculate a solution by using a sigmoid activation function, and backward to compute the error and thus to adjust the weights and thresholds for the next iteration. This iteration is performed repeatedly until the solution agrees with the desired value within a required tolerance. The error takes the root mean square error (Shi, 2013; Hush and Horne, 1993) is

$$RMSE(\%) = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - y_i^*)^2} \times 100$$
(11)

where  $y_i$  and  $y_i^*$  are under the conditions of normalizations in the learning process. *RMSE*(%) is used in the conditions for terminating network learning.

#### 3) MRA

The MRA procedure has been widely applied since the 1970's (Shi, 2013; Sharma et al., 2010; Singh et al., 2008), and the successive regression analysis, the most popular MRA technique, is still a very useful tool. The formula created by this technique is a linear combination with respect to *m* parameters ( $x_1, x_2, ..., x_m$ ), plus a constant term, which is so-called a linear function  $y=MRA(x_1, x_2, ..., x_m)$  (Shi, 2013):

$$y = b_0 + b_1 x_1 + b_2 x_2 + \dots + b_m x_m \tag{12}$$

where the constants  $b_0$ ,  $b_1$ ,  $b_2$ , ...,  $b_m$  are deduced using regression criteria and calculated by the successive regression analysis of MRA. Eq. (12) is a so-called "regression equation". In rare cases an introduced  $x_k$  can be deleted in the regression equation, and in much rarer cases a deleted  $x_k$  could be again introduced into the regression equation. Therefore, usually Eq. (12) is solved via *m* iterations.

#### 4) C-SVM

A technique of C-SVM, the binary classifier (Shi, 2013; Chang and Lin, 2011), has been employed. The formula created by this technique is an expression with respect to a vector x, which is so-called a nonlinear function y=C- $SVM(x_1, x_2, ..., x_m)$ :

$$y = \sum_{i=1}^{n} \left[ y_i \alpha_i \exp(-\gamma \| \mathbf{x} - \mathbf{x}_i \|^2) \right] + b$$
(13)

where  $\alpha$  is the vector of Lagrange multipliers,  $\alpha = (\alpha_1, \alpha_2, ..., \alpha_n)$ ,  $0 \le \alpha_1 \le C$  where *C* is the penalty factor, and the

constraint  $\sum_{i=1}^{n} y_i \alpha_i = 0$ ; exp $(-\gamma || \mathbf{x} - \mathbf{x}_i ||^2)$  is a RBF kernel function;  $\gamma$  is the regularization parameter,  $\gamma > 0$ ; and b is the offset of the separating hyperplane, which can be calculated using the free vectors  $\mathbf{x}_i$ . These free  $\mathbf{x}_i$  are those vectors corresponding to  $\alpha_i > 0$ , on which the final *C*-SVM model depends.

 $\alpha_i$ , *C*, and  $\gamma$  can be solved using the dual quadratic optimization:

$$\max_{\boldsymbol{\alpha}} \left\{ \sum_{i=1}^{n} \alpha_i - \frac{1}{2} \cdot \sum_{i,j=1}^{n} \left[ \alpha_i \alpha_j y_i y_j \exp(-\gamma \| \mathbf{x}_i - \mathbf{x}_j \|^2) \right] \right\}$$
(14)

It is noted that in the case study below the formulas corresponding to Eq. (13) are not concretely written out due to their large size.

#### 5) NBAY

The NBAY procedure has been widely applied since the 1990's, and widely applied in this century (Shi, 2013; Ramoni and Sebastiani, 2001). The following introduces a NBAY technique, i.e. the naïve Bayesian. The formula created by this technique is a set of nonlinear products with respect to *m* parameters ( $x_1, x_2, ..., x_m$ ) (Shi, 2013; Tan et al., 2005; Han and Kamber, 2006):

$$N_{l}(\mathbf{x}) = \prod_{j=1}^{m} \left\{ \frac{1}{\sigma_{jl} \sqrt{2\pi}} \exp\left(\frac{-(x_{j} - \mu_{jl})^{2}}{2\sigma_{jl}^{2}}\right) \right\}$$

$$(l = 1, 2, ..., L)$$
(15)

where *l* is the class number, *L* is the number of classes,  $N_l(\mathbf{x})$  is the discrimination function of the *l*<sup>th</sup> class with respect to  $\mathbf{x}$ ,  $\sigma_{jl}$  is the mean square error of  $x_j$  in Class *l*,  $\mu_{jl}$  is the mean of  $x_j$  in Class *l*. Eq. (15) is so-called a naïve Bayesian discrimination function.

Once Eq. (15) is created, any sample shown by Eq. (1) or Eq. (3) can be substituted in Eq. (15) to obtain *L* values:  $N_1, N_2, ..., N_L$ . If  $N_{l_b} = \max_{\substack{1 \le l \le L}} \{N_l\}$ , then

$$y=l_{\rm b}$$
 (16)

for this sample.

Eq. (16) is so-called a nonlinear function y=NBAY ( $x_1, x_2, ..., x_m$ ).

#### 6) BAYSD

The BAYSD procedure has been widely applied since the 1990's, and widely applied in this century (Shi, 2013; Denison et al., 2002; Shi, 2011). The following introduces BAYSD technique. The formula created by this technique is a set of nonlinear combinations with respect to *m* parameters ( $x_1, x_2, ..., x_m$ ), plus two constant terms (Shi, 2013):

$$B_l(\mathbf{x}) = \ln(p_l) + c_{0l} + \sum_{j=1}^m c_{jl} x_j \quad (l = 1, 2, \dots L)$$
(17)

where *l* is the class number, *L* is the number of classes,  $B_l(x)$  is the discrimination function of the *l*<sup>th</sup> class with respect to x,  $c_{jl}$  is the coefficient of  $x_j$  in the *l*<sup>th</sup> discrimination function,  $p_l$  and  $c_{0l}$  are two constant terms in the *l*<sup>th</sup> discrimination function. The constants  $p_l$ ,  $c_{0l}$ ,  $c_{1l}$ ,  $c_{2l}$ , ...,  $c_{ml}$  are deduced using Bayesian theorem and calculated by the successive Bayesian discrimination. Eq. (17) is so-called a Bayesian discrimination function. In rare cases an introduced  $x_k$  can be deleted in the Bayesian discrimination function, and in much rarer cases a deleted  $x_k$  could be again introduced into the Bayesian discrimination function. Therefore, usually Eq. (17) is solved via *m* iterations.

Once Eq. (17) is created, any sample shown by Eq. (1) or Eq. (3) can be substituted in Eq. (17) to obtain *L* values:  $B_1, B_2, ..., B_L$ . If  $B_{l_b} = \max_{1 \le l \le L} \{B_l\}$ , then y=lb

(18)

for this sample.

Eq. (18) is so-called a nonlinear function  $y=BAYSD(x_1, x_2, ..., x_m)$ .

In the case study below, for both *R*-SVM and *C*-SVM, the termination of calculation accuracy TCA is fixed to 0.001, and the RBF is taken as a kernel function.

# **Dimensionality Reduction**

The definition of dimensionality reduction is to reduce the number of dimensions of a data space as small as possible but the results of studied problem are unchanged. The benefits of dimensionality reduction are to reduce the amount of data can enhance the calculating speed, to reduce the independent variables can extend applying ranges, and to reduce misclassification ratio of prediction samples can enhance processing quality.

Among the aforementioned six algorithms, each of MRA and BAYSD can serve as a promising dimensionreduction tool, respectively, because the two algorithms all can give the dependence of the predicted value (y) on independent variables ( $x_1, x_2, ..., x_m$ ), in decreasing order. However, because MRA belongs to data analysis in linear correlation whereas BAYSD is in nonlinear correlation, in applications the preferable tool is BAYSD, whereas MRA is available only when the studied problems are linear. The called "promising tool" means whether it succeeds or not that needs a high-class nonlinear tool (e.g., BPNN for regression problem, *C*-SVM for classification problem) for the validation, so as to determine how many independent variables can be reduced.

For example, a case study (Shi et al., 2014) is a 16-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{15}$ , y). For MRA,  $x_1$  is the minimum dependence of y, so we tried to delete  $x_1$  and run C-SVM, the results show  $R_1(\%)=0.036$  and  $R_2(\%)=8.13$ , but the results without this deletion are  $R_1(\%)=0$  and  $R_2(\%)=6.30$ , which indicates this dimension-reduction fails. For BAYSD, however, it runs in the condition without  $x_{13}$ ,  $x_7$ ,  $x_6$ ,  $x_{14}$   $x_{12}$ ,  $x_3$ ,  $x_2$  and  $x_9$ , its R(%) is 16.81 that is the same as that without this deletion, which shows the dimension of this studied problem can be reduced from 16-D to 8-D. Why is the dimension-reduction of BAYSD succeeded but that of MRA failed? The reason is that the nonlinearity of the studied problem is strong due to R(%) of MRA is 52.14, and BAYSD is a nonlinear algorithm while MRA is a linear algorithm.

In the case study below, for the regression problem, dimension-reduction failed by using MRA and BPNN, i.e., the 13-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y) cannot become 12-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ , y); for the classification problem, dimension-reduction failed by using BAYSD and C-SVM, i.e., the 13-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y) cannot become 12-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y) cannot become 12-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y) cannot become 12-D problem ( $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y). That means the expression of variable (y) needs all of 12 independent variables ( $x_1$ ,  $x_2$ , ...,  $x_{12}$ ) for this regression problem or this classification problem.

# Case Study: Naturally Fractured Layer Productivity at an Oilfield in Sichuan Province of China

This case study consists of two problems: regression and classification. The objective of this case study is to calculate the natrual productivity of layer (NPL), and to determine the layer productivity classification (LPC) for naturally fractured layers, which has practical value when well test is less limited.

Using data of 31 samples from an oilfield in Sichuan Province of China, and each sample contains 12 independent variables ( $x_1$  = layer thickness,  $x_2$  = morphology type,  $x_3$  = maximum of acoustic log,  $x_4$  = mimimum of fracture width,  $x_5$  = porosity of fractures,  $x_6$  = permeability of fractures,  $x_7 = x_6 \bullet x_1$ ,  $x_8$  = fracture density,  $x_9$  = absolute difference of deep laterolog and shallow laterolog,  $x_{10}$  = well logging porosity,  $x_{11}$  = rock porosity,  $x_{12}$  = 10<sup>-2</sup>  $x_{11} \bullet x_1$ ) and one variable ( $y^*$  = NPL) given in Table 2, Tang et al. (2008) adopted MRA for the prediction of NPL. In the case study, among these 31 samples, 28 are taken as learning samples and 3 as prediction samples (Table 2) for the prediction of both NPL and LPC, in which for NPL using *R*-SVM, BPNN and MRA, and for LPC using *C*-SVM, NBAY and BAYSD. It is noted that this LPC is figured out from NPL by using the conversion rules given in Table 3.

				-	12 parameters related to <i>y</i> <sup>a</sup>												
Sample	Sample	Well	Laver		Para	Parameters of Parameters of							Parameters of reservoir		<i>y</i> *	•	
type	No.	No.	No.	<b>x</b> 1	aco	oustic log		1	well loggi	ng in fracture	es		I uIuI				1
., PC	1.01	1.001	1.00	(m)	<b>X</b> 2	<b>X</b> 3	<b>X</b> 4	<b>x</b> 5	<b>X</b> 6	<b>X</b> 7	<b>x</b> 8	<b>X</b> 9	<b>X</b> 10	<b>X</b> 11	<b>X</b> 12	NPLb	LPC
						(µs/m)	(m)	(%)	(10 <sup>-3</sup> µm <sup>2</sup> )	(10 <sup>-3</sup> µm <sup>2</sup> ⋅m)		(Ω·m)	(%)	(%)	(m)		
	1	CX1	В	35	2.5	112.56	0.298	0.038	0.14	4.907	0.125	8	8	8.648	3.027	0.001	3
	2	CX2	В	18	2	120.62	0.408	0.032	0.223	4.014	0.193	1	11	9.871	1.777	0.001	3
	3	CX2	С	13	2.5	111.25	0.963	0.067	2.587	33.63	1.47	5	10	9.463	1.23	1.3163	1
	4	CX3	А	24	2	169.06	0.597	0.02	0.293	6.874	0.91	8	9	9.056	2.128	0.1513	2
	5	CX3	В	19	2.5	140.94	0.559	0.026	0.335	6.19	0.178	6	11	9.871	1.826	0.5039	2
	6	CX3	С	20	3	164.32	0.948	0.169	6.307	126.1	25.9	10	13	10.69	2.137	3.1	1
	7	CX4	А	18	2	133.85	0.186	0.027	0.039	0.696	0.61	8	12	10.28	1.85	0.4209	2
	8	CX4	С	16	2.5	126.15	0.916	0.079	2.76	42.78	3.06	4	16	11.91	1.846	1.834	1
	9	CX5	С	13	2	20	0.152	0.048	0.046	0.601	1.81	1	10	9.463	1.23	0.0054	3
	10	CX6	А	25	2.5	180	0.289	0.032	0.111	2.775	0.41	7	9	9.056	2.264	0.62	2
	11	CX6	В	26	1	205	0.282	0.03	0.099	2.579	0.286	2	8	8.648	2.248	0.1595	2
	12	CX6	С	11	1	170	0.315	0.034	0.136	1.572	0.214	3	10	9.463	1.079	0.1595	3
	13	CX7	В	19	2	153.18	0.423	0.04	0.298	5.775	0.08	3	10	9.463	1.836	0.001	3
Learning	14	CX7	С	11	2	137.06	0.403	0.044	0.297	3.123	0.11	1	10	9.463	0.994	0.001	3
samples	15	CX8	А	24	3	159.06	0.351	0.077	0.395	9.48	2.31	5	12	10.28	2.467	0.7244	2
-	16	CX8	В	15	2	16.86	0.237	0.046	0.106	1.619	0.207	5	7	8.24	1.236	0.001	3
	17	CX8	С	15	2	149.69	0.306	0.043	0.166	2.487	0.154	5	8.5	8.852	1.328	0.001	3
	18	CX9	А	24	4	162.04	0.902	0.067	2.944	70.66	5.45	6	11	9.871	2.369	1.4735	1
	19	X1	В	34	2	124.01	0.108	0.059	0.029	0.974	0.092	4	8	8.648	2.94	0.001	3
	20	X1	С	14	2	134.26	0.099	0.053	0.022	0.31	0.643	4	11	9.871	1.402	0.515	2
	21	X2	В	17	2	142.09	0.335	0.012	0.054	0.915	0.233	2	9	9.056	1.539	0.001	3
	22	X2	С	17	3	147.52	0.988	0.123	4.996	84.93	19.9	2	10	9.463	1.609	2.652	1
	23	Х3	A	17	2	137.41	0.356	0.096	0.507	8.511	1.14	2	13	10.69	1.795	0.856	2
	24	X3	В	28	2.5	117.24	0.283	0.036	0.12	3.297	0.196	1	7	8.24	2.266	0.001	3
	25	X3	C	8.5	1	86.94	0.328	0.033	0.148	1 255	0.309	0	8	8 648	0.735	0.001	3
	26	X4	C	17	3	157.62	1 055	0 127	5 875	99.88	33 85	2	12	10.28	1 747	2 111	1
	27	X5	B	37	1.5	127.31	0.123	0.048	0.03	1 113	0 177	2	11	9 871	3 652	0.001	3
	28	X8	Ā	21	1	142.47	0.168	0.022	0.025	0.527	0.178	1	8	8.648	1.816	0.0507	3
	29	CX1	C	20	2	125.6	0.892	0.08	2.648	52.96	3.25	6	12	10.28	2.056	(1.62)	(1)
Prediction	30	X5	Ă	21	2	142 27	0.335	0.044	0.205	4.203	0.369	3	13	10.69	2.191	(0.571)	(2)
samples	31	X5	C	10	2	132.36	0.191	0.043	0.065	0.654	0.183	2	10	9.463	0.946	(0.001)	(3)

TABLE 2 INPUT DATA FOR NATURALLY FRACTURED LAYER PRODUCTIVITY AT AN OILFIELD IN SICHUAN PROVINCE OF CHINA [MODIFIED FROM (TANG ET AL., 2008)]

<sup>a</sup>  $x_1$  = layer thickness h,  $x_2$  = morphology type,  $x_3$  = maximum of acoustic log,  $x_4$  = mimimum of fracture width,  $x_5$  = porosity of fractures,  $x_6$  = permeability of fractures,  $x_7 = x_6 \bullet x_1$ ,  $x_8$  = fracture density (number of fractures per meter),  $x_9$  = absolute difference of deep laterolog and shallow laterolog,  $x_{10}$  = well logging porosity,  $x_{11}$  = rock porosity,  $x_{12}$  =  $10^{-2} x_{11} \bullet x_1$ .

<sup>b</sup> NPL = the natrual productivity of layer ( $10^4$ m<sup>3</sup>/d) determined by the well test, number in parenthesis is not input data, but is used for calculating  $R(\%)_{i.}$ 

<sup>c</sup> LPC = the layer productivity classification (1–high-productivity layer, 2–intermediate-productivity layer, 3–low-productivity layer) determined by Table3, number in parenthesis is not input data, but is used for calculating  $R(\%)_{i}$ .

TABLE 3 LAYER PRODUCTIVITY CLASSIFICATION BASED ON NATRUAL PRODUCTIVITY OF LAYER AT AN OILFIELD IN SICHUAN PROVINCE OF CHINA

Layer productivity	NPL (Natrual productivity of layer) (104m³/d)	LPC (Layer productivity classification)
High-productivity layer	NPL>1	1
Intermediate-productivity layer	0.1≤NPL≤1	2
Low-productivity layer	NPL<0.1	3

#### Regression Problem for Calculating the Natrual Productivity of Layer (NPL)

Using the 28 learning samples with  $y^*$ = NPL (Table 2) and by *R*-SVM, BPNN and MRA, the following three functions of NPL (*y*) with respect to 12 independent variables ( $x_1, x_2, ..., x_{12}$ ) have been constructed.

Using *R*-SVM, the result is an explicit nonlinear function corresponding to Eq. (8):

$$y = R - SVM(x_1, x_2, \dots, x_{12})$$
(19)

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with the penalty factor C=1, the insensitive function  $\epsilon$ =0.1, the regularization parameter  $\gamma$ =0.0833333, and 20 free vectors  $x_i$ .

The BPNN used consists of 12 input layer nodes, 1 output layer node and 25 hidden layer nodes, and the values of the network learning rate for the output layer and the hidden layer are fixed to 0.6. The result is an implicit nonlinear function corresponding to Eq. (10):

$$y=BPNN(x_1, x_2, ..., x_{12})$$
 (20)

with the optimal learning time count  $t_{opt}$ =31263, and RMSE(%)=0.806×10<sup>-2</sup>

Using MRA, the result is an explicit linear function corresponding to Eq. (12):

 $y=-290-0.00249x_1+0.164x_2+0.00254x_3-0.941x_4+1.95x_5+0.925x_6-0.0142x_7-0.0496x_8+0.0191x_9-21.9x_{10}+53.7x_{11}-0.0693x_{12}$  (21)

Equation 21 yields a residual variance of 0.0348, and a multiple correlation coefficient of 0.982. From the regression process, NPL (*y*) is shown to depend on the 12 independent variables in decreasing order:  $x_6$ ,  $x_{11}$ ,  $x_8$ ,  $x_{10}$ ,  $x_4$ ,  $x_3$ ,  $x_2$ ,  $x_7$ ,  $x_1$ ,  $x_9$ ,  $x_5$ , and  $x_{12}$ .

	Sample No.	NPLª							
Sample type			Regression algorithm						
		y' (given in Table 2)	R-SVM		BPNN		MRA		
			у	R(%)i	у	R(%)i	у	R(%)i	
Learning samples	1	0.001	0.102	10056	0.001	0	0.00977	9672	
	2	0.001	0.172	16973	0.001	0	0.118	11660	
	3	1.3163	1.19	9.71	1.39	5.55	1.5	13.7	
	4	0.1513	0.268	77.1	0.173	14.5	0.0637	57.9	
	5	0.5039	0.404	19.9	0.474	6.03	0.261	48.3	
	6	3.1	2.5	19.4	3.1	0	3.19	2.99	
	7	0.4209	0.375	10.9	0.484	15	0.445	5.82	
	8	1.834	1.73	5.44	3.04	65.7	1.77	3.54	
	9	0.0054	0.105	1844	0.001	81.5	-0.0677	1354	
	10	0.62	0.178	71.3	0.694	11.9	0.37	40.3	
	11	0.1595	0.0651	59.2	0.0887	44.4	0.035	78.1	
	12	0.1595	0.242	51.4	1.21	658	0.171	7.4	
	13	0.001	0.193	19190	0.001	0	0.227	22620	
	14	0.001	0.256	25468	0.001	0	0.288	28690	
	15	0.7244	0.652	10	0.885	22.1	0.621	14.2	
	16	0.001	0.0705	6954	0.001	0	-0.0834	8444	
	17	0.001	0.151	14966	0.001	0.0337	0.308	30660	
	18	1.4735	1.57	6.82	2.74	86	1.51	2.25	
	19	0.001	-0.00506	606	0.001	0	0.151	15030	
	20	0.515	0.269	47.8	0.519	0.828	0.422	18.2	
	21	0.001	-0.0192	2024	0.001	0	0.0663	6534	
	22	2.652	2.04	23	3.08	16.1	2.25	15.1	
	23	0.856	0.738	13.8	0.931	8.71	0.78	8.83	
	24	0.001	-0.0548	5584	0.001	0	0.000427	57.3	
	25	0.001	0.101	9981	0.001	0	-0.13	13060	
	26	2.111	2.21	4.73	3.08	46	2.29	8.39	
	27	0.001	0.101	9972	0.001	0	0.0574	5643	
	28	0.0507	-0.0659	230	0.001	98	-0.0431	185	
Due di ati a	29	1.62	2.21	4.73	3.07	89.8	1.35	16.8	
samples	30	0.571	0.43	24.6	0.001	99.8	0.513	10.2	
	31	0.001	0.19	18906	0.001	0	0.315	31420	

<sup>a</sup> NPL = the natrual productivity of layer  $(10^4 \text{m}^3/\text{d})$ .

Algorithm	Fitting formula	Mean absolute relative residual			Dependence of the predicted value (y)	Time	Problem		
		R1(%)	R2(%)	R(%)	on independent variables (x1, x2,, x12), in decreasing order	consuming on PC (Intel Core 2)	nonlinearit y	Solution accuracy	Results availability
R-SVM	Nonlinear, explicit	4439	6312	4620	N/A	3 s	N/A	Low	Inapplicable
BPNN	Nonlinear, implicit	42	63	44	N/A	30 s	N/A	Low	Inapplicable
MRA	Linear, explicit	5498	10480	5980	x6, x11, x8, x10, x4, x3, x2, x7, x1, x9, x5, x12	<1 s	Strong	Low	Inapplicable

TABLE 5 COMPARISON AMONG THE APPLICATIONS OF REGRESSION ALGORITHMS (*R*-SVM, BPNN AND MRA) TO NATRUAL PRODUCTIVITY OF LAYER (NPL) AT AN OILFIELD IN SICHUAN PROVINCE OF CHINA

Substituting the values of 12 independent variables ( $x_1$ ,  $x_2$ , ...,  $x_{12}$ ) given by the 28 learning samples and 3 prediction sample (Table 2) in Eqs. (19), (20) and (21), respectively, the NPL (y) of each sample is obtained (Table 4).

From Table 5, *R*-SVM, BPNN and MRA are inapplicable since their *R*(%) values are 4620, 44 and 5980, respectively.

#### Dimension-Reduction Failed by Using MRA and BPNN

MRA gives the dependence of the predicted value (*y*) on 12 independent variables, in decreasing order:  $x_6$ ,  $x_{11}$ ,  $x_8$ ,  $x_{10}$ ,  $x_4$ ,  $x_3$ ,  $x_2$ ,  $x_7$ ,  $x_1$ ,  $x_9$ ,  $x_5$ ,  $x_{12}$  (Table 5). According to this dependence order, at first, deleting  $x_{12}$  and running BPNN, it is found the results of BPNN are changed with R(%)=58 which is greater than previous R(%)=44 (Table 5). Thus the 13-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y) cannot become 12-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ , y). That means the expression of variable (y) needs all of 12 independent variables ( $x_1$ ,  $x_2$ , ...,  $x_{12}$ ) for this regression problem.

#### Classification Problem for Determining the Layer Productivity Classification (LPC)

Using the 28 learning samples with  $y^*$ =LPC (Table 2) and by C-SVM, NBAY and BAYSD, the following three functions of LPC (y) with respect to 12 independent variables ( $x_1, x_2, ..., x_{12}$ ) have been constructed.

Using C-SVM, the result is an explicit nonlinear function corresponding to Eq. (13):

$$y=C-SVM(x_1, x_2, ..., x_{12})$$
 (22)

with C=32,  $\gamma$  =0.5, 27 free vectors  $x_i$ , and the cross validation accuracy CVA=92.8571%.

Using NBAY, the result is an explicit nonlinear discriminate function corresponding to Eq. (15):

$$N_{l}(\mathbf{x}) = \prod_{j=1}^{12} \left\{ \frac{1}{\sigma_{jl} \sqrt{2\pi}} \exp\left(\frac{-(x_{j} - \mu_{jl})^{2}}{2\sigma_{jl}^{2}}\right) \right\}$$

$$(l = 1, 2, 3)$$
(23)

where for *l*=1,  $\sigma_{j1}$ =3.44, 0.5, 19.7, 0.05, 0.38, 1.53, 31.9, 12.3, 2.73, 2.08, 0.849, 0.365,  $\mu_{j1}$ =17.9, 3, 145, 0.962, 0.105, 4.25, 76.3, 14.9, 4.83, 12, 10.3, 1.82; for *l*=2,  $\sigma_{j2}$ =4.14, 0.545, 24.2, 0.159, 0.026, 0.169, 3.31, 0.639, 2.28, 1.65, 0.675, 0.32,  $\mu_{j2}$ =20.9, 2.13, 157.4, 0.34, 0.045, 0.225, 4.68, 0.811, 5.25, 10.6, 9.72, 2; for *l*=3,  $\sigma_{j3}$ =9.19, 0.486, 44.2, 0.102, 0.011, 0.089, 1.62, 0.425, 2.13, 1.32, 0.536, 0.832,  $\mu_{j3}$ =20.2, 1.82, 116, 0.278, 0.038, 0.13, 2.3, 0.291, 2.64, 8.96, 9.04, 1.82.

Once Eq. (23) is created, the values of 12 independent variables ( $x_1, x_2, ..., x_{12}$ ) of any sample (Table 2) can be substituted in Eq. (23) to obtain 3 values:  $N_1, N_2, N_3$ . If  $N_{l_b} = \max_{1 \le l \le 3} \{N_l\}$ , then

$$y=l_{\rm b}$$
 (24)

for this sample.

Using BAYSD, the result is an explicit nonlinear discriminate function corresponding to Eq. (17):
$$B_{1}(\mathbf{x}) = \ln(0.214) - 0.4192 \times 10^{8} - 2872x_{1} + 7744x_{2} + 7.2x_{3} - 16503x_{4} - 0.4087 \times 10^{6}x_{5} + 17551x_{6} - 798x_{7} - 343x_{8} - 1151x_{9} - 0.6356 \times 10^{7}x_{10} + 0.1558 \times 10^{8}x_{11} + 31719x_{12} B_{2}(\mathbf{x}) = \ln(0.286) - 0.4194 \times 10^{8} - 2870x_{1} + 7730x_{2} + 7.36x_{3} - 16440x_{4} - 0.4079 \times 10^{6}x_{5} + 17407x_{6} - 797x_{7} - 332x_{8} - 1147x_{9} - 0.6358 \times 10^{7}x_{10} + 0.1558 \times 10^{8}x_{11} + 31687x_{12} B_{3}(\mathbf{x}) = \ln(0.5) - 0.4194 \times 10^{8} - 2870x_{1} + 7729x_{2} + 7.3x_{3} - 16446x_{4} - 0.4079 \times 10^{6}x_{5} + 17414x_{6} - 796x_{7} - 332x_{8} - 1148x_{9} - 0.6357 \times 10^{7}x_{10} + 0.1558 \times 10^{8}x_{11} + 31690x_{12}$$
(25)

Once Eq. (25) is created, the values of 12 independent variables ( $x_1, x_2, ..., x_{12}$ ) of any sample (Table 2) can be substituted in Eq. (25) to obtain 3 values:  $B_1, B_2, B_3$ . If  $B_{l_b} = \max_{1 \le l \le 3} \{B_l\}$ , then

$$y=l_{\rm b}$$
 (26)

for this sample.

From the successive process, LPC (*y*) is shown to depend on the 12 independent variables in decreasing order: *x*<sub>4</sub>, *x*<sub>6</sub>, *x*<sub>8</sub>, *x*<sub>9</sub>, *x*<sub>5</sub>, *x*<sub>3</sub>, *x*<sub>12</sub>, *x*<sub>10</sub>, *x*<sub>11</sub>, *x*<sub>7</sub>, *x*<sub>2</sub>, and *x*<sub>1</sub>.

Though MRA is a regression algorithm rather than a classification algorithm, MRA can provide the nonlinearity degree of the studied problem, and thus it is required to run MRA.

Using MRA, the result is an explicit linear function corresponding to Eq. (12):

 $y = -523 + 0.0224x_1 - 0.296x_2 - 0.00412x_3 + 0.147x_4 + 3.99x_5 - 1.05x_6 + 0.0313x_7 + 0.0488x_8 - 0.0395x_9 + 39.3x_{10} - 96.4x_{11} - 0.189x_{12}$ (27)

Equation 27 yields a residual variance of 0.17 and a multiple correlation coefficient of 0.911. From the regression process, MIC (*y*) is shown to depend on the 12 independent variables in decreasing order:  $x_4$ ,  $x_{11}$ ,  $x_9$ ,  $x_3$ ,  $x_6$ ,  $x_8$ ,  $x_7$ ,  $x_2$ ,  $x_{12}$ ,  $x_{10}$ ,  $x_5$ , and  $x_1$ .

Substituting the values of 12 independent variables ( $x_1$ ,  $x_2$ , ...,  $x_{12}$ ) given by the 28 learning samples and 3 prediction samples (Table 2) in Eq. (22), Eq. (23) [and then use Eq. (24)], Eq. (25) [and then use Eq. (26)], and Eq. (27), respectively, the LPC (y) of each sample is obtained (Table 6).

From Table 7, C-SVM, NBAY and BAYSD are applicable since their *R*(%) values are 0, 5.9 and 9.1, respectively.

Comparing with *C*-SVM, the major advantages of BAYSD are (Table 7): a) BAYSD runs much faster than *C*-SVM, b) it is easy to code the BAYSD program whereas very complicated to code the *C*-SVM program, and c) BAYSD can serve as a promising dimension-reduction tool. So BAYSD is conditionally better than *C*-SVM.

# Dimension-Reduction Failed by Using BAYSD and C-SVM

BAYSD gives the dependence of the predicted value (*y*) on 12 independent variables, in decreasing order:  $x_4$ ,  $x_6$ ,  $x_8$ ,  $x_9$ ,  $x_5$ ,  $x_3$ ,  $x_{12}$ ,  $x_{10}$ ,  $x_{11}$ ,  $x_7$ ,  $x_2$ ,  $x_1$  (Table 7). According to this dependence order, at first, deleting  $x_1$  and running *C*-SVM, it is found the results of *C*-SVM are changed with R(%)=4.3 which is greater than previous R(%)=0 (Table 7). Thus the 13-D problem ( $x_1$ ,  $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y) cannot become 12-D problem ( $x_2$ , ...,  $x_{11}$ ,  $x_{12}$ , y). That means the expression of variable (y) needs all of 12 independent variables ( $x_1$ ,  $x_2$ , ...,  $x_{12}$ ) for this classification problem.

# Summary of the Case Study

From Tables 5 and 7, Table 8 summarizes the applicability of each algorithm in the case study.

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					]	LPC <sup>a</sup>				
Sample	Sample		Classification algorithm							
type	No.	y		C-SVM NBAY		BAYSD				
		(given in Table 2)	y	<b>R(%)</b> <i>i</i>	y	R(%)i	y	R(%)i	у	R(%)i
	1	3	3	0	3	0	2	33.3	0.0977	9672
	2	3	3	0	3	0	3	0	0.118	11660
	3	1	1	0	1	0	1	0	1.5	13.7
	4	2	2	0	2	0	2	0	0.0637	57.9
	5	2	2	0	2	0	3	50	0.261	48.3
	6	1	1	0	1	0	1	0	3.19	2.99
	7	2	2	0	2	0	2	0	0.445	5.82
	8	1	1	0	1	0	1	0	1.77	3.54
	9	3	3	0	3	0	3	0	-0.0677	1354
	10	2	2	0	3	50	3	50	0.37	40.3
	11	2	2	0	3	50	3	50	0.035	78.1
	12	3	3	0	3	0	2	33.3	0.171	7.40
	13	3	3	0	2	33.3	3	0	0.227	22620
Learning	14	3	3	0	3	0	3	0	0.288	28690
samples	15	2	2	0	2	0	2	0	0.621	14.2
	16	3	3	0	3	0	3	0	-0.0834	8444
	17	3	3	0	3	0	3	0	0.308	30660
	18	1	1	0	1	0	1	0	1.51	2.25
	19	3	3	0	3	0	3	0	0.151	15030
	20	2	2	0	3	50	2	0	0.422	18.2
	21	3	3	0	3	0	3	0	0.0663	6534
	22	1	1	0	1	0	1	0	2.25	15.1
	23	2	2	0	2	0	2	0	0.78	8.83
	24	3	3	0	3	0	3	0	0.000427	57.3
	25	3	3	0	3	0	2	33.3	-0.13	13060
	26	1	1	0	1	0	1	0	2.29	8.39
	27	3	3	0	3	0	3	0	0.0574	5643
	28	3	3	0	3	0	2	33.3	-0.0431	185
Dradiation	29	1	1	0	1	0	1	0	1.35	16.8
samples	30	2	2	0	2	0	2	0	0.513	10.2
samples	31	3	3	0	3	0	3	0	0.315	31420

### TABLE 6 PREDICTION RESULTS OF LAYER PRODUCTIVITY CLASSIFICATION (LPC) AT AN OILFIELD IN SICHUAN PROVINCE OF CHINA

<sup>a</sup> LPC= the layer productivity classification (1-high-productivity layer, 2-intermediate-productivity layer, 3-low-productivity layer).

TABLE 7 COMPARISON AMONG THE APPLICATIONS OF CLASSIFICATION ALGORITHMS (C-SVM, NBAY AND BAYSD) TO LAYER PRODUCTIVITY CLASSIFICATION (LPC) AT AN OILFIELD IN SICHUAN PROVINCE OF CHINA

		Mean a	absolute ro residual	elative	Dependence of the predicted value (y)	Time			
Algorithm	Fitting formula	R1(%)	R2(%)	R(%)	on independent variables (x1, x2,, x12), in decreasing order	consuming on PC (Intel Core 2)	Problem nonlinearity	Solution accuracy	Results availability
C-SVM	Nonlinear, explicit	0	0	0	N/A	5 s	N/A	High	Applicable
NBAY	Nonlinear, explicit	6.5	0	5.9	N/A	<1 s	N/A	High	Applicable
BAYSD	Nonlinear, explicit	10.1	0	9.1	X4, X6, X8, X9, X5, X3, X12, X10, X11, X7, X2, X1	1 s	N/A	High	Applicable
MRA	Linear, explicit	13.3	25.4	14.5	X4, X11, X9, X3, X6, X8, X7, X2, X12, X10, X5, X1	<1 s	Moderate	N/A	N/A

## Conclusions

The purpose of this paper is how to select a proper algorithm in three algorithms (C-SVM, NBAY, BAYSD) for classification problems and three algorithms (*R*-SVM, BPNN, MRA) for regression problems. From the aforementioned case study at an oilfield in Sichuan Province of China, six major conclusions can be drawn as

follows:

- 1 the three proposed criteria, and the rules of conversion from real number to integer number are practical;
- 2 *R*(%) of MRA is used to measure the nonlinearity degree of a studied problem, and thus MRA should be used as a first choice;
- 3 for classification problems, the preferable algorithm is C-SVM, NBAY, or BAYSD if the problems nonlinearity is weak or moderate, and BAYSD can also serve as a promising dimension-reduction tool;
- 4 for regression problems, the preferable algorithm is BPNN, but MRA can serve as a promising dimensionreduction tool only when the problems are linear;
- 5 if BPNN is inapplicable for a regression problem, it is proposed to change the problem from regression to classification by reasonable conversion rules, then apply *C*-SVM, NBAY, or BAYSD;
- 6 and comparing with C-SVM, BAYSD is conditionally better.

Brohlam		Mean absolute relative residual		ute lual	Dependence of the predicted value (y) on	Time consuming	Brohlam	Colution	Boossilto
type	Algorithm	R1(%)	R2(%)	R(%)	independent variables (x1, x2,, x12), in decreasing order	on PC (Intel Core 2)	nonlinearity	accuracy	availability
	R-SVM	4439	6312	4620	N/A	3 s	N/A	Low	Inapplicable
Pagrossion	BPNN	42	63	44	N/A	30 s	N/A	Low	Inapplicable
Regression	MRA	5498	10480	5980	X6, X11, X8, X10, X4, X3, X2, X7, X1, X9, X5, X12	<1 s	Strong	Low	Inapplicable
	C-SVM	0	0	0	N/A	5 s	N/A	High	Applicable
	NBAY	6.5	0	5.9	N/A	<1 s	N/A	High	Applicable
Classification	BAYSD	10.1	0	9.1	X4, X6, X8, X9, X5, X3, X12, X10, X11, X7, X2, X1	1 s	N/A	High	Applicable
	MRA	13.3	25.4	14.5	X4, X11, X9, X3, X6, X8, X7, X2, X12, X10, X5, X1	<1 s	Moderate	N/A	N/A

TABLE 8 SUMMARY OF THE CASE STUDY AT AN OILFIELD IN SICHUAN PROVINCE OF CHINA

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# **Phase-field Modeling of Fracture Cementation Processes in 3-D**

Kumar Ankit<sup>\*1</sup>, Michael Selzer<sup>2</sup>, Christoph Hilgers<sup>3</sup>, Britta Nestler<sup>4</sup>

Institute of Materials and Processes, Karlsruhe University of Applied Sciences, Moltkestr. 30, 76133 Karlsruhe, Germany

Institute of Applied Materials – Computational Materials Science, Karlsruhe Institute of Technology, Haid-und-Neu Str. 7, 76131 Karlsruhe, Germany

Institute of Reservoir-Petrology, Energy & Mineral Resources Group, *RWTH Aachen University*, Wüllnerstr. 2, 52056 Aachen, Germany

<sup>\*1</sup>kumar.ankit@kit.edu; <sup>2</sup>michael.selzer@hs-karlsruhe.de; <sup>3</sup>christoph.hilgers@emr.rwth-aachen.de; <sup>4</sup>britta.nestler@kit.edu

# Abstract

Numerical simulations of fracture cementation contribute to a better understanding of processes involved in their formation and possess the potential to provide valuable insights into the rock deformation history and fluid flow pathways. In this study, the influence of an algorithmically generated fracture surface is investigated, which opens-up temporally along a curved trajectory, on the cement mineralization in 3-D. By adopting a thermodynamically consistent and numerically efficient phasefield approach, the benefits of accounting for an extra third dimensionality are explained. The 3-D simulation results are supplemented by innovative numerical post-processing and advanced visualization techniques. The new methodologies to measure the tracking efficiency of fracture cements reflect the incremental fracture opening and demonstrate the importance of accounting the temporal evolution of grains in 3-D; no such information is usually accessible in field studies and difficult to obtain from laboratory experiments. The grain growth statistics obtained by numerically post-processing the 3-D computational microstructures show that cement's grain boundaries and multi-junctions are preferentially arrested at fracture peaks, thereby, enhancing the tracking behavior of syntectonic rock microstructures. By analyzing the temporal evolution of the numerically simulated microstructure, it is found that the grain multi-junctions are pinned more strongly at the peaks on the fractured surface, as compared to the grain boundaries.

## Keywords

Cementation; Phase-field Method; Anisotropic Surface Energy; Polycrystalline Evolution; Post-processing Techniques

# Introduction

Fracture cements may provide a wealth of information on growth conditions in a fracture which ranges from euhedral growth in open space to elongate-blocky to fibrous growth formed in syntectonic rock microstructures (e.g. Durney and Ramsay (1973); Bons et al. (2012)) (Fig. 1). The range of syntectonic microstructures has been well described by careful studies of 2-D petrographic thin sections and 2-D numerical simulations (for a review see Bons et al. (2012)), with the growth rates of fracture cements versus opening rate being of prime importance (Urai et al., 1991; Hilgers et al., 2001). Often, fracture cements with fluid inclusion bands aligned sub-parallel to the fracture wall suggest that they formed by repeated fracturing and sealing increments, so called crack-seal mechanism (Ramsay 1980, Laubach et al. 2004a, Becker et al., 2010, 2011). At higher metamorphic conditions, a lens shaped geometry forms due to an irreversible deformation of the host rock and a crack parallel shortening induced by to stress relaxiation (Nüchter and Stöckhert 2007, 2008). These authors thus favoured the term cavity sealing. The importance of cements to occlude and stiffen fractures was highlighted in several studies (Laubach 1997, Laubach 2004b, Lander et al. 2008). Microstructures in fracture cements are thus of prime importance to understand the fluid flow and its temporal variation in fractured reservoir- and cap rocks. 2-D sections obtained from field studies may illustrate the overgrowth of cement, whose grain boundaries may, if in close proximity to the rough fracture surface, track the incremental opening trajectory of cemented fracture (Urai et al. (1991), grain boundary attractors in Hilgers et al. (2001); Nollet et al. (2005)). Unless one performs arduous in-situ laboratory experiments, the timebased evolution information stays inaccessible. Moreover, it is difficult to decompose the effect of different processes that might have acted in sequence or simultaneously. Piazolo et al. (2010) summarized the limitations of field based studies and laboratory experiments while highlighting the general capabilities of numerical simulations to serve as a viable alternative.



FIG. 1 (A) THIN SECTION IMAGE OF QUARTZ CRYSTALS GROWING UPWARDS FROM MICROCRYSTALLINE QUARTZ SUBSTRATE UNDER CROSSED POLARIZERS. (B) SAME IMAGE IN CROSSED POLARIZERS AND LAMBDA PLATE. BLUE COLORED CRYSTALS INDICATE NE-SW TRENDING C-AXIS ORIENTATIONS AND YELLOW COLORED CRYSTALS NW-SE C-AXIS ORIENTATIONS. MULTIPLE COLORS ON THE UPPER PART OF THE IMAGE HIGHLIGHT DIFFERENT CRYSTALLOGRAPHIC ORIENTATIONS. DURING ONGOING GROWTH, BLUE COLORS PREVAIL. (C) THIN SECTION IMAGE OF QUARTZ CRYSTALS GROWING BY MULTIPLE CRACK SEAL INCREMENTS. VERTICALLY ELONGATED CRYSTALS ARE ARRANGED ALONG A HORIZONTAL LINE. BLUE COLORED CRYSTALS INDICATE N-S TRENDING C-AXIS ORIENTATION AND YELLOW COLORED CRYSTALS W-E C-AXIS ORIENTATION. NOTE THAT IN COMPARISON TO (A,B) MORE CRYSTALLOGRAPHIC ORIENTATIONS PREVAIL INDICATED BY DIFFERENT BIREFRINGENCE COLORS OF THE INDIVIDUAL QUARTZ CRYSTALS. IMAGE IN CROSSED POLARIZERS AND LAMBDA PLATE.

The need for numerical simulations to study microstructural evolution in fracture cements has led to the development of computer programs, based on front-tracking approaches, like Vein Growth (Bons, 2001), Fringe Growth (Koehn et al., 2000), which is a model derived from Vein Growth, FACET (Zhang and Adams, 2002) and more recently, Elle (Jessell et al., 2001; Bons et al., 2008). It resulted in a much better understanding of the progressive cement mineralization and their interaction with the fracture surface (Hilgers et al., 2001; Nollet et al., 2005). Calibrated numerical models using natural quartz growth kinetics and natural growth anisotropies can now be used in codes such as Prism2D (Lander et al., 2008). These authors highlight that for small nucleation sites such as small grains, polycrystalline grains or grains that are partly coated by dust rims, initial overgrowth quickly forms euhedral terminations and further growth continues on dominating slow-growing crystal facets. In contrast, fractures provide a large nucleation surface area, and thus the initial overgrowth until formation of euhedral terminations (and thus growth rate) is faster. Such results are currently implemented into novel reservoir quality prediction codes for porous siliciclastic reservoir rocks (Bloch et al., 2002). However, the major limitation of all numerical methods focusing on the fracture cementation process is that the studies are primarily limited to 2-D. The idea of comparing the 2-D numerical results with thin sections of 3-D natural samples is far-fetched, primarily because of an added degree of freedom for the evolving crystals in 3-D, which may lead to erroneous interpretation. While Bons (2001) provide hints concerning deviations when thin sections are directly compared with 2-D simulation results, the actual differences remain unclear due to lack of any 3-D numerical results. It is noteworthy, that a complete understanding of the growth mechanism cannot be achieved by merely studying 2-D sections as it is not possible to observe the out-of-plane evolution of crystals. In the context of fracture cementation process, we emphasize that the grain formation process is generically of 3-D nature and can be interpreted in a physically sufficient manner by methods capable of capturing the growth characteristics and dynamics in full 3-D space.

The last two decades have seen the emergence of phase-field method as a versatile and popular tool for numerical simulations of crystal growth and in general, for a variety of other moving boundary problems; prominent

application areas being solidification, solid-state phase transformations like spinodal decomposition and crack propagation. The most significant computational advantage of a phase-field model is that explicit tracking of the interface is unnecessary when compared to the front-tracking methods. Additionally, different thermodynamic driving forces for topological changes, such as chemical bulk free energy, interfacial energy, elastic strain energy and different transport processes, such as mass diffusion and advection, can be coupled, thereby facilitating the comprehensive studies of the transformation phenomena.

Hubert et al. (2009) introduced a phase-field model to study cementation in fractures, which used a non-faceted anisotropy for the interfacial energy. However, such smooth continuous functions have two limitations, if utilized to simulate the evolution of crystals with flat facets and sharp corners (Taylor and Cahn, 1998). First, sharp corners that arise due to missing orientations in the equilibrium shape are related to the non-convexity of the parametric inverse interfacial energy plot. This leads to ill-posed phase-field equations for these orientations, which have to be regularized (Eggleston et al., 2001). The second problem is that ideal straight facets require sharp cusp-like minima in the interfacial energy. The non-differentiability at cusps result in undefined equilibrium and motion equations, for interfaces having the orientation of a facet.



FIG. 2 DIFFUSE INTERFACE APPROACH: THE PHASE-FIELD VARIABLE EVOLVES CONTINUOUSLY (SMOOTH TRANSITION) FROM ONE PHASE TO ANOTHER. (A) AN INITIAL CRYSTAL NUCLEUS EMBEDDED IN LIQUID, EVOLVES TO (B) THE EQUILIBRIUM SHAPE, WHILST MAINTAINING A NUMERICALLY CONSTANT VOLUME. THE DISTINCT NUMBER OF GRID-POINTS, WHICH CONSTITUTE THE SIMULATED SOLID-LIQUID INTERFACE IS SHOWN IN THE INLET PICTURE. (C) CONTOUR PLOT SHOWING THE SMOOTH TRANSITION OF THE PHASE-FIELD VARIABLE ALONG THE SIMULATED SOLID-LIQUID INTERFACE (NUMERICALLY EQUALS TO 0 IN LIQUID AND 1 IN SOLID).

Eggleston et al. (2001), Fleck et al. (2011) and Selzer (2014) presented a computationally efficient implementation of the phase-field models that account for arbitrary crystal symmetries with curved facets and high angle rotations of crystalline axes. Ankit et al. (2013b) showed the importance of adopting a general thermodynamically consistent approach in modeling the evolution of fracture cement microstructures by considering faceted-type anisotropy formulations of the interfacial energy function to simulate crystals with flat facets and sharp corners. Various boundary conditions and parameters which influence the crystal growth mechanism in fracture cements, especially the grain boundary tracking behavior, can be successfully investigated using the phase-field method. Further, the reproducibility of previous simulation results (from front-tracking models) as well as chief advantages of adopting the novel multiphase-field model, such as 3-D numerical studies for crystal of any shape, large-scale simulations with many thousand grains and provision to implement transport, is highlighted.

In the present article, we study the influence of the realistic boundary condition i.e. crack opening rate on the 3-D tracking efficiency in cemented fractures, as against the previous 2-D studies of Ankit et al. (2013b) for cubic crystals. It expands the discussion paper of Ankit et al. (2014) and considers natural fractured rocks as an example. By employing advanced visualization and innovative post-processing techniques, new methodologies to calculate the general tracking efficiency for a more complex motion of grain boundaries is proposed. The present work highlights the importance of accounting the time evolution rather than calculating tracking efficiency solely based on final grain boundary morphology. Further, grain statistics such as temporal evolution of the number of tracking grain boundaries and the corresponding orientation and size distribution is obtained from the present large scale 3-D phase-field simulations with an aim to relate the shift in growth mechanism as a function of crack-opening rate, which is missing in the previous phase-field study of Ankit et al. (2013b).

### **Phase-Field Model**

In the present section, we briefly recount the multiphase-field model for the sake of completeness. The model equations and numerical implementation have been previously discussed in detail (Stinner et al., 2004; Nestler et al., 2005; Ankit et al., 2013b). The foundation of multiphase-field method utilized to address crystal growth problem is realized by a Helmholtz free energy functional formulated as

$$\mathcal{F}(\phi) = \int_{\Omega} \left( f(\phi) + \varepsilon a(\phi, \nabla \phi) + \frac{1}{\varepsilon} w(\phi) \right) dx \tag{1}$$

where,  $f(\phi)$  is the bulk free energy density,  $\varepsilon$  is the small length scale parameter related to the interface width,  $a(\phi, \nabla \phi)$  is the gradient type and  $w(\phi)$ , a potential type energy density.  $\Omega$  represents the volume of the numerical domain, in consideration. The phase-field parameter  $\phi(\vec{x}, t) = \phi_1(\vec{x}, t) \cdots \phi_N(\vec{x}, t)$  describes the location of `N' crystals with different orientation in space and time. The interfaces between the different domains (represented by phase-field parameter) are identified by a continuous variation of the properties within a narrow region (Fig. 2), in contrast to the front-tracking approach for microstructure modeling. In equation 1, the integral extends over the numerical domain in consideration and contains different free energy density contributions related either to the interface or the bulk phase states. The gradient energy density  $a(\phi, \nabla \phi)$  adds an energetic penalty on steep interface transitions and is responsible for the broadening of the pre-existing sharp interface. For the present formulation, the antisymmetric gradient energy density is given by

$$a(\phi, \nabla \phi) = \sum_{\substack{\alpha, \beta = 1 \\ (\alpha < \beta)}}^{N, N} \gamma_{\alpha \beta} a_{\alpha \beta}^2 (\phi, \nabla \phi) \left| \vec{q}_{\alpha \beta} \right|^2$$
(2)

where  $\vec{q}_{\alpha\beta} = \phi_{\alpha} \nabla \phi_{\beta} - \phi_{\beta} \nabla \phi_{\alpha}$  is a normal vector to the  $\alpha\beta$  interface.  $\gamma_{\alpha\beta}$  is the magnitude of the surface energy density. For the modeling of polycrystalline evolution in cemented fractures, it is desirable to use a faceted solid/liquid surface energy anisotropy. In the present study, we use a piecewise defined function using the maximum condition,

$$a_{\alpha\beta}(\phi, \nabla \phi) = \max_{1 \le k \le \eta_{\alpha\beta}} \left\{ \frac{\vec{q}_{\alpha\beta}}{|\vec{q}_{\alpha\beta}|} \cdot \vec{\eta}_{k,\alpha\beta} \right\}$$
(3)

where  $\{\vec{\eta}_{k,\alpha\beta} | k = 1, \dots, \eta_{\alpha\beta}\}$  for  $\eta_{\alpha\beta} \in M$  denotes the complete set of vertex vectors of the corresponding equilibrium shape of a crystal  $\alpha$  embedded in the bulk phase  $\beta$  and M represents the number of edges. A detailed discussion on selecting a relevant set of vertex vectors depending on the crystal equilibrium shape is presented in the following sections. The evolution equations for the phase-field vector components are described by variational derivative of the free energy functional which ensures energy and mass conservation as well as an increase of total entropy.

$$\varepsilon \varepsilon \frac{\partial \phi_{\alpha}}{\partial t} = \varepsilon \left( \nabla \cdot a_{,\nabla \phi_{\alpha}}(\phi, \nabla \phi) - a_{,\phi_{\alpha}}(\phi, \nabla \phi) \right) - \frac{1}{\varepsilon} w_{,\phi_{\alpha}}(\phi) - f_{,\phi_{\alpha}}(\phi) - \lambda.$$
(4)

The symbol  $\tau$  is the kinetic coefficient and comma separated sub-indices represent derivatives with respect to  $\phi_{\alpha}$  and gradient components  $\frac{\partial \phi_{\alpha}}{\partial \chi_{l}}$ . The Lagrange multiplier  $\lambda$  guarantees the summation constraint ( $\sum_{\alpha=1}^{N} \phi_{\alpha} = 1$ )

i.e.,

$$\lambda = \frac{1}{N} \sum_{\alpha=1}^{N} \left[ \varepsilon \left( \nabla \cdot a_{,\nabla \phi_{\alpha}} \left( \phi, \nabla \phi \right) - a_{,\phi_{\alpha}} \left( \phi, \nabla \phi \right) \right) - \frac{1}{\varepsilon} w_{,\phi_{\alpha}} \left( \phi \right) - f_{,\phi_{\alpha}} \left( \phi \right) \right].$$
(5)

The phase-field evolution equation (4) is non-dimensionalised to ensure numerical accuracy during the computation, as per the procedure explained by Wendler et al. (2009). Apparently, choosing an appropriate time scale reduces the non-dimensionalised form back to the original form (Equation 4) with the only exception of a rescaling in the bulk free energy.

### Modeling of Equilibrium Quartz

The complete set of k' vertices which are used to construct the anisotropic surface energy of quartz is presented in Table 1. In order to simulate the precise equilibrium shape of a single quartz crystal growing in liquid, we adopt the volume preservation approach, proposed earlier by Nestler et al. (2008). Derived interface energies of the facets are given in Table 2. The polar-plot of the interfacial energy and the corresponding equilibrium crystal shape are

shown in Figs. 3a and 3b, respectively. The equilibrium shape represents an idealized quartz crystal consisting of  $\{10\overline{1}0\}$ ,  $\{10\overline{1}1\}$  and  $\{01\overline{1}1\}$  facets Lander et al. (2008); Lander and Laubach (2014). An implicit assumption of the numerical simulations of the fracture cementation process, presented in the later sections of this article is that the smaller crystal facets ( $\{21\overline{1}1\}$  and  $\{6\overline{1}51\}$ ) possess higher growth rate; hence, do not influence the final polycrystalline morphology. Such fast-growing and smaller facets are ignored in the present numerical studies. However, it is to be noted that it is possible to simulate such facets, provided the polar-plot of interfacial energy (cusps) accounts for them. Hence, the assumption made in the present work should be interpreted as shape simplification and not as a limitation of the simulation algorithm.

Vertex Number x v 0.000 1,2 0.000 ±1.000 3,4 ±0.152 -0.088 0.889 5 0.000 0.175 0.889 0.000 -0.175 -0 889 6 7,8 ±0.152 0.088 -0.889 0.437 9,10 ±0.152 0.556 11,12 ±0.455 -0.088 0.556 13,14 ±0.303 -0.350 0.556 15,16 ±0.152 -0.437 -0.556 17.18 ±0.455 0.088 -0.556 19,20 ±0.303 0.350 -0.556 ±0.505 0.000 21,22 0.444 23,24 0.253 ±0.437 0.444 25,26 -0.253 ±0.437 0.444 0.000 27,28 ±0.505 -0.444 29,30 0.253 ±0.437 -0.444 31,32 -0.253 ±0.437 -0.444

TABLE 1 THE 'k' VERTICES (REPRESENTED BY  $\eta_{k,\alpha/\beta}$  in Equation 3), which is used to generate the surface energy of the quartz facets. The numbers correspond to the respective vertices labeled in Fig. 3B.

TABLE 2 DERIVED FACET ENERGIES FOR THE CHOSEN 3-D QUARTZ GEOMETRY. THE INTERFACE FREE ENERGY PARAMETER,  $\gamma_{\alpha\beta}$  is chosen to be equal to 1.0 for both solid/liquid and solid/solid interfaces in all the simulations.

Miller index	Surface energy
(1 0 -1 0)	0.5γαβ
(1 0 -1 1)	0.9γαβ
(0.1 - 1.1)	γαβ



FIG. 3 (A) POLAR PLOT OF THE INTERFACIAL ENERGY FOR THE SYMMETRY OF A QUARTZ CRYSTAL. (B) EQUILIBRIUM QUARTZ SHAPE OBTAINED FROM PHASE-FIELD SIMULATION. THE COMPLETE SET OF VECTORS CORRESPONDING TO THE LABELED VERTICES ARE PRESENTED IN TABLE 1. (C) THE DEFINITION OF CRYSTAL ORIENTATION IN 3-D. THE RADIUS OF THE HORIZONTAL CIRCLE GIVES A MEASURE OF THE MISORIENTATION WITH RESPECT TO THE MOST PREFERRED ORIENTATION OF THE CRYSTALLOGRAPHIC C-AXIS (WHICH IS ALONG Z AXIS FOR 3-D QUARTZ). APPARENTLY, A CONCENTRIC CIRCLE WITH A LARGER RADIUS REFERS TO A LARGER AXIAL TILT (OR LARGER MISORIENTATION) AND VICE VERSA. THE COLORS IN FIGURE 3B AND 3C IS AN ILLUMINATION EFFECT TO DIFFERENTIATE AMONG CRYSTAL FACETS AND SHOULD NOT BE CONFUSED WITH THE COLOR CODING USED TO REPRESENT CRYSTAL MISORIENTATION IN THE POLYCRYSTALLINE GROWTH. Since, the majority of the numerical results that we present relate to the crack cementation process for small opening increments, the evolving fracture cements do not get a chance to form crystal facets and compete with the neighbors. As a model test case, we relax our earlier assumption of volume preserved evolution to reproduce the growth competition in polycrystalline quartz (Fig. 4), typically observed in experimental studies. It is apparent from the temporal evolution of the freely growing polycrystal that the misoriented crystals (blue) are consumed by more favorably oriented ones (red).



FIG. 4 3-D PHASE-FIELD SIMULATION OF THE GROWTH COMPETITION IN POLYCRYSTALLINE QUARTZ USING THE SURFACE ENERGY FUNCTION SHOWN IN FIGURE 3A. THE PROGRESSIVE GROWTH COMPETITION DUE TO THE MIS-ORIENTATION, WITH RESPECT TO THE MOST PREFERRED ORIENTATION, OCCURS IN ABSENCE OF A BARRIER, OR, IF THE INITIAL CRACK APERTURE IS WIDE. EVERY CRYSTAL IS COLORED AS PER THE MISORIENTATION WITH RESPECT TO THE MOST PREFERRED ORIENTATION (DEFINED IN FIGURE 3C) THE COLOR LEGEND SHOWS THE ORIENTATION OF CRYSTALLOGRAPHIC C-AXIS WITH RESPECT TO THE NORMAL DIRECTION TO THE GROWTH PLANE. THE WHITE ARROW SHOWS A MIS-ORIENTED CRYSTAL WHILE THE YELLOW ARROW SHOWS A FAVORABLY ORIENTED CRYSTAL OVERGROWING THE NEIGHBORS DURING THE TEMPORAL EVOLUTION.

### Assumptions

In this section, we list down the major assumptions and briefly discuss their relevance in the numerical modeling of quartz mineralization during the unitaxial crack-sealing process.

- During solute precipitation, the attachment kinetics of the solute on the evolving crystal/liquid surface predominates over long range diffusion in hydrothermal solution. Therefore, the degree of solute supersaturation, which provides the driving force for crystallization is assumed to be constant.
- The evolution mechanism of crystal is governed by an interplay of the surface energy anisotropy and the growth kinetics. Typically, a crystal nucleates in its equilibrium (Wulff) shape, and evolves towards the corresponding 'kinetic wulff shape'. In the present work, we assume that crystal facets develop due to strong (faceted) anisotropy of the surface energy (see Equation 3), whereas, the growth kinetics are isotropic. It is noteworthy that the anisotropy in growth kinetics can be accounted in the present model by using a piecewise function, similar to Equation 3 for the kinetic coefficient *τ*. However, in absence of any reliable data concerning the kinetic wulff shape of quartz that could be used to validate the numerical simulations of kinetically controlled growth shape of quartz geometry, the present assumption seems to be reasonable.
- The present study deals with quartz mineralization at a temperature which is significantly lower than the recrystallization temperature. At such temperatures, the grain boundaries are too stiff or immobile for the recrystallization to occur. For the present simulations, the kinetic coefficient τ (in Equation 4) for grain/grain interaction has been assigned suitable values (τ<sub>grain,grain</sub> = 1000τ<sub>grain,liquid</sub>) to ensure stiff or immobile grain boundaries. The methodology of parameter selection as well as the ones used for the present phase-field simulations, is already discussed earlier by Wendler et al. (2009) and Ankit et al. (2013b).
- The Discrete Element simulations of Virgo et al. (2013) suggested that the complex interaction of fractures with the precipitated cements, inside a rock volume, is mainly controlled by quartz mis-orientation and strength ratio (between the fracture cement and host rock). While the tendency of a fracture to propagate along the cement interface (intergranular) or through the bulk (intragranular) may affect the resulting microstructures significantly, the pre-processing algorithm which is used to introduce the crack artificially (inside the numerical domain) in the present simulations, do not account for such complexities. For the sake of simplicity, the crack shape following every sealing event is assumed to be constant i.e. the crack always

develop indiscriminately, along the cement/barrier sharp interface.

## Numerical Aspects

With an objective to numerically simulate the crack-seal process and to characterize the resulting microstructure, we choose quartz crystals as a representative cement forming material. Fractal based models are considered to be an efficient method for creating realistic-appearing terrain Fournier et al. (1982). An algorithmically generated (diamond-square algorithm) fractal surface is utilized to model a 3-D crack surface (boundary condition) for phase-field simulations (Fig. 5). The progressive fracturing of host-rock and crystal precipitation in the open space is algorithmically replicated once by numerical pre-processing to obtain the initial condition for a simulation as shown in Fig. 6. The progressing algorithm adopted is as follows:

- The fractal topology of the lower crack surface is generated by a C program to implement the well-known diamond-square algorithm (Miller, 1986). The topography (height-map) of the upper crack surface is obtained by subtracting the respective heights (for lower crack-surface) from the total height of the parent rock in consideration. The two complementary fractal surfaces are stationed over each other with a minor clearance which represents the fracture in host rock.
- The space between the upper and lower surface is increased (by 5 grid points in the fracture direction) which represents the first crack-opening event.
- Crystals of equal size with different crystallographic orientation in space are initially laid on the lower crack surface. At this point, it is important to note that the size of the crystal nuclei needs to be equal in order to negate the advent of size-effects in the following fracture cementation simulations. The numerical preprocessing technique in order to rule out any such possibilities involves the following sub-steps: Cuboid crystal nuclei (different colors represent an axial tilt of the crystallographic axes defined in the previous section) are generated separately and merged with the parent numerical domain containing the cleft (a boundary condition) such that the former can be over-written. The resulting domain can be described as a perfectly sealed microstructure.
- The lower crack surface is shifted downwards again to create a small space between the wall and crystal front. Thus, we obtain the initial numerical domain to start the phase-field simulations.



FIG. 5 (A) FRACTAL SURFACE (GENERATED BY A WELL-KNOWN DIAMOND-SQUARE ALGORITHM) USED FOR 3-D CRACK-SEALING SIMULATIONS. (B) HEIGHT-MAP OF THE GENERATED SURFACE.

At this point, we would like to clarify that the effectiveness of commonly used fractal techniques that are used to model natural terrain, is a topic of ongoing debate. For the present studies, we choose the diamond-square algorithm due to simplicity and ease with which it can be computationally implemented. The primary intention of using such a numerically-constructed rough surface is to demonstrate the ability of the developed preprocessing algorithm to design a relevant boundary condition (in 3-D), for the simulation of fracture cementation process. In past years, efficient algorithms have been developed to generate realistic surfaces (Lewis (1987); Arakawa and Krotkov (1996)). However, a detailed comparison of such algorithms merit a separate discussion which in all certainties, is neither the focus nor the highlight of the present work.

We simulate the unitaxial, one-directional growth to investigate the formation of 3-D crack-sealing microstructure using the initial condition generated in Fig. 6, and thereby, characterize the tracking behavior of grain boundaries

and multi-junctions. The following discussion will focus on the two 3-D simulation test cases with the same initial condition but different crack-opening rate, as described in Table 3.



### FIG. 6 SEQUENCE OF NUMERICAL PRE-PROCESSING ADOPTED TO OBTAIN A HOMOGENEOUS OVERLAY (OF SAME SIZE) OF CRYSTAL NUCLEI ON THE ALGORITHMICALLY GENERATED FRACTAL SURFACE. THE FINAL DOMAIN APPEARING IN THE ABOVE SEQUENCE IS USED AS THE INITIAL CONDITION FOR PHASE-FIELD SIMULATIONS

TABLE 3 CHOICE OF NORMAL CRACK-OPENING RATES FOR SIMULATIONS A AND B ( $\Delta x = \Delta y = \Delta z = 1.0$  and  $\Delta t = 0.12$ ).  $n_a$  and  $n_b$  represent the crack-opening displacements in the normal direction for the test cases 'a' and 'b', respectively (as shown in Fig. 9).

Simulation	Time between successive opening	Opening increment in vertical direction (N)	Trajectory
А	$2\Delta t$	$3\Delta x(N_A)$	Quarter arc
В	$2\Delta t$	$8\Delta x(N_B)$	Quarter arc

The phase-field evolution equation 4 is solved numerically using an explicit forward Euler scheme. The spatial derivatives of the right hand side equation are discretized using a second order accurate scheme with a combination of forward and backward finite differences. The implementation of a locally reduced order parameter optimization employs a dynamic listing of a limited number of locally existing grains which enables us to reduce computation time so that large scale simulations in 3-D become feasible. The phase-field simulations are performed on Linux high-performance computation clusters using a C program with parallel algorithms for domain decomposition to distribute the computing task on different nodes.



FIG. 7 3-D PHASE-FIELD SIMULATION OF THE CRACK-SEAL MICROSTRUCTURE. THE DIRECTION OF CRACK OPENING IS ALONG THE QUARTER CIRCLE IN THE PLANE OF COMPUTATIONAL THIN-SECTION (AS SHOWN BY THE BLUE ARROW IN THE OPENING-TRAJECTORY SCHEMATIC DIAGRAM). THE PRESENT SIMULATION SHOWS THE MORPHOLOGY OF GRAIN BOUNDARIES AFTER 400 CRACK OPENING AND SEALING EVENTS. (A) A 2-D SECTION OF THE 3-D COMPUTATIONAL MICROSTRUCTURE SUGGESTS CONSUMPTION OF THE GRAIN MARKED BY WHITE ARROW. (B) A LOOK AT THE 3-D COMPUTATIONAL MICROSTRUCTURE REVEALS THAT THE GRAIN WAS ACTUALLY NOT CONSUMED; RATHER IT EVOLVED ALONG A DIFFERENT PLANE. TRANSPARENCY OF THE SURROUNDING GRAINS ENABLE THE VISUALIZATION OF THE TRACKING CEMENT INSIDE THE NUMERICAL DOMAIN.

As a result of wall rock opening along the predetermined opening trajectory, the crack-aperture increases during simulation run-time. This adversely affects the computational efficiency as the size of simulation domain increases in the fracture direction. In order to avoid such complications, the simulation is carried out in a moving frame (also known as shifting-box simulation). In the present simulations, the domain is shifted in the growth direction

(downwards) by adding a row of grid-point at the top of domain and discarding off a row of grid-points at the bottom, every time the advancing crystal growth front fills up 10% of the simulation box. The final domain is obtained by aggregating back the discarded rows of pixels as described by Ankit et al. (2013a) for a different material system. Further, we ensure that the advancing crystal-rock interface always stay within the boundaries of shifting-box for every simulation time-step. A typical example of the 3-D phase-simulation of crack-sealing exemplifies the advantage of numerically simulating and analyzing the fracture cementation in 3-D instead of 2-D (Fig. 7).

### Modeling of Crack as a Barrier

For the present studies, the crack surface is modeled as an inert phase, which does not undergo a volumetric change to minimize the interfacial energy. In a strict numerical sense, it simply means that the phase evolution equation (4) is not solved at grid-points which constitute the crack. In general, we prefer to call all such aggregate of grid-points as barrier. To picturise the situation, let us consider a numerical domain that comprises of three phase-field parameters ( $\phi_{\text{grain1}}$ ,  $\phi_{\text{grain2}}$  and  $\phi_{\text{liquid}}$ ) and a barrier, as shown in Fig. 8. The corresponding domain volumes are given by  $\Omega_{\text{grain1}}$ ,  $\Omega_{\text{grain2}}$ ,  $\Omega_{\text{liquid}}$  and  $\Omega_{\text{barrier}}$ , respectively. The modified free energy functional (Equation 1), which do not account for any volumetric change in the barrier is given by,

$$\mathcal{F}(\phi) = \int_{\Omega - \Omega_{barrier}} \left( f(\phi) + \varepsilon a(\phi, \nabla \phi) + \frac{1}{\varepsilon} w(\phi) \right) dx \tag{6}$$

where,  $\Omega = \Omega_{\text{grain1}} + \Omega_{\text{grain2}} + \Omega_{\text{liquid}} + \Omega_{\text{barrier}}$ . To numerically simulate the fracture cementation process that proceeds by the crack-sealing mechanism, the barrier is constrained to evolve spatially (no surface energy minimization) as per the assigned crack-opening displacements shown in Fig. 9.



FIG. 8 SCHEMATIC REPRESENTATION OF THE BARRIER AND THE SURROUNDING PHASE-FIELDS. THE BARRIER IS CONSTRAINED TO EVOLVE SPATIALLY, AS PER THE DESIRED CRACK-OPENING DISPLACEMENT WITHOUT UNDERGOING A CHANGE IN SHAPE OR VOLUME.



FIG. 9 SCHEMATIC DIAGRAM (NOT TO SCALE) TO ILLUSTRATE THE CRACK-OPENING TRAJECTORIES THAT ARE PRESCRIBED FOR THE NUMERICAL TEST CASES 'A' AND 'B'. THE VALUES OF CRACK-OPENING DISPLACEMENTS IN THE NORMAL DIRECTION, NA AND NB THAT ARE ASSUMED TO BE CONSTANT ARE LISTED IN TABLE 3. THE SHEAR DISPLACEMENTS, SA AND SB ARE ESTIMATED BEFORE EVERY CRACK-OPENING EVENT TO ASCERTAIN THE NET DISPLACEMENT ALONG A QUARTER CIRCLE.

## Quantifying Fracture Cement Tracking Characteristics

The term 'tracking efficiency' (Urai et al., 1991) quantifies the tracking behavior of crack seal microstructures and is governed by the roughness of the fracture wall, crack opening width and the ratio of crystal growth versus crack opening rate (Hilgers et al., 2001; Nollet et al., 2005). Ankit et al. (2013b) amend this definition to a 'general tracking efficiency' (GTE) based on a fitting procedure for linear crack opening trajectory. It is to be noted that both the above definitions of grain boundary tracking efficiency are based on the final microstructure morphology and do not account for the temporal evolution of grain boundary tracking behavior. The dynamics of tracking efficiency is particularly important when the wall roughness of the advancing crack surface is not sufficiently high and the opening trajectory is non-linear. For such cases, general tracking efficiency is numerically obtained by fitting a straight lines in infinitesimally small time interval  $\delta t$  in which both the crack opening as well as grain boundary morphology can be assumed to be linear. In the following section, we highlight the advantage of calculating tracking efficiency by accounting for time evolution of grain barycenter (center of mass). We calculate the tracking efficiency of the 3-D computational microstructure by two different methods:



FIG. 10 LOCAL PEAKS OF CRACK SURFACE (REPRESENTED AS COLORED SPHERES) PLOTTED OVER THE ROCK-CRYSTAL GROWTH INTERFACE FOR SIMULATION A IN (A) AND (B) AND FOR SIMULATION B AS SHOWN IN (C) AND (D). THE FRACTAL PEAKS THAT ARE TRACKED BY THE GRAIN BOUNDARIES/TRIPLE/QUADRUPLE JUNCTIONS ARE PLOTTED AS OFF-WHITE SPHERES IN (A) AND (C). THE FRACTAL PEAKS NOT TRACKED BY GRAIN BOUNDARIES/MULTI-JUNCTIONS ARE PLOTTED AS LIGHT-BLUE SPHERES IN
(B) AND (D). ON THE BASIS OF THE FINAL MICROSTRUCTURES, THE VALUES OF GTE<sup>1</sup><sub>1</sub> ('T' BEING TOTAL TIME) FOR SIMULATION A AND B ARE 0.685 AND 0.325 RESPECTIVELY. ON ACCOUNTING FOR TEMPORAL EVOLUTION, THE CORRESPONDING VALUES DEPRECIATE TO 0.491 AND 0.206. THE GRAIN COLORS REFER TO THE AXIAL TILT INDEXED IN THE COLOR-BAR.

1. The isosurfaces of the surviving crystals that are in contact with the advancing wall rock is visualized and the local peaks of the facing crack surface are plotted. We employ a post-processing algorithm which iteratively inspects after every numerical time-step, if a given grid-point is a local peak among the k' nearest neighbors that lie along the barrier/grains sharp-interface. For the present test cases A and B, we assume that 'k' equals 10. The peaks of the fractal surface lying along the grain boundaries/multi-junctions are extracted from the computational microstructure of the simulations A and B (defined in Table 3) and are then superimposed, as shown in Figs. 10a and 10c, respectively. The total number of the grain boundary/multi-junction tracking peaks is designated as *N*<sub>tp</sub>. Similarly, those fractal peaks which neither lie along grain boundaries nor at multi-

junctions are plotted (Figs. 10b and 10d). The total number of such non-tracking peaks is denoted by  $N_{ntp}$ . The general tracking efficiency (GTE) for the 3-D crack-seal microstructure is defined for the *i*<sup>th</sup> simulation time-step as:

$$\text{GTE}_1^i = \frac{N_{tp}^i}{N_{ntp}^i}.$$
(7)

The definition of  $GTE_1^i$  is extended to account for the overall temporal evolution of tracking efficiency as:

$$GTE_{1} = \frac{\sum_{i=1}^{n(\delta t)} \frac{N_{tp}^{i}}{N_{ntp}^{i}}}{n(\delta t)} = \frac{\sum_{i=1}^{n(\delta t)} \frac{N_{tp}^{i}}{N_{ntp}^{i}} \cdot (\delta t)}{n}$$
(8)

where  $\delta t$ , *n* and *t* represent time-step width, number of time-steps and total simulation time respectively.



FIG. 11 (A) DIAGRAM EXPLAINING THE CALCULATION OF GTE<sub>2</sub> WHICH ACCOUNTS FOR THE TEMPORAL EVOLUTION OF TRACKING EFFICIENCY (GTE<sup>1</sup><sub>2</sub>). THE SOLID LINE REPRESENT THE EVOLUTION OF THE CRYSTAL BARYCENTER IN THE SHIFTING BOX WHILE THE DASHED LINE CORRESPONDS TO CRACK-OPENING TRAJECTORY. (B) IN ORDER TO ACCOUNT FOR THE TEMPORAL EVOLUTION IN CALCULATION OF GENERAL TRACKING EFFICIENCY, THE BARYCENTER OF SURVIVING CRYSTALS (IN CONTACT WITH ADVANCING CRACK SURFACE) IS DETERMINED. FOR THE SAKE OF BETTER VISUALIZATION, THE BARYCENTER OF ONE OF THE SURVIVING CRYSTAL IS NUMERICALLY MASKED OVER ITS ISO-SURFACE. THE GTE<sub>2</sub> IS CALCULATED BY INTEGRATING GTE<sup>1</sup><sub>2</sub> AS SHOWN IN EQUATION 10 FOR THE SURVIVING CRYSTALS WITH AXIAL TILTS OF (C) 5.63° (D) 42.45° AND (E) 58.50° IN SIMULATION TEST CASE A AND (F) 5.63° (G) 7.16° AND (H) 32.01°, IN B.

2. The second definition of grain boundary tracking efficiency takes into account the temporal evolution of the barycenters of the surviving crystals in the shifting box, as described in the previous section. The actual positions of the surviving crystal barycenters are obtained by adding the coordinates of the barycenters in the shifting box with the total shift of the simulation box as shown for three tracking grains (Fig. 11).

For calculating the general tracking efficiency, the expression proposed by Ankit et al. (2013b) is redefined for a small time interval  $\delta t$  and averaged over the total simulation time, according to

$$GTE_2 = \frac{\sum_{i=1}^{n(\delta t)} GTE_2^i \cdot (\delta t)}{n(\delta t)}$$
(9)

where,  $\text{GTE}_{2}^{i} = \frac{\theta_{bary}^{i}}{\theta_{traj}^{i}}$ . If  $\delta t$  is small, we rewrite the equation to

$$GTE_2 = \frac{\int_0^{t} \frac{\theta_{bary(t)}}{\theta_{traj(t)}} dt}{t}.$$
(10)

The values of GTE1 and GTE2 are calculated for the two simulations A and B.

### **Simulation Results**

### **Comparison of General Tracking Efficiencies**

The resulting values for  $GTE_1$  and  $GTE_2$  are listed in Table 4 and it can be seen that the associated temporal evolutions compare well. On the contrary,  $GTE_1^t$  and  $GTE_2^t$  derived from final microstructures, i.e. last simulation time-step differ significantly from  $GTE_1$  and  $GTE_2$  and show larger deviations with respect to each other. Additionally, the estimated  $GTE_2$  vary with axial tilt and therefore, may lead to erroneous interpretation.

TABLE 4 CALCULATED GENERAL TRACKING EFFICIENCIES FOR THE SIMULATION TEST CASES, A AND B. CALCULATED VALUES  $gte_2$  are comparable to THE CORRESPONDING  $gte_1$  WHEREAS  $gte_1^t$  and  $gte_2^t$  show larger deviations. This indicates that the general tracking efficiencies compare Quite well provided temporal evolution is accounted for its estimation.

	Simulation A						
Axial tilt	GTE <sub>2</sub>	GTE1	GTE <sub>2</sub>	$GTE_1^t$			
5.63°	0.473	1	0.234				
42.45°	0.422	0.45	0.751	685			
58.5°	0.486	_	0.639	0			
	Simulation B						
Axial tilt	GTE <sub>2</sub>	GTE1	GTE <sub>2</sub>	GTE1			
5.63°	0.166	90	0.167				
7.16°	0.204	0.20	0.254	325			
32.01°	0.196		0.131	0			

### **Statistics**

It is well known from the 2-D numerical studies of crack-seal process that a smaller crack-opening rate favors the formation of fibrous vein morphology, while, a larger crack opening rate leads to formation of blocky fracture cements (Bons 2001; Hilgers et al., 2001; Ankit et al., 2013b). However, none of the previous studies focuses on the statistical aspects which have the potential to provide valuable insights into the fracture cementation process.

We plot the number of grains surviving the crack opening process (in contact with the advancing crack surface) versus the temporal crack opening distance and observe a shift between the two regimes, in the present 3-D simulations. As shown in Fig. 14a, the decline in the number of grains is significantly steeper if the crack opens at a faster rate (simulation B), as compared to the case of slower opening (simulation A). The plummeting of grain count is indicative of the anisotropy in surface energy being dominant, leading to orientation selection and growth competition, similar to free-growth conditions. In such a case, the misoriented grains are continuously eliminated by favorably oriented neighbors. On the contrary, when the crack-opening rate is smaller, the decrease in the number of grains is less steep and becomes constant, which indicates that grain boundaries are pinned by fractal

peaks on the fracture surface (Hilgers et al. (2001) call such peaks as Grain Boundary Attractors), even though the general tracking efficiency is near about 0.5 (much lesser than 1.0). The grain size distribution in the shifting box (final simulation time-step) is plotted in Fig. 14b and represents those grains in contact with the advancing crack surface for the test cases A and B. On comparison, it becomes clear that the tip of the distribution shifts towards smaller mean grain sizes due to an increased pinning behavior of fractal peaks when the crack-opening rate is smaller. It is noteworthy that a major repercussion of grain-boundary/multi-junction pinning, as shown in Fig. 12, is that the growth competition based on mis-orientation is suppressed. In such cases, the consumption or survival of a grain does not depend on mis-orientation as shown in Fig. 13. Therefore, a higher number of grains survive the crack-sealing process as opposed to other case when crack-opening rate is faster in evidence with the result in Fig. 14a.



FIG. 12 ANALYSIS OF THE TEMPORAL EVOLUTION OF A FRACTAL PEAK (IN SHIFTING BOX) WHICH PINS AT THE QUADRUPLE POINT AND RESULTS IN GREATER TRACKING BEHAVIOR, AS EVIDENT FROM SURVIVAL OF MIS-ORIENTED CRYSTAL FIBER (IN BLUE). THE FRACTAL PEAK ILLUSTRATED AS A WHITE SPHERE ACTS AS A GRAIN BOUNDARY ATTRACTOR WHILE MOVING ALONG A PREDETERMINED TRAJECTORY. IT IS INTERESTING TO NOTE THAT THE GRAIN QUADRUPLE JUNCTION ACTS AS A STRONGER ATTRACTOR IN COMPARISON TO GRAIN BOUNDARIES, IN THE FINAL STAGES OF CRACK-SEALING SIMULATIONS (RIGHT IMAGE). THE AXIAL TILT OF THE GRAINS ARE INDEXED ACCORDING TO THE COLORBAR.



FIG. 13 TEMPORAL EVOLUTION OF MARKED AREAS IN SIMULATION A (SLOW CRACK-OPENING) SHOW THAT THE PRECIPITATED CEMENT FOLLOW THE TRAJECTORIES OF THE OPENING PEAKS AND EVOLVE INDEPENDENTLY OF THEIR MIS-ORIENTATION, WITH RESPECT TO THE MOST PREFERRED GROWTH DIRECTION. IN SUCH A CASE, THE GRAIN BOUNDARIES/MULTI-JUNCTIONS WHOSE MOTION IS PINNED BY THE PEAKS OF THE ADVANCING CRACK (SHOWN IN GRAY), TRACK THE OPENING TRAJECTORY. THE COLOR OF THE GRAINS REPRESENT THE AXIAL TILT (NUMERICAL VALUES ALSO MENTIONED FOR GRAINS IN CONSIDERATION) AND INDEXED AS PER THE COLORBAR.



FIG. 14. STATISTICS OBTAINED FROM 3-D PHASE-FIELD SIMULATIONS. (A) NUMBER OF GRAINS IN CONTACT WITH THE ADVANCING CRACK SURFACE PLOTTED AS FUNCTION OF NORMALIZED DISTANCE FROM THE POINT OF GREATEST DEPRESSION ON THE NUCLEATION SURFACE. THE NUMBER OF GRAINS BECOME NEARLY CONSTANT WHEN GRAIN BOUNDARIES/TRIPLE POINTS ARE PINNED AT FACING PEAKS FOR SMALL CRACK-OPENING RATE. AT HIGHER CRACK-OPENING RATES, THE GROWTH COMPETITION DOMINATES DUE TO A LESSER 'PINNING EFFECT' EVIDENT FROM A DECREASING TREND, EVEN AT LATER STAGES. (B) GRAIN SIZE DISTRIBUTION FOR THE FINAL MICROSTRUCTURES SHOWN IN FIG. 10. IN THE CASE OF SLOWER CRACK-OPENING, A SHIFT OF DISTRIBUTION PEAK TOWARDS SMALLER NORMALIZED GRAIN SIZE SIGNIFIES GREATER PINNING LEADING TO HIGHER GRAIN BOUNDARY TRACKING EFFICIENCY.

### **Discussion of Results**

The present work highlights the advantages of 3-D numerical studies over 2-D, by visualizing the complex and the inherently 3-D evolution of grain boundaries/multi-junctions in crack-sealing process.

Although, the idea of using the general tracking efficiencies (GTE), as defined by Ankit et al. (2013b) works well for a 2-D case, it is apparent that a similar procedure, in principle, cannot be employed for a general 3-D case. Moreover, the previous definition of GTE by Ankit et al. (2013b), do not account for the temporal evolution of microstructures in the determination of tracking efficiency, which signifies the importance of present work. With a motive to account for the temporal evolution of rock microstructures, we propose two different approaches to determine the general tracking efficiencies and compare the results. We also clarify the main reason for the effect of the crack opening rate on the grain growth statistics, i.e. on the number of grains tracking the opening trajectory, size and orientation distribution.

For implementing a 3-D fractal surface as the boundary condition and obtaining a uniform overlay of nuclei over the crack surface which prevents the onset of size-effects, preprocessing algorithms are proposed. An innovative approach to visualize the numerically simulated fracture cements aided by post-processing techniques reveals that the grain boundary/multi-junction morphologies in 3-D are more complicated as compared to 2-D cases, in general. In order to deal with the third dimensionality which makes the determination of tracking efficiency difficult, we amend the definition of general tracking efficiency to GTE<sub>1</sub> and GTE<sub>2</sub> given by equations (8) and (10) respectively. While GTE<sub>1</sub> may be interpreted as an extension of the tracking efficiency proposed by Urai et al. (1991) for the case of 3-D evolution, GTE<sub>2</sub> accounts for the temporal change in tracking behavior of fracture cement with respect to the crack-opening trajectory. In contrast,  $GTE_1^t$  describes the tracking efficiency of the final microstructure ('t' being the total simulation time) and does not account for temporal evolution. The study of peak trajectories demonstrate that the GTE<sup>t</sup> is significantly higher than GTE<sub>1</sub> for the simulation test cases, A and B. It is noteworthy that GTE<sub>1</sub> and GTE<sub>2</sub>, both accounting for the temporal evolution of grain boundaries/multi-junctions, compare quite well as summarized in Table 4. The incongruousness arising out of the neglect of temporal evolution of grain boundaries/multi-junctions implies that conclusions about the tracking efficiency, as previously drawn upon the  $GTE_1^t$  data, which solely rely on final microstructure have a clearly limited validity. While the original approach of using grain boundary morphology to determine tracking efficiency proves to be inept in 3-D, we conclude that the proposed GTEs, both accounting the temporal evolution, serve as reliable methods to quantify the tracking behavior in cemented fractures.

The grain evolution statistics obtained by post-processing the 3-D computational microstructure reveals that the

number of grains in contact with advancing crack surface (Fig. 14a) decreases steeply when the crack-opening rate is higher. It can be argued that a steep decline in the number of grains and survival of crystals oriented along the most preferred orientation, is indicative of growth competition, which does not relate to the boundary conditions namely the crack surface roughness or the opening rate. The near incapability of fractal peaks to pin the grain boundaries/multi-junctions when crack-opening rate is higher can be seen in Figs. 10c and 10d, where reddish grains are found in majority. On the contrary, the decline in the number of grains is less steep for smaller crack opening rate, which finally becomes constant. Such a statistic can be attributed to stronger pinning of fractal peaks at grain boundaries and multi-junctions, which suppresses the growth competition based on mis-orientation of neighboring grains (Fig. 13). An interesting outcome of visualizing the temporal evolution in Fig. 12, is that the fractal peaks pin more strongly at quadruple junctions (grain multi-junctions in general) as compared to grain boundaries. The increase in pinning behavior of fractal peaks at smaller crack-opening rates is further accentuated by shift in the apex of grain size distribution towards small grain size (Fig. 14b). Thus, the plots of number of surviving grains as well as grain size distribution (Fig. 14), provide a statistical realization of the shift in regime and explain the fracture cement characteristics observed in Fig. 13, which is primarily governed by crack-opening velocity in the present test cases (different from curvature driven grain coarsening process).

Finally, it is worth clarifying that the present definitions of GTEs are formulated with an intention to indicate the importance of temporal evolution in determining the tracking efficiency. We do not rule out the possibility of other definitions, which may be equally capable of quantifying tracking behavior in 3-D with high precision. Based on the insights from the present numerical simulations, we assert that as long as the temporal evolution and the 3-D characteristics of the growing cement is accounted for, the derived tracking efficiency can, in principle, be calculated correctly, irrespective of the technique used. Within the scope of current work, the prime motive is to highlight the gain in accuracy by accounting for temporal evolution in the well known methodologies, which strongly emphasizes the importance of 3-D numerical studies. The growth statistics, obtained by accounting for large number of grains and supplemented by 3-D visualization, aims to bridge the gap between field observations (of 3-D layers) and computational studies (limited to 2-D till date) and advances the understanding of the fracture cementation process.

The current work which is based on a thermodynamically consistent approach, namely the phase-field method aims to fill-in the short-comings while focusing on the aspects that have not been addressed in the previous numerical studies, chiefly the large-scale statistical studies as well as complex motion of grain boundaries/multijunctions in 3-D. Wherever adequate, the benefits of 3-D simulations have been highlighted while enumerating the limitations of 2-D studies. The 3-D visualization aided by post-processing techniques supplement the present numerical studies and allow to draw meaningful conclusions from the simulation test cases. A close agreement of both the general tracking efficiencies (GTE1 and GTE2) for the test cases 'A' and 'B', validates our findings and confirms that the aim of conducting the numerical simulations has been adequately achieved. The most outstanding achievement of the present work is the characterization of rock microstructures based on temporal evolution of grain boundaries/multi-junctions rather than relying on an approximate reconstruction from the final morphology, a popular approach in the geoscientific community (Passchier and Trouw, 2005). Bearing in mind that it is fundamentally (essentially) difficult to design as well as carry out in-situ studies in laboratory experiments that can replicate the process of fracture cementation (e.g., Hilgers et al. (2004); Okamoto and Sekine (2011)), the 3-D numerical studies are of paramount importance as they can alternatively provide invaluable insights into the fracture cementation process. We further stress that the new methodologies based on multiphase-field modeling allow an efficient use of modern high super-computing power, so that even the consideration of large grain systems (up to 500,000 grains) in 3-D computational studies becomes feasible.

Despite the reported advancement in the modeling framework, the quantitative comparison of the numerical results with natural microstructures is not considered in the present work. In view of establishing a greater synergy between the numerical modeling and field studies, the kinetics of mineral precipitation needs to be addressed. Although, the kinetics of crack-propagation in rocks may involve a different time-scale as compared to fracture cementation, it needs to be included in the present model for a more comprehensive study and direct comparison with natural microstructures. The present modeling framework need to be developed in future, such that it can be employed to derive macroscopic rheological laws that contain the microscopic reaction kinetics for given

mechanical input configurations and can be used for enhanced transport modeling in the considered geological systems.

## Conclusions

The present phase-field simulations of the fracture cementation process lead to following conclusions:

- The microstructures simulated under respective boundary conditions show similarities with the natural prototypes and generally agree with the previous numerical results of Urai et al. (1991), Hilgers et al. (2001) and Nollet et al. (2006). The simulation may thus be upscaled to simulate reactive fluid flow and corresponing in fractured reservoir rock.
- The phase-field method comes across as a valuable alternative to simulate the 3-D fracture cementation process.
- The measurement of tracking efficiency in 3-D for crack-seal microstructures is relatively more cumbersome as compared to 2-D. In order to derive meaningful conclusions from the 3-D numerical study, it is necessary to analyze the out-of-plane evolution of grain boundaries, which turns out to be a non-trivial task. Further, it necessitates the employment of specialized techniques, for e.g. the 'general tracking efficiencies' proposed in the current study which are essentially derived from the previous techniques of Urai et al. (1991) and Ankit et al. (2013b).
- Accounting for the temporal evolution of rock microstructure improves the accuracy of the estimation of tracking characteristics that can be used to derive the deformation history. This leads to more advanced models of evolving fracture cementation in reservoir rocks and its effect on fluid transport.
- A more representative statistics obtained from 3-D simulations (as compared to previous numerical studies in 2-D) contribute towards a better understanding of evolution mechanism whilst providing in-situ visualization of grain multi-junctions and boundaries. The statistics and the visualization of the 3-D fracture cementation process show that grain quadruple junction acts as a stronger attractor in comparison to grain boundaries, which has an additional effect on the final microstructure. However, for small crack increments the crystallographic orientation of quartz grains does not affect the final microstructure.
- Although the parameter varied in the study is limited to the crack-opening rate, the 3-D simulations illustrate the advantages of adopting the phase-field method and the employed visualization techniques in studying fracture cementation. Therefore, the present work paves way for the 3-D numerical studies to be conducted for a wider bandwidth of parameters/boundary conditions.

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# Analysis, Interpretation, and Design of Inter-Well Tracer Tests in Naturally Fractured Reservoirs

Aymen A. Alramadhan<sup>\*1</sup>, Ufuk Kilicaslan<sup>2</sup>, David S. Schechter<sup>3</sup>

Harold Vance Department of Petroleum Engineering, Texas A&M University, College Station, Texas, USA <sup>\*1</sup>aymen.alramadhan@pe.tamu.edu;<sup>2</sup>ufuk.kilicaslan@pe.tamu.edu; <sup>3</sup>david.schechter@pe.tamu.edu

# Abstract

In order to understand the complex fracture network that controls water movement in a developed area within Spraberry Trend in West Texas and to better manage the on-going waterflood performance, a field scale inter-well tracer test was implemented. This test presents the largest inter-well tracer test in naturally fractured reservoir reported in the industry and includes the injection of 13 different tracers and sampling of 110 producers in an area covering 6533 acres.

This inter-well tracer test generated a total of 598 tracer responses from 52 out of the 110 sampled producers. Tracer responses showed a wide range of velocities from 14 ft/day to ultra-high velocities exceeding 10,000 ft/day with same-day tracer breakthrough. Re-injection of produced water has caused the tracers to be re-injected and added an additional challenge to diagnose and distinguish tracer responses affected by water recycling. This paper investigated analytical, numerical, and inversion modeling approaches in order to categorize, history match, and connect tracer responses with water-cut responses with the objective to construct multiple fracture realizations based entirely on water-cut and tracers' profiles. In addition, the research highlighted best practices in the design of inter-well tracer tests in naturally fractured reservoirs through lessons learned from this test.

Results indicated that tracer responses could be categorized based on statistical analysis of tracer recoveries with each category showing distinguishing behavior in tracers' movement and breakthrough time. In addition, it showed that tracer and water-cut responses in the lease are dominantly controlled by the fracture system revealing minimum information about the matrix system. Numerical simulation studies showed limitations in dual porosity formulation/solvers to model tracer velocities exceeding 2200 ft/day. Inversion modeling using Gradzone Analysis showed that east and north-west of the developed area have significantly lower pore volume compared to south-west.

# Keywords

Inter-well Tracer Test; Tracer Resonse; Fractures Characterization; Naturally Fractured Reservoirs

# Introduction

The successful implementation of tracer tests in the areas of petroleum reservoirs and groundwater fields over the years has made those types of tests very reliable tool for reservoir description and characterization. Unlike pressure transient tests which provide a low resolution description of the reservoir by averaging reservoir properties over the bulk drainage volume, tracer tests have the ability to capture small scale features making it suitable for a more detailed and a higher resolution reservoir description. In secondary and enhanced oil recovery projects, the degree of success of economically recovering the remaining oil requires a robust understanding of fluid migration paths and recovery mechanism in the reservoir.

The majority of inter-well tracer tests have the main objective of assessing connectivity and direct communication between injectors and producers. Other important objectives of inter-well tracer tests include: identifying flow anisotropy in the reservoir, evaluating sweep efficiency, identifying flow barriers, characterizing areal reservoir heterogeneity, assessing reservoir layering, estimating fluid velocities, and determining offending injector(s) in case of channeling. Once any or all of these objectives are met, the reservoir engineer will be able to design and implement more efficient sweep improvement strategies <sup>((1,2,3))</sup>.

When a pulse of injected tracer reaches the production well, the produced concentration will be a distributed tracer response curve. This is due to water movement in the reservoir through a distribution of flow paths and different flow rates within each path. A typical tracer response curve will show at least four distinctive landmarks as shown in Figure 1: breakthrough time, maximum concentration produced, variance of response, and mean of the distribution. Sweep efficiency is typically described in term of breakthrough time when it is plotted as a function of cumulative water injection rate. In a one dimensional homogenous system, breakthrough is a measure of the swept pore volume by injected water. In two or three dimensional heterogeneous system, breakthrough represents a measure of the swept volume by only the highest conductive path between injector and producer. A nearly instantaneous tracer breakthrough is an indication of fracturing. An early breakthrough with a sharp response is an indication of poor communication or low transmissibility path between injector and producer <sup>((1))</sup>.

The peak tracer concentration produced represents the mode of the tracer's velocities distribution while the variance of tracer distribution curve is dependent on local variation in flow velocity and/or on longitudinal dispersion. The mean of tracer distribution represents the mean volume of water injected<sup>((1,7))</sup>.

Several researchers showed that multiple peaks in a tracer response can be extremely informative for reservoir description. Abbaszadah <sup>((4,5))</sup> showed that multiple peaks have strong correlation with the minimum number of layers to match the tracer response. In addition, he indicated that if multiple peaks are observed to be close in location to each other, it is an indication of substantial interference between layers contributing to tracer flow. Datta-Gupta et al. <sup>((6))</sup> showed that multiple peaks in a tracer response could be interpreted in term of correlated and uncorrelated heterogeneity that reduce vertical and areal sweep efficiencies.

A thorough survey of the literature of large-scale interwell tracer tests in naturally fractured reservoirs is presented in Table 1 <sup>((20,21,22,23))</sup>. The survey indicated that most of the tests were qualitative in nature and the general conclusion was they were used only in a qualitative manner to assess injector-producer connectivity without taking advantage of other information carried within a full tracer response curve. The numerical simulation from Spraberry Trend Area as reported by Schechter et al. indicated that extremely high fracture permeability (several darcies) was required to history match tracer breakthrough and magnitude <sup>((20))</sup>. The ensuing dual porosity simulation thus demonstrated the tremendous contrast between high fracture permeability and low average matrix permeability (less than 1 md) in the area as determined by extensive core data. Case study highlighted in this paper within Spraberry Trend Area in West Texas presents the largest inter-well tracer test in naturally fractured reservoir reported in the industry and includes the injection of 13 different tracers and sampling of 110 producers in an area covering 6533 acres. This inter-well tracer test generated a total of 598 tracer responses from 52 out of the 110 sampled producers. Case study presented in this paper is included in Table 1 for comparison purposes.



FIGURE 1: EXAMPLE OF A TYPICAL TRACER RESPONSE

Author	Field , location	Tagged injectors	Sampled producers	Number of Tracer Responses	Study Approach	Study Year
Nitzberg, et. al.	North West Fault Block, Prudhoe Bay, Alaska	22	53	>46	Qualitative	1992
McConnell et. al.	A Devonian Lower Nisku dolomite formation, Albarta, Canada	5	20	20	Qualitative	2002
Schechter, D.S. et. al.	Spraberry Trend, O'Daniel Unit, West Texas	6	29	45	Numerical Simulation	2002
Husain Zaberi et. al.	A giant carbonate oil field, Saudi Arabia	5	65	7	Analytical	2008
Alramadhan et. al	Spraberry Trend, West Texas	13	110	598	Analytical, statistical and numerical	2013

TABLE 1: COMPARISON OF LARGE-SCALE INTER-WELL TRACER TESTS IN NATURALLY FRACTURED RESERVOIRS

# **Background of Spraberry Trend**

The Spraberry Trend Area in West Texas was considered to be the largest oil field in the world at the time of its discovery in 1949 with 8-10 billion barrels of original oil in place <sup>((8))</sup>. Spraberry is composed of naturally fractured, low permeability siltstone which makes it problematic for both primary production and waterflooding <sup>((9))</sup>. Based on primary recovery, its original recovery factor was projected to be less than 10% <sup>((10,11))</sup>. This low anticipated recovery was the main drive to initiate waterflooding projects in several areas of Spraberry in late 1950s. Unexpectedly, waterflooding projects in Spraberry showed very limited success where areas subjected to more than 40 years of waterflooding showed less than 15% oil recovery <sup>((9))</sup>. Reasons for the low waterflood recovery are still not fully understood. Several hypotheses were developed to explain the wide-scale poor performance waterflooding <sup>((12,13,14))</sup>. Those include: low matrix permeability and extensive fracturing <sup>((15))</sup>, incorrect well pattern alignment, fracture mineralization, lack of pattern confinement and injection well density <sup>((16))</sup>, low effective permeability to oil <sup>((13))</sup>, and stress-sensitive fracture conductivity <sup>((14))</sup>.

# Inter-well Tracer Test in the Developed Area within Spraberry Trend

## **Production History & Tracer Project Description**

The developed area within Spraberry Trend was first put on production in mid of 1951. The oil production was dry for about 22 years until the first water breakthrough occurred in early 1973. Early water production data shows complex water-cut behavior indicating the presence of a complex fracture system. Example of such water-cut behavior is shown in Figure 2. Water injection in this lease started in January 1983 after around 32 years of primary depletion with one power water injector. Additional injector was introduced in 1990 and three more were introduced in late 2001 / early 2002. Lease performance plots from July 1951 until March 2012 are shown in Figures 3 and 4.

In 2010, a decision was made to develop a large area of Spraberry using 11 inverted 9-spot patterns. In order to effectively manage the pattern water flood area as well as the area outside the pattern, 13 different non-reactive water tracers were injected through 13 injectors and 110 producers were water sampled. Objectives of this interwell tracer test are to understand complex water movement in the reservoir, to assess injector-producer connectivity, and to understand sweep and fractures heterogeneity. The tracer test lasted for 114 days from start of first tracer injection in April 25, 2011 until the analysis of the last water sample in August 17, 2011. Tracer breakthrough was observed from the same day of tracer injection, and a total of 598 tracer elution curves were detected from 52 out of the 110 sampled wells. Tracer injection and sampling locations are shown in Figure 5. It should be noted that rose diagram in Figure 5 was built using core and dynamic data from nearby leases and the blue ellipses reflects areas where lease operator believe it is swept by injected water.



FIGURE 2: EXAMPLE OF EARLY COMPLEX WATER-CUT BEHAVIOUR OBSERVED WITHIN AREA UNDERSTUDY



FIGURE 3: LEASE OIL AND WATER PRODUCTION RATES AND LEASE WATER-CUT WITH TIME.



FIGURE 4: LEASE WATER INJECTION PERFORMANCE VERSUS TIME



FIGURE 5: TRACER SAMPLING & INJECTION LOCATIONS WITH FRACTURE ORIENTATION ROSE DIAGRAM. IN THIS TRACER INJECTION PROJECT, 13 INJECTORS WERE TAGGED WITH TRACERS, 110 PRODUCERS WERE SAMPLED AND A TOTAL OF 598 TRACER RESPONSES WERE OBTAINED BY END OF PROJECT.

# Effect of Water Recycling

In the test area, the produced water from all wells flows through surface pipelines to a re-injection facility. The reinjection of produced water has also caused the tracers to be re-injected and to affect the results of the tracer test. Measurement of tracers' concentration at re-injecting facility has been made and reported as part of the test.

# **Analytical Tracer Interpretation Techniques**

Analytical tracer interpretation techniques are any type of interpretation that does not require building and running numerical simulation models. This includes analyzing tracers responses using Method of Moments (MOM), searching for data patterns in tracer or water-cut responses, analyzing distributions of tracer recovered, tracer velocities, and tracers' breakthrough time, assessing layering from multiple peaks in tracers' responses, mapping observations, and making links between tracers responses and wells' or lease performance.

# Methods of Moment

Net swept volume calculations using moment analysis require three basic entries: produced tracer concentration, water production and injection rates during tracer production ((7)). This is done for each injector-producer pair where tracer production is detected. In order to map directions of maximum swept volumes, all volumes were normalized by dividing by the maximum value. Figure 6 shows distribution of lease-wide net swept volumes (normalized). The full field distribution of normalized net swept volume appears to be very close to a normal distribution. This is most likely a reflection of the distribution of fracture properties within tracer-flow area which are the main drive of the sweep. Connecting wells that showed high swept volumes (normalized swept volumes  $\geq 0.50$ ) consistently show four major flow features oriented N76°E. These major flow features highlighted in green in Figure 7 were detected by 10 out of the 13 tagged injectors. For these 10 injectors to be widely spaced covering a large area of the lease and consistently detecting these four flow features, it is an indication that these flow features are inter-connected and governs the majority of injected water movement in the tracer test area. Honoring high swept volumes from Method of Moments and knowledge of fracture orientation from nearby areas, inter-connectivity could be explained by NE-SW fractures connecting each of 10 injectors with these four major flow features.



FIGURE 6: DISTRIBUTION OF NET SWEPT VOLUMES FROM METHODS OF MOMENTS WAS FOUND TO BE CLOSE TO A NORMAL DISTRIBUTION. IT'S BELIEVED THAT THIS IS REFLECTIVE OF THE DISTRIBUTION OF FRACTURE PROPERTIES THAT CONTROLS WATER MOVEMENT WITHIN AREA UNDERSTUDY





# Tracers Recoveries: Statistical Analysis & Categorizing Responses

Although the injection system was tagged with tracers, overall recovery was poor with none of the 13 injected tracers showing more than 10% recovery. Such low tracers' recoveries are not expected in a reservoir where highly conductive fractures are believed to be the main drive for the high water-cut observed in the lease. The main reason for such poor tracers' recoveries, as next sections will show, is that the developed area receives substantial water influx from injection activities in neighboring leases causing it to be excessively diluted. A summary of total tracer recovered for each of the 13 tracer is shown in Figure 8. Distribution of tracer recoveries for the 598 tracer responses obtained in this study is shown in Figure 9. As shown in Figure 9, majority of tracer responses in this inter-well tracer test exhibit less than 0.01% tracer recovery (52.2% of tracer responses). These responses show similarities in term of excessive dilution and non-peaking trend which suggest that they could be studied as one category. The next interval from 0.01-0.1% shows a different frequency trend compared to tracers with < 0.01%recovery. Similarities in the number of tracer responses observed within this interval suggest that these three intervals could be combined into one category. In a similar manner, tracer responses with recoveries between 0.1-0.5% as well as tracer responses with recovery higher than 0.5% could be combined into two additional categories. Although the last two categories still show low tracer recoveries, they are expected to be containing the maximum information useful about tagged water movement in developed area.







FIGURE 9: HISTOGRAM OF RECOVERIES FROM ALL TRACERS' RESPONSES (COLOURS REPRESENT 4 DIFFERENT RESPONSE CATEGORIES). LOW TRACER RECOVERY GROUPS HIGHLIGHTED IN YELLOW AND ORANGE SHOWED ANOMALIES IN TRACER RESPONSES REFLECTED THAT THEY WERE AFFECTED BY WATER RECYCLING. THIS RESEARCH CONCLUDED THAT MEDIUM TO HIGH TRACER RECOVERY GROUPS HIGHLIGHTED IN GREEN AND PURPLE SHOULD BE THE ONE TO BE USED IN CHARACTERIZATION AND RESERVOIR MANAGEMENT.

### Linking Tracers Recoveries to Wells' Water Performance

To illustrate quantitatively the significance of tracers in explaining wells' water performance, one inverted nine spot pattern is taken as a case study (Figure 10). Enclosed in this pattern area one of the unique tracer responses

where the pair "X1011- X1003" showed the highest tracer concentration produced in this inter-well tracer test. In addition, this pattern showed a total of 77 responses obtained from 7 out of its 8 producers. Tracer responses in this pattern are in the range of 0.001-2.1% which covers the four tracer recovery categories defined earlier. In this case study, water production from each well in the pattern is decomposed into two components: tagged water rate and untagged water rate. The tagged water rate is the water being contributed by all of the tracer-tagged injectors and is calculated by simply multiplying tracer recovery of each injector with its injection rate. The untagged water rate is the water flowing from tagged injectors. This is used to analyze the significance of tracers in explaining wells water production.

This inverted nine spot pattern highlights several aspects of the tracer test area. First, tracer recovered explains only 8% on average of pattern water production which raises a question about where the injected water is going. Second, water-cuts of producers in the pattern are high raising a question about the source of the water produced. Third, for a field where water injection rates are in the range of 120-390 bbls/day, tracer recoveries < 0.1% reflect infinitesimally small water movement of a fraction of a barrel. Modeling this scale of volumes is irrelevant and does not fit the purpose of this study. Thus, these tracer responses will not be mapped or simulated and more focus will be put on responses with tracer recoveries of "0.1-0.5%" and "0.5%+". Forth, preferential path for tracers in the developed area is in the NE-SW and E-W direction reflecting major fracture system carrying injected water in this direction. Tracer movement through an N-S or NW-SE direction does exist but it is rare. Fifth, pattern producers located on the E-W fracture system show strong connection with 9 out of the 12 tagged injectors outside the pattern. This was indicated by moderate tracer recoveries and it reflects a complex and inter-connected fracture network where far injectors as well as nearby injectors have a direct impact on pattern performance. The nature of this fracture network will be investigated in more depth in next sections when tracers from all other producers are mapped.



FIGURE 10: PATTERN USED AS A CASE STUDY TO ILLUSTRATE QUANTITAVELY THE SIGNIFICANCE OF TRACERS IN EXPLAINING WELLS' WATER PERFORMANCE. ALTHOUGH THIS PATTERN SHOWED A TOTAL OF 77 TRACER RESPONSES AS WELL AS THE HIGHEST CONCENTRATION PRODUCED IN THE TRACER INJECTION PROJECT, TRACERS EXPLAIN ONLY 8% OF PRODUCED WATER WITHIN THIS PATTERN.

## **Patterns in Tracers Responses**

## Tracers' Velocities

A histogram of all tracer velocities of this inter-well tracer test is shown in Figure 11. The distribution covers a wide range from zero velocities to ultra-high velocities of 13,288 ft/day with majority of observations lying in the lower

velocities interval. For responses unaffected by water recycling, velocity distribution is very close to a tri-modal normal distribution (Figure 12). The three normal distributions most likely reflect three sets of fracture systems each with different mean connectivity and fracture pore volume. This distribution can be an effective tool for future fracture characterization studies as it provides an estimate of number of active fracture sets as well as average properties for each. Basis for selecting tracer responses unaffected by water recycling is discussed in detail in next section.







FIGURE 12: TRI-MODAL DISTRIBUTION OF TRACERS' VELOCITIES OBSERVED WITHIN RESPONSES WITH 0.1-0.5% RECOVERY. THE THREE NORMAL DISTRIBUTIONS MOST LIKELY REFLECT THREE SETS OF FRACTURE SYSTEMS EACH WITH DIFFERENT MEAN CONNECTIVITY AND FRACTURE PORE VOLUME. THESE DISTRIBUTIONS CAN BE AN EFFECTIVE TOOL FOR FUTURE FRACTURE CHARACTERIZATION STUDIES AS IT PROVIDES AN ESTIMATE OF NUMBER OF ACTIVE FRACTURE SETS AS WELL AS AVERAGE PROPERTIES FOR EACH.

## Tracers' Breakthrough Time

The global distribution of tracer breakthrough time is shown in Figure 13. It shows that majority of tracer responses were obtained in the first two weeks of the project (64% of tracer responses). In addition, it shows a systematic discontinuity starting from the third week of the test and continues in a cyclic manner. This study showed that this cyclic behavior is only seen for tracer responses with both anomalies and very low recovery (<0.1%). It was concluded that this behavior is associated with water recycling. As a result, only tracer responses obtained during the first two weeks of the test were used for fracture characterization as those are less affected by water recycling.



FIGURE 13: DISTRIBUTION OF TRACERS' BREAKTHROUGH TIME SHOWS THAT MAJORITY OF TRACER RESPONSES WERE OBTAINED IN THE FIRST TWO WEEKS OF THE PROJECT (64% OF TRACER RESPONSES). IN ADDITION, IT SHOWS A SYSTEMATIC DISCONTINUITY STARTING FROM THE THIRD WEEK OF THE TEST AND CONTINUES IN A CYCLIC MANNER. THIS RESEARCH SHOWED THAT TRACERS RESPONSES OBTAINED WITHIN THIS INTERVAL WERE A RESULT OF WATER RECYCLING.

### Single Peak & Multi-Peak Responses

Multiple peaks in a tracer response reflect the degree of layering in the reservoir system. To evaluate how many layers are needed to explain tagged water movement within the developed area of Spraberry Trend, the number of peaks for all early tracer responses occurring during the first two weeks of the tracer test is evaluated and shown in Table 2 below. In summary, majority of tracer responses show single peak tracer response (87.3% of total early responses). Thus, movement of tagged water from a given injector to a given producer could be explained most of the time by using a single layer model. Dual peaks and triple peaks tracer responses present only 11.4% and 1.3% of total early responses, respectively. These less frequent responses could be explained by two and three layers models. Table 3 shows that number of peaks in a tracer response shows a strong correlation with tracer recovery indicating that as tracer recovery increases, the higher resolution the tracer response provides about the layering of the system.

TABLE 2: FREQUENCY OF NUMBER OF PEAKS IN TRACERS' RESPONSES.

No of peaks	Frequency	Relative Frequency (%)
1	329	87.3%
2	43	11.4%
3	5	1.3%

Tracer Recovery	# of peaks	Frequency	Relative Frequency (%)
	1	119	100%
< 0.01%	2	0	0
	3	0	0
0.01-0.1%	1	158	94.6%
	2	9	5.4%
	3	0	0
	1	47	63.6%
0.1%- 0.5%	2	25	33.7%
	3	2	2.7%
0.5%+	1	5	29.4%
	2	9	52.9%
	3	3	17.6%

TABLE 3: PEAKING IN TRACERS RESPONSES LINKED TO TRACER RECOVERY CATEGORY

### Mapping Tracers Recoveries

Mapping tracers recoveries highlighted two types of tracers movement in the reservoir: simple tracers movement and complex tracers movement. The simple tracers movement is indicated by high tracers recoveries and is limited to the vicinity of the injector's wellbore in the E-W and NE-SW direction. On the other hand, the complex tracers movement was indicated by moderate tracers recoveries and it highlighted the flow of injected water outside the 11 patterns injection area. In addition, it highlighted the complex inter-connectivity between pattern injectors and pattern producers. Simple tacers movement indicated by high tracer recoveries is shown in Figure 14 while complex tracers movement indicated by moderate trecers recoveries is shown in Figure 15.



FIGURE 14: MAPPING FRACTURE NETWORK BY INTEGRATING ALL HIGH TRACER SHOWS. THIS FRACTURE NETWORK IS CONFINED WITHIN PATTERN INJECTION AREA



FIGURE 15: MAPPING FRACTURE NETWORK BY INTEGRATING ALL MODERATE TRACER SHOWS. THIS FRACTURE NETWORK HIGHLIGHTS THAT WATER FROM MAJORITY OF PATTERN INJECTORS IS ESCAPING PATTERN INJECTION AREA TOWARD THE EAST.

## **Developed Area Production Performance**

Overall analysis of production performance highlights several aspects of this development area. First, lease water production closely follows the lease water injection indicating a poor waterflooding performance. Second, dry production wells covering almost entire development area are shifted to very high water-cuts in the range of 70-85% almost simultaneously in three months between July-September 2002. Third, nature of injected water breakthrough in this lease occurs simultaneously. This was observed from water breakthrough of 7 wells in 1973 and 44 wells in 2002. Fourth, no dry production is observed in development area after the global water breakthrough in 2002. Recent inverted 9-spot patterns introduced in 2010 show high initial water-cut and poor injection performance raising questions about the contribution of the water injected and the source of water produced.

# A Highlight on Dry Production History

The analysis of water production rate of the groups of wells that exhibited simultaneous water breakthrough in 1973 and 2002 shows three key findings: first, the simultaneous early water breakthroughs without any active injection system in 1973 support the hypothesis of the presence of an external water source feeding the developed area. Second, two out of four active injectors during 2002 are responsible for majority of the group water production rate. This is evidenced by the match obtained between group water production rate and sum of wells injection rates (Figure 16). Third, when developed area was subjected to water injection shutdown for 8 months from late 2004 to mid of 2005, an abnormal increase in water-cut of several producers was observed. This abnormal increase in watercut was attributed to water influx to development area from an external water source.



FIGURE 16: LEASE WATER PRODUCTION RATE FOLLOWS WATER INJECTION RATE IN THREE KEY PERIODS. FIGURE INDICATES PERIODS WHERE WATER PRODUCED IS THE WATER INJECTED AS WELL AS PERIODS WHEN LEASE START RECEIVING WATER FROM EXTERNAL INJECTION SOURCE.

# **Construction of Simulation Models**

Multiple sectors and full-field simulation models were built in order to run sensitivities and to model different water-cut and tracer responses observed in the developed area. Cases investigated are summarized in Table 4 below. In general, objectives of building simulation models include:

- 1. Assessing flow path characteristics by matching tracer breakthrough and peak concentration through high resolution simulation models.
- 2. Assessing dilution and initial saturation uncertainty
- 3. Using pseudo wells to model loss of tracer & injected water outside study area.
- 4. Assessing average reservoir properties capable of explaining wells' performance

Case	Objective
Case Study I	Matching abnormal tracers velocities
Case Study II	Modeling Tracer Flow outside Pattern Injection Area
Case Study III	Modeling moderate and relatively high tracer responses within development area
Case Study IV	Modeling Simultaneous field-wide water breakthrough observed in year 2002
Case Study V	Full Field Simulation Model to capture water and tracers movement

TABLE 4: SUMMARY OF SIMULATION CASE STUDIES INVESTIGATED IN THIS STUDY

# Case Study I: Matching Abnormal Tracers Velocities

Tracer flow between the well pair 'S1012 – R-18' exhibited unique tracer response. Tracer traveled with a velocity of 8,900 ft / day toward well 'R-18' which exist outside patterns injection area. Tracer appeared 1 day after tracer injection with the first water sampled. Although breakthrough was fast, the tracer response exhibited excessive dilution showing tracer recovery of 0.3%. The tracer response and the locations of the two wells are shown Figure 17.

As sensitivities showed that tracer breakthrough time is primarily driven by fracture pore volume, Figure 18 below shows the linear relation observed while attempting to match tracer breakthrough time by changing ( $\phi_f$  h). The slope of the line remains constant as long as the drawdown between the two wells remains unchanged. This linear
relation obtained through large number of simulation runs indicates that a breakthrough of 1 day is not mathematically possible using fracture properties alone. This supports the hypothesis of the presence of an external water source flowing to the developed area which creates both higher tracer velocities and excessive dilution. This phenomenon is modeled by introducing a pseudo injector and a pseudo producer acting 1000 feet away from development area to create a high drawdown across it. Figure 19 shows how the linear relation between ( $\phi_f$  h) product and tracer breakthrough time changes under different influx conditions. Due to numerical limitations, the maximum achievable tracer velocity was 2,225 ft / day which represents only 25% of the actual velocity observed.



FIGURE 17: RESPONSE OF TRACER TRAVELLED OUTSIDE PATTERN INJECTION AREA WITH ABNORMAL VELOCITY OF 8900 FT/DAY (1 DAY BREAKTHROUGH ). THIS RESPONSE IS STUDIED IN DEPTH IN SIMULATION CASE STUDY #1



FIGURE 18: LINEAR RELATION OBSERVED BETWEEN TRACER BREAKTHROUGH TIME AND (φF H) DURING MATCHING TRACER RESPONSES.



FIGURE 19 (SIMULATION CASE STUDY #1): TRACER BREAKTHROUGH TIME VERSUS (φF H) FOR DIFFERENT WATER INFLUX CONDITIONS

## Case Study II: Modeling Tracer Flow outside Pattern Injection Area

Matching moderate tracer velocities in the range of 669 - 853 ft /day was achievable by modifying ( $\phi_f$  h) product as mentioned in the previous case. Peak concentration was matched by modifying concentration injected to account for dilution caused by water flow from nearby injectors. Figure 20 shows the example of a tracer response match between the pair 'S2114 - R18' using a fracture porosity-thickness product of 0.006. Assuming a fracture porosity of 0.5%, these tracers reflect movement in highly stratified and fractured thin layers with 0.6-1 ft extending for vast distances outside tracer study area.



FIGURE 20 (SIMULATION CASE STUDY #2) : TRACER RESPONSE MATCH FOR WELL PAIR 'S2114 - R18' WITH THEIR LOCATIONS. TRACER MATCH PROVIDES A SOLUTION OF THE PRODUCT OF (∳F H) BETWEEN THE PAIR OF INJECTOR AND PRODUCER.

## Case Study III: Modeling Moderate and Relatively High Tracer Responses within Development Area

In a similar manner to case study II, tracer responses were matched by modifying ( $\phi_f$  h) product of the layer and by accounting for dilution from nearby wells. Both moderate and relatively high recovery tracer responses reflect movement of tagged water in highly stratified and fractured thin layers. Moderate tracer recoveries reflect movement of tagged water in a layer with ( $\phi_f$  h) product in the range of 0.5 to  $1.0 \times 10^{-3}$  ft. On the other hand, tracer responses with relatively high recovery reflect movement of tagged water in a relatively high recovery reflect movement of tagged water in a relatively high recovery reflect movement of tagged water in a relatively high recovery reflect movement of tagged water in a relatively thicker layer with ( $\phi_f$  h) product in the range of 0.85 to  $3.0 \times 10^{-3}$  ft. Example of tracer match is shown in Figure 21.



FIGURE 21 (SIMULATION CASE STUDY #3): TRACER RESPONSE MATCH FOR WELL PAIR 'S1202 – S1809' WITH MAP SHOWING THEIR LOCATIONS. TRACER MATCH PROVIDES A SOLUTION OF THE PRODUCT OF (φF H) BETWEEN THE PAIR OF INJECTOR AND PRODUCER.

### Case Study IV: Modeling Simultaneous Field-wide Water Breakthrough

A simulation model was built with the objective to match the simultaneous field-wide water breakthrough and the water production performance of 44 producers from 2002-2009. Two approaches to model the problem were followed: first approach is to model wells performance using initially dry simulation model (initial saturation = Swir). Second approach is to model wells performance using initially wet simulation model (initial saturation > Swir).

The first approach has the advantage of capturing the early dry production of all wells. It showed some success in matching the water breakthrough time but did not show an acceptable water-cut match. Figure 22 below shows the best obtainable match for total water production rate using the first approach. It indicates that to achieve such quick water breakthrough, the pore volume of the system has to be very small in the range of ( $\phi$ h) product of 0.75 or below.

The second approach has more flexibility to model water performance after breakthrough. It showed a remarkable success in matching the water production performance but leaves the early dry oil production period unexplained. Figure 23 shows multiple matches of development area water production and water-cut, respectively. The multiple matches indicate two important findings: first, water performance is dominantly driven by average water saturation in the matrix-fracture system. Second, the reservoir has a moderate matrix pore volume system of (\$\phi\$h) product of 1.65. Different combinations of matrix porosity and thickness with the same product yield identical solution.



FIGURE 22 (SIMULATION CASE STUDY #4): BEST MATCH OF LEASE WATER PRODUCTION RATE OF 2002 WELLS USING DRY MODEL APPROACH.



FIGURE 23 (SIMULATION CASE STUDY #4): MULTIPLE HISTORY MATCHES OF LEASE WATER PRODUCTION RATE OF 2002 WELLS USING WET MODEL APPROACH. ALL REALIZATIONS HAVE SAME (φF H) OF

# Case Study V: Full Field Simulation Model

A full field simulation model was built in order to model simultaneously the 13 tracers injected as well as performances of all producers and injectors in development area. The selection of full field boundary was done with precaution as tracer results showed interaction and ultra-high velocity gradients between tracer study area and surrounding. A selection of full field area should enclose all nearby water injection activity to capture history

of early water movement in the lease. The boundary for the full field model chosen for this study is shown in Figure 24 below and it includes 23 injectors and 195 producers. The overall objectives of full field simulation model include:

- 1. Assess average reservoir properties capable of explaining wells' performance.
- 2. Investigate the modeling of 13 different tracers simultaneously using a full field simulation model.
- 3. Investigate well interference through fracture system and its effect on tracer solution.

Attempts to study tracer responses using full field simulation model have not been successful. The main reason behind such unsuccess is the extreme sensitivity of tracer solution to size of gridcells and to any convergence problem encountered by simulator. Due to this extreme sensitivity of tracer solution, a decision was made to use the full field model only to match water-cut responses.

Sensitivity runs showed that water-cut response is driven dominantly by three parameters: initial water saturation of matrix-fracture system, thickness, and matrix porosity. While initial saturation could be inferred from initial water-cut of the well, average matrix porosity and thickness of the lease is estimated by trial and error. A matrix porosity-thickness product of 1.65 was found to match performance of several wells covering large area of the lease.



FIGURE 24: BOUNDARY OF FULL FIELD SIMULATION MODEL SELECTED FOR THE STUDY

# **Inversion Modeling Approaches**

The process of history matching where parameters controlling reservoir performance is modified until an acceptable match is achieved between simulated response and observed performance data is a process that has been performed traditionally by trial and error. With the advancement of computational capabilities and optimization approaches, new tools were developed to assist the reservoir engineer in such a process <sup>((17)</sup>). These tools define an objective function based on the difference between observed data and simulated responses, and use gradient-based optimization techniques to minimize the objective function <sup>((19))</sup>. In this study, the objectives of inversion modeling can be summarized as follows:

1. Invert water-cut responses to predict fracture properties governing flow in reservoir.

- 2. Create multi-layer heterogeneous models through simultaneous or sequential inversion of water-cut responses.
- 3. Investigate applications of inversion modeling in naturally fractured reservoirs.

# Methodology

Bissell <sup>((18))</sup> proposed a "Gradzone Analysis" method which uses gradient information to guide reservoir engineer in choosing reservoir parameters to modify. This method is based on spectral analysis of the second derivative of the objective function (Hessian Matrix). Hessian Matrix is constructed using a quadratic approximation of the objective function near a minimum. In general, Gradzone Analysis is a procedure for selecting zones in a reservoir model to apply a common multiplier to a particular reservoir property for all grid cells within a zone. Typically, the reservoir property is pore volume (e.g. porosity) or transmissibility (e.g. permeability). Two case studies are presented in this paper which are summarized in Table 5.

TABLE 5. CUMMARY OF INDU	EDGIONI CACEC IN	VECTIC ATED IN	
TABLE 5. SUMMARY OF INVI	ERSION CASES IN	VESTIGATED IN	THISSIUDY

Case	Objective
Case Study I	Modeling Simultaneous field-wide water breakthrough observed in year 2002
Case Study II	Inverting water-cut performance of a tracer-confined Pattern

# Case Study I: Modeling Simultaneous Field-wide Water Breakthrough

Earlier in this paper, the simultaneous water breakthrough of 44 wells in 2002 was studied both analytically and by constructing simulation models. This case could also be investigated by the use of inversion modeling as linking produced water with only three active injectors simplifies the problem. Observed water-cut data from the 44 wells were given equal weights and the property chosen to be inverted was the pore volume of the fracture/matrix system. Sensitivity of solution to inversion design was investigated by changing number of simulation layers, number of Gradzones, number of sampling cells, and which property to invert. The optimum solution was obtained by sequentially inverting a two-layer model which showed a reduction of the objective function by 17% compared to 2.3% for a single layer model. Figure 25 shows the final match of group water performance of the wells compared to pre-inversion solution and one-layer inversion solution. Figure 26 shows pore volume heterogeneity for the two layers after sequential inversion.



FIGURE 25 (INVERSION CASE STUDY #1) : LEASE WATER RATE MATCH USING SEQUENTIAL TWO-LAYER INVERSION



FIGURE 26 (INVERSION CASE STUDY #1): MODEL HETEROGENEITY AFTER SEQUENTIAL TWO LAYER INVERSION: LAYERS 1 (TOP) AND LAYER 2 (BOTTOM). INVERSION MODELING RESULTS SHOW THAT NORTH-EAST AND WEST SIDES OF THE LEASE HAVE VERY LOW PORE VOLUME COMPARED TO THE SOUTH-EAST. NORTH-EAST AND WEST SIDES OF LEASE HAVE 2% AND 35% OF THE PORE VOLUME EXISTING IN THE NORTH-EAST, RESPECTIVELY.

### Case Study II: Inverting Water-cut Performance of a Pattern

A high resolution sector model was built for one inverted 9 spot pattern that showed confined and moderate concentration tracer responses. For the objective function, observed water-cut data from three key wells were given equal weights and the property chosen to be inverted was the pore volume of the system. Figure 27 below shows the locations of the three key wells used in the objective function. Investigating different inversion designs under variable initial water saturation, the optimum solution was obtained by inverting a one-layer model which showed a reduction of the objective function by 13.3%. Figures 28 and 29 show the model heterogeneity and wells' performance before and after inversion.





FIGURE 28 (INVERSION CASE STUDY #2): MATRIX / FRACTURE PORE VOLUME AFTER WATERCUT INVERSION OF A TRACER-CONFINED PATTERN. INVERSION RESULTS SHOW THAT MAJORITY OF PORE VOLUMES WITHIN PATTERN ARE CONCENTRATED AROUND THREE KEY PRODUCERS. THIS EXPLAINS THE CONFINEMENT OF THE TRACER USED IN THIS CASE STUDY.



FIGURE 29 (INVERSION CASE STUDY #2): WATERCUT MATCH OF EXAMPLE WELL (PRE AND POST WATERCUT INVERSION).

# **Design Aspects of Inter-well Tracer Test**

Lessons learned from this inter-well tracer test could be summarized as follows:

- 1. Volume of injected tracers used was excessively large. Only 18% of the volumes used were required to capture and characterize the complex fracture network in the field. Using a combination of low detection limit and excessive tracer volume was the main reason of creating high number of excessively diluted responses that added no value to characterization process.
- 2. Sampling of water produced should start from same day of tracer injection in order to avoid missing breakthrough time of ultra-high velocity tracers.
- 3. Sampling of water produced for tracer concentration measurements should have been terminated by the second week when majority of tracers responses turned to be intermittent and excessively diluted. This could have saved 87.7% of operating time without effecting test interpretation.

# Conclusions

The research presented in this paper could be workflowed into three key phases: analytical interpretation, numerical modeling, and inversion modeling phase. Analytical interpretation phase was successfully used to achieve several key objectives: first, to build a robust pre-simulation understanding of preferential water movement directions within pattern area under study. Second, it was used as a data mining exercise to classify which group of tracer responses carries the most useful information in characterizing and managing the lease. Third, it helped to identify tracer responses highly affected by water recycling. Fourth, it identified a common direction where injected water is leaving the inverted 9-spot pattern development area to outside the lease.

For numerical simulation phase: first, it was used to investigate tracer flow formulation in dual porosity media to identify what reservoir characterization information is carried within a tracer response. Second, it was used to test limitations of dual porosity formulation to model ultra high velocities tracers. Third, it was used to test modeling 13 different tracers simultaneously in a full-field case.

For inversion modeling phase, an attempt was made to test capabilities of dual porosity inversion packages on a pattern level where tracers showed confined injection as well as on a field level to capture the nature of simultaneous water breakthrough observed in the field.

Conclusions based on the research findings could be summarized as follows:

1. Maximum sweep directions obtained from Methods of Moments indicate the presence of four major interconnected flow features oriented N76°E governing water movement in the field.

- 2. Poor overall tracer recovery with none of the 13 injected tracer recovered by more than 9%.
- 3. Tracers recovered explain no more than 10% by average of patterns' water production
- 4. Tracers responses could be categorized into four groups based on the distribution of tracer recovery for all tracers' responses.
- 5. Studying patterns of tracer responses based on tracer recovery highlights several aspects:
  - a. Tracer responses with less than 0.01% recovery are highly affected by water recycling. As tracer recovery of the tracer response increases, the water recycling effect decreases.
  - b. Velocity distribution of responses unaffected by water-recycling shows a tri-modal normal distribution. These distributions could serve as a powerful tool for future fracture characterization studies to estimate average properties of each set of fracture.
  - c. Number of peaks in a tracer response correlates strongly with tracer recovery. This indicates that a higher recovery tracer response captures more the layering between a pair of injector and producer.
- 6. The development area within Spraberry Trend receives water influx from an external water source. This is supported by the following observations:
  - a. The extensive instantaneous dilution and the abnormal tracer velocity of 8,900 ft/day for a tracer travelled across the lease outside pattern injection area.
  - b. The absence of a mathematical solution using dual porosity formulation to describe a tracer velocity of 8900 ft/day based on fracture properties alone.
- 7. Breakthrough time of tracer responses provides a solution for ( $\phi_f$  h) of the fracture layer. Peaks of tracer responses are highly affected by dilution and are not a reliable measure of any fracture property.
- 8. All investigations carried through numerical simulation modeling highlighted that the lease of dynamic response is dominantly governed by fractures revealing minimum information about the matrix system. Such very weak fracture-matrix communication is most likely caused by high degree of fracture mineralization.
- 9. Majority of pattern injectors, 6 out of 11, show that part of the injected water flow outside the development area toward east. Although tracers indicate small volumes, these volumes could be underestimated by excessive tracer dilution
- 10. Inversion modeling results show that north-east and west side of the lease have very low pore volume compared to the south-east. North-east and west side of lease have 2% and 35% of the pore volume existing in the north-east, respectively.

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**Aymen A. Alramadhan** is Reservoir Engineer working for Saudi Aramco since 2008. He is an active member of multidisciplinary team managing offshore fields at Saudi Aramco. As Petroleum Engineer, Aymen worked as petro physicist, reservoir simulation, and well testing engineer on various giant oil fields owned by the company.

In 2008, he received his B.Sc. degree in Petroleum Engineering from King Fahd University of Petroleum & Minerals, Dhahran, Saudi Arabia and in 2013; Aymen received his M.Sc. degree in Petroleum Engineering from Texas A&M University, College Station, U.S.

**Ufuk Kilicaslan** is a Reservoir Engineer working for Turkish Petroleum Corporation (TPAO), national oil company of the Republic of Turkey in Ankara. He actively involves in developing reservoir models for overseas exploration blocks and ongoing international projects of the company.

He received his bachelor's degree of science in Petroleum and Natural Gas Engineering from Middle East Technical University,<br/>Ankara, Turkey in 2010. After getting scholarship from TPAO, he received his M.Sc. degree of Petroleum Engineering from<br/>TexasA&MUniversity,<br/>CollegeStation,U.S.,in2013.

**David S. Schechter** is Aghorn Energy Career Developent Professor and Associate Professor of Petroleum Engineering at Texas A&M University, College Station, Texas. His research interests are Spraberry Trend Area, geological and petrophysical analysis, wettability determination and imbibition experiments, numerical modelling and reservoir simulation, and CO2 flooding and gas injection.

He received his B.Sc. degree in Chemical Engineering from The University of Texas at Austin, Austin, U.S. in 1988 and his Ph.D. degree in Physical Chemistry from Bristol University, England in 1988. He headed the Naturally Fractured Reservoir Characterization/Engineering group at the New Mexico Institute of Mining and Technology for 7 years. He has been involved in an extensive reservoir characterization effort in the naturally fractured Spraberry Trend Area which has involved geological, petrophysical, logging interpretation, core-flooding and simulation studies. He also spent five years at the Petroleum Engineering Department at Stanford University as a Post-Doctoral Research Associate and Assistant Professor.